



KING COUNTY

1200 King County Courthouse
516 Third Avenue
Seattle, WA 98104

Signature Report

April 2, 2013

Motion 13885

Proposed No. 2013-0146.1

Sponsors von Reichbauer

1 A MOTION related to council adoption of the 2013 work
2 program for the county auditor's office.

3 WHEREAS, K.C.C.2.20.045 states that the council shall review and approve
4 annually by motion a work program prepared by the auditor for the county auditor's
5 office, and

6 WHEREAS, the mission of the county auditor's office is to advance
7 performance and accountability in county government through objective and independent
8 audits and services, and

9 WHEREAS, the work program shall include the audits and special studies to be
10 conducted and managed by the county auditor's office, and

11 WHEREAS, the work program shall further include the other responsibilities
12 of the auditor's office which are to manage or provide leadership on other oversight work
13 including capital projects oversight and countywide performance management, and

14 WHEREAS, the 2013 proposed auditor's work program has been developed
15 and is attached to this motion;

16 NOW, THEREFORE, BE IT MOVED by the Council of King County:

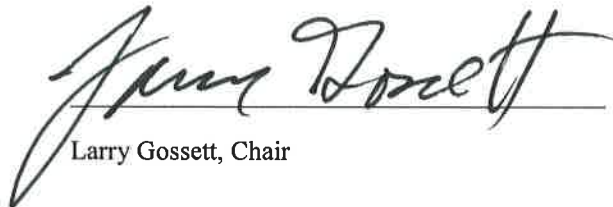
17 The attached 2013 county auditor's work program is hereby adopted.

18


Motion 13885 was introduced on 3/18/2013 and passed by the Metropolitan King County Council on 4/1/2013, by the following vote:

Yes: 8 - Mr. Phillips, Mr. von Reichbauer, Mr. Gossett, Ms. Hague,
Ms. Patterson, Mr. Dunn, Mr. McDermott and Mr. Dembowski
No: 0
Excused: 1 - Ms. Lambert

KING COUNTY COUNCIL
KING COUNTY, WASHINGTON


Larry Gossett, Chair

ATTEST:



Anne Noris, Clerk of the Council

Attachments: A. 2013 King County Auditor's Office Work Program

Attachment A
2013 KING COUNTY AUDITOR'S OFFICE WORK PROGRAM

Project	Tentative Scope Summary
AUDITS AND SPECIAL STUDIES	
Emergency Medical Services (EMS) Levy Financial and Compliance Audit*	Review the EMS Division 2012 revenues and expenditures to ensure compliance with the EMS Levy financial plan and assess the timeliness of contract reimbursements and the effect, if any, on providers. Evaluate the extent to which performance measures and associated data gathering requirements are included in plans for strategic initiatives. Also review how the EMS Division allocates Department of Public Health indirect/overhead costs and countywide assessments to the King County Medic One Program.
Performance Audit of Environmental Health Services*	Review Environmental Health Division's rates and fees, seeking to identify ways to reduce costs or the rate of growth in permit fees. Evaluate practices for efficiency opportunities and conduct peer comparison.
Review of County Energy Conversion Projects and Energy Alternatives at Harborview*	Review a selection of the county's past energy conversion projects with regard to meeting policy and performance expectations including impacts on cost and greenhouse gas emissions; and review proposals for reducing energy costs and greenhouse gas emissions, as well as developing on-site backup energy capacity for the Harborview campus.
Performance Audit of King County Sheriff's Office Car Per Officer Program*	Review take-home vehicles in the King County Sheriff's Office. Evaluate the costs and benefits of current take-home vehicle program in comparison to alternatives. Review policies and experiences in other jurisdictions to determine if the current system can be improved.
Large Woody Debris (Water and Land Resources Division) Performance Audit**	Review how effective King County has been in addressing environmental impact, public safety and other objectives of projects completed in riparian areas, including those involving large woody debris.

