Factoria Recycling and Transfer Station

Contract C00678C12

CONTRACT VOLUME 12 of 15

Request For Qualifications
RFQ Addendum 1 & 2

June 2014



Factoria Recycling and Transfer Station Project

Contract C00678C12

Volume 1 of 1

Request For Qualifications

December 2012

Factoria Recycling and Transfer Station Project

King County Contract C00678C12

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Metropolitan King County Council

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Kathy Lambert Larry Phillips
Julia Patterson Jane Hague

Pete von Reichbauer Joe McDermott

Reagan Dunn

DECEMBER 2012



SECTION 00020 **ADVERTISEMENT** REQUEST FOR QUALIFICATIONS ("RFQ")

King County is requesting Statement of Qualification from experienced and qualified teams for the Factoria Recycling and Transfer Station Project. Submittals for the following Statement of Qualifications will be received by King County at the King County Procurement and Contract Services Section, Contracts Counter 3rd Floor, 401 Fifth Avenue, Seattle, WA 98104 until the time and date stated below.

Contract Title: Factoria Recycling and Transfer Station Project

C00678C12 **Contract Number:**

Statement of Qualifications February 21, 2013; 1:00 p.m.

Due Date/Time:

The estimated cost for the construction and post construction/warranty services for this Project is between \$42 and \$47 million.

This is a King County funded Project.

Submittals received after such date and time will not be considered. Applicants accept all risks of late delivery, regardless of fault.

In accordance with RCW 36.58.090, and as authorized by King County Ordinance 17435, King County will be using a competitive negotiation project delivery method for the construction of the Factoria Recycling and Transfer Station Project ("Project"). The new Project is expected to be completed the third quarter of 2017.

A coordinated transition of the County's solid waste operations from the old to the new transfer building is required. The selected contracting team will be required to perform construction and post construction services. This is a LEED® registered project. Attainment of LEED GOLD certification is a project requirement.

The County is requesting qualifications from interested general contractor-led teams for the Project. Following evaluation of the qualifications, a shortlist of up to five (5) teams will be identified by the County in April of 2013. A Request for Proposal ("RFP"), including near final design documents, to the shortlisted teams, is expected to be issued May of 2013. A Request for Best and Final Offer ("BAFO"), including final design documents, is expected to be issued after the County's evaluation of proposals from the short listed teams in September of 2013. Contract Execution is anticipated to be in December of 2013. An honorarium will be paid to the teams participating in the RFP and BAFO processes that are not awarded a contract.

A non-mandatory Statement of Qualifications ("SOQ") Pre-Submittal Meeting will be held January 17, 2013 @1:00 p.m. at East Shore Unitarian Church, 12700 SE 32nd Street, Bellevue, Washington 98005, to discuss this Project, the procurement process identified in RCW 36.58.090, the proposed schedule, and elements of the Contract. Attendance is strongly encouraged. A site visit will be conducted immediately following the meeting. Applicants are cautioned that the Factoria Transfer Station is a restricted access area. No unauthorized site visits are allowed. Applicants attending the site visit must register with Darren R. Chernick at darren.chernick@kingcounty.gov and provide the following information to Darren R. Chernick, no later than 48 hours prior to the site visit:

Attendees name and the Company they represent - IMPORTANT - If your name is not on the Attendance List for the Site Visit you will not be allowed on the site.

Hardhat, closed toe-shoes or boots, safety glasses and safety vests are required for the Site Visit. No smoking, alcohol, drugs or weapons of any kind are allowed on the site.

Any Applicant failing to submit information in accordance with the procedures set forth in the RFQ may not be considered qualified and may therefore be subject to rejection by King County.

PROJECT LABOR AGREEMENT

King County policy requires the contractor and all subcontractors entering into this public works project to comply with a Project Labor Agreement ("PLA") entered into between the County and various labor unions. The requirements for this PLA will be provided within the RFP.

RFQ AND REFERENCE DOCUMENTS

Plans/Specs: Electronic copies of the plans, specifications, reference documents, and any addenda for this solicitation can be accessed through the County's website shown below.

To receive email notifications of addenda or other important information concerning this solicitation, you must register to be a planholder under the "Solicitations" tab at the following internet link:

Website: http://www.kingcounty.gov/procurement/

This information is available in alternate formats for individuals with disabilities upon advance request by calling 206-263-9400, TTY Relay: 711.

SUBCONTRACTING AND EEO

The following is provided for informational purposes only to identify categories of work that may be available on this Contract as subcontracting opportunities. The subcontracting opportunities for this project include the following disciplines of work: Concrete, Steel Erection, Sheet Metal, Steel Fabrication, Mechanical, Plumbing and Piping, Electrical, Underground Utilities, Earthwork, Paving, Landscaping, Painting, Performance Coatings, Fencing, Roofing, Material Testing, Surveying, and Trucking. Other opportunities may be identified in the RFP.

SMALL CONTRACTORS AND SUPPLIERS ("SCS") PARTICIPATION

It is King County policy that SCS have equitable opportunities to participate in the performance of its public works contracts and those contractors and subcontractors are afforded equal opportunity in employment while providing materials, supplies and services for and to King County. It is anticipated that the minimum level of SCS utilization commitment on this project will be at least 15% of the Total Contract Price.

APPRENTICESHIP REQUIREMENT

In accordance with King County Code 12.16.150 - 180, Apprenticeship Utilization Requirements will be established for this contract to ensure that a minimum percentage of the Total Labor Hours shall be worked by apprentices participating in training programs registered with the Washington State Apprenticeship Training Council ("SAC").

CONTACT INFORMATION:

Darren R. Chernick, Contract Specialist, (206) 263-9321, TTY Relay: 711, or darren.chernick@kingcounty.gov. A bidder may be asked to put a question in writing. No verbal answers by County, its officers, officials, employees, agents and consultants will be binding on the County.

Additional information may be found at the Procurement and Contract Services Section website under the "Solicitations" tab at the following internet link:

http://www.kingcounty.gov/procurement/

END OF SECTION

SECTION 00100 INSTRUCTIONS FOR RFQ PROCESS

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SECTION 00100 INSTRUCTIONS FOR REQUEST FOR QUALIFICATIONS PROCESS

PART 1 — INTRODUCTION

1.01 STATEMENT OF QUALIFICATIONS

- A. The King County Solid Waste Division ("King County" or "County") is requesting Statements of Qualifications ("SOQ") from Applicant(s) interested in submitting proposals for the Factoria Recycling and Transfer Station Project ("Project").
- B. SOQ's will be evaluated by the County to determine a short-list of up to five (5) of the most qualified Applicants with capabilities and demonstrated ability to successfully deliver and complete the Project. Applicants shall provide evidence, as described herein, of a team organization that has all the necessary capabilities, experience, and resources to perform the work. King County will issue an RFP to short-listed Applicants at a later date.

1.02 GENERAL PROJECT DESCRIPTION

- A. The County is replacing the Factoria Transfer Station. The new facility will be built on the existing site as well as on adjacent properties. The existing facility is located at 13800 SE 32nd Street, Bellevue Washington 98005.
- B. In accordance with RCW 36.58.090, King County will be using a competitive negotiation project delivery method for the construction of a new Factoria Recycling and Transfer Station and the deconstruction of the existing facility.
- C. This Project generally entails the following activities: site dewatering and earthwork, construction of an approximately 75,000 square foot building for waste processing and operations; construction of an approximately 4,600 square foot household hazardous waste processing building, procurement and installation of one pre-load waste compactor, improvements to exterior of existing scale house building, a trailer parking yard, concrete and asphalt paving, a fueling facility, construction of retaining structures, site utilities, demolition of a to-be decommissioned (Puget Sound Energy) compressed natural gas line, relocation of sewer utilities, wetland and stream enhancements, and deconstruction of the existing buildings. A coordinated transition of the County's solid waste operations from the old to the new transfer building will also be required. The selected contractor will be required to perform construction and post construction services. This is a LEED® registered project. Attainment of a minimum of LEED® GOLD certification is a project requirement.
- D. As authorized by King County Ordinance 17435, the County will utilize the procurement procedures described within RCW 36.58.090 for this Project. A Request for Qualifications ("RFQ") from interested general contractor-led teams is being issued by the County through this advertisement. Following evaluation of the qualifications, a shortlist of up to five (5) teams will be identified by the County in April 2013. A Request for Proposal ("RFP") to the shortlisted teams, including near final design documents, is expected to be issued by the County in May 2013. A Request for Best and Final Offer ("BAFO") will be issued after the receipt of proposals from the short listed teams in September 2013. An honorarium will be paid to the teams participating in the RFP and BAFO processes that are not awarded a contract.
- E. A more detailed general description of the work to be performed by the contractor selected for this Project is set forth in Attachment A and Project Plan Sheets, Attachment D.

1.03 ARCHITECT ENGINEER AND CONSTRUCTION MANAGER

- A. <u>Architect/Engineer</u>: King County has retained HDR Engineering, Inc. to provide the design for this Project.
- B. <u>Construction Manager</u>: King County has retained Parametrix, Inc. to assist the County with providing the construction management services for the Project.

1.04 PROJECT ESTIMATED CONTRACT PRICE

- A. The estimated cost for the construction and post construction/warranty services for this Project is between \$42 and \$47 million.
 - B. This is a King County funded Project.

1.05 PHASES FOR SITE FACILITIES CONTRACT

- A. The County anticipates Contract Execution for this Project to be in December of 2013. Anticipated completion of construction is September of 2017. The anticipated project phases will generally consist of:
 - 1. Pre-Construction Phase: submittal preparation and review, establishment of field offices and staging areas, procurement/order of materials and equipment.
 - 2. Phase 1: Installation of erosion and sedimentation controls, relocation of compressed natural gas pipeline (by PSE), relocation of sewer lines, and the deconstruction of two existing warehouse buildings.
 - 3. Phase 2: Construction of new transfer building, associated site development and transition of County operations to new building.
 - 4. Phase 3: Deconstruction of the existing transfer building and associated site improvements.
 - 5. Phase 4: Construction of new household hazardous waste building and associated site development including soldier pile retaining wall.
 - 6. Phase 5: Contract Closeout.