Project	Tentative Scope Summary
Risk Management Office Performance Audit**	Assess the effectiveness of the King County Office of Risk Management in preventing and reducing losses and in protecting King County's assets.
DAJD Contractor Selection Oversight*	Provide consultation to DAJD in developing a scope of work for a nationally recognized expert in jail capacity planning to review King County's secure detention jail operations.
AUDIT FOLLOW-UP PROJECTS	
Follow-up of Emergency Medical Services (EMS) 2011 Levy Financial and Compliance Audit	Evaluate implementation of recommendations from audits in 2010 and 2011 focusing on the use of productivity standards and the collection of dispatch data.
Follow-up of King County Sheriff's Office Internal Investigations Unit (IIU) and Office of Law Enforcement Oversight (OLEO) Performance Audit	Monitor implementation of recommendations made in the 2012 OLEO/IIU Performance Audit, especially the adoption of changes in policies and supervision practices.
Follow-up of Transit 2009 and 2010 Performance Audits	Monitor implementation of remaining recommendations from 2009 and 2010 audits, with emphasis on the achievement of performance improvements and cost savings.
Follow-up of Combined Sewer Overflow (CSO) Audit*	Monitor implementation of recommendations from 2012 CSO audit with emphasis on recommendations that could reduce costs or improve the cost-effectiveness of the CSO program. This includes mandated review of the Wastewater Treatment Division's scope of work and report on Combined Sewer Control Project Sequencing.
Follow-up of Performance Audit of Information Technology	Monitor implementation of recommendations from 2012 Performance Audit of King County's Investment in Information Technology.

Project	Tentative Scope Summary
ONGOING COORDINATION AND OVERSIGHT ACTIVITIES	
Countywide Strategic Planning and Performance Management and Accountability System (PMAS)*	Continue to support, and advise the Council on, the implementation of a countywide performance management and accountability system. This includes facilitation of the Countywide Performance Management Work Group and participation in the Interbranch Working Group advising the Performance Management Action Team.
Performance Management System Best Practices Review*	Complete a review of best practices in performance and accountability systems from around the country to inform the work of the Performance Management Action Team.
Coordination of State Auditor's Office's Local Government Performance Audits	Monitor any local government performance audits that involve or affect King County.
CAPITAL PROJECTS OVERSIGHT PROGRAM	
<i>Programmatic Oversight ***</i>	
Ongoing Programmatic Activities	Serve as a resource and provide input for legislative and executive efforts to improve capital program accountability and performance.
Capital Project Risk Scoring*	Provide assistance and consultation to executive branch and Joint Advisory Group during annual process to score and select capital projects for mandatory phased appropriation.
Capital Project Data Reliability in County Information Systems**	Complete assessment of capital project data in county's new information systems. Identify issues increasing the risk of recurring data reliability or reporting problems. Continue dialogue with executive branch to facilitate correction of issues found.

Project	Tentative Scope Summary
Countywide Capital Program Reporting Analysis**	Utilize the county's new Project Information Center system to evaluate capital program performance trends. Conduct outreach on projects with exceptional scope, schedule, or budget variances. Document lessons learned, and communicate relevant performance issues to policy makers.
Direct Project Oversight	
Brightwater Treatment and Conveyance System (Wastewater Treatment Division)*	Complete oversight of conveyance system construction, final commissioning, and contract closeout activities for this \$1.8 billion new wastewater treatment system.
Factoria Recycling and Transfer Station (Solid Waste Division)**	Monitor efforts during final design and contractor procurement to achieve cost savings and manage risks for this \$88.8 million replacement project.
Countywide Overlay and Drainage Programs (Roads Division)**	Evaluate these programs, budgeted at \$70 million over 6 years, for consistency with new tiered maintenance plan. Analyze how program costs compare to other local agencies.
Children and Family Justice Center (Facilities Management Division)*	Monitor this \$210 million project to replace juvenile court and detention facilities, providing relevant information to policy makers. Early focus will be on impact of programming and design decisions on the cost effectiveness and functionality of the replacement facility.

* Mandated

** Carryover

*** Capital Projects Oversight Program's programmatic project, Construction Work Order Contracting (from 2012 work program) is deferred to 2014.