1.06 REFERENCE DOCUMENTS

- A. The County will make available to all Applicants information about the project that may be useful background information for preparation of their SOQ. All Reference Documents listed within this document will be made available for informational purposes only. For this RFQ, Reference Documents include:
 - 1. Detailed Project Description Attachment A.
 - 2. General Terms and Conditions Attachment B.
 - 3. Insurance Requirements Attachment C.
 - 4. Project Plan Sheets (partial set and not for Construction) Attachment D.
 - (a) The content of these plan sheets (including notes) are for information purposes only.

1.07 WAGES

A. This Contract is subject to Chapters 39.12 and 49.28 RCW, amendments thereto and regulations issued there under, relating to prevailing wages, benefits and other requirements. Applicants shall examine and be familiar with such requirements.

1.08 SUBCONTRACTING

A. The following is provided for informational purposes only to identify categories of work that may be available on this Contract as subcontracting opportunities. The subcontracting opportunities for this project include the following disciplines of work: Concrete, Steel Erection, Sheet Metal, Steel Fabrication, Mechanical, Plumbing and Piping, Electrical, Underground Utilities, Earthwork, Paving, Landscaping, Painting, Performance Coatings, Fencing, Roofing, Material Testing, Surveying, and Trucking. Other opportunities may be identified in the RFP.

1.09 SMALL CONTRACTORS AND SUPPLIERS (SCS) PARTICIPATION

- A. It is King County policy that Small Contractors and Suppliers (SCS) have equitable opportunities to participate in the performance of its public works contracts and those contractors and subcontractors afford equal opportunity in employment while providing materials, supplies and services for and to King County. For additional information go to www.kingcounty.gov/scscertification.
- B. King County intends to establish a requirement for the participation of SCS firms on this project which will ensure that a percentage of the Total Contract Price will be subcontracted to SCS firms. During the RFP process, evaluation criteria will include points for the Applicant's percentage SCS utilization commitment. It is anticipated that the minimum level of SCS utilization commitment on this project will be at least 15% of the Total Contract Price. Meeting this commitment will become a requirement of the Contract.
- C. <u>Subcontracting Plan</u>. King County will require shortlisted proposers to submit a comprehensive Subcontracting Plan that ensures qualified firms have equitable opportunity to perform work within the project scope, schedule and budget while meeting the SCS utilization requirements.

1.10 APPRENTICESHIP UTILIZATION

- A. In accordance with King County Code 12.16.150 180, Apprenticeship Utilization Requirements will be established for this project to ensure that a minimum percentage of the Total Labor Hours shall be worked by apprentices participating in training programs registered with the Washington State Apprenticeship Training Council ("SAC"). The minimum percentage requirement will be identified in the RFP.
- B. <u>Apprenticeship Utilization Plan</u>. The shortlisted proposers will be required to submit an Apprenticeship Utilization Plan to the County for approval upon its request at the time of RFP process. The Apprenticeship Utilization Plan shall demonstrate the distribution of Apprentices across the trades and crafts wherever feasible.

1.11 PROJECT LABOR AGREEMENT

A. The construction work for the Project is covered by a Project Labor Agreement ("PLA"). The PLA is a contract between King County and various trade unions who will be signatories to the PLA. It provides standards for work hours, wages, working conditions, safety conditions, union representation, apprenticeship requirements, and settlement of disputes. The goal of the PLA is to ensure that all work proceeds efficiently, with due consideration of working conditions and standards.

- B. The PLA shall apply once the Contract is executed. The selected prime contractor is required to become a party to the PLA by signing a "Letter of Assent" and to abide by the terms of the PLA. The prime contractor has the responsibility to ensure its subcontractors (of any tier) become a party to the PLA by signing a "Letter of Assent" and abiding by the terms of the PLA. The PLA will be provided to the short listed Proposers with the Request for Proposal.
- C. Additional details regarding the PLA will be set forth in the Request for Proposal. For additional information regarding the Factoria Project Labor Agreement, contact Darren R. Chernick, Contract Specialist as identified below.

1.12 **JOINT VENTURE**

A. Applicants will not be allowed to form a Joint Venture ("JV") for this Project. Applicants are required, as appropriate, to team up as a Prime Contractor with associated subcontractors.

PART 2 — PROCUREMENT PROCESS

2.01 OVERVIEW

- A. After reviewing this Request for Qualifications, any Applicant that determines it has the necessary expertise, experience, resources and could successfully perform the required services may submit its Letter of Interest and Statement of Qualifications ("SOQ"), addressing the items set forth herein. Any firm that submits a Letter of Interest and Statement of Qualifications shall for purposes of this RFQ process be defined as an "Applicant." A general overview of the selection process is as follows:
 - Applicants shall provide the Letter of Interest and SOQ to King County no later than February 21, 2013 @ 1:00PM after which time they will be reviewed and evaluated. The Submittal shall be sent to:

Darren R. Chernick, Contract Specialist
King County Finance and Business Operations Division
Procurement & Contract Services Section
401 Fifth Avenue, 3rd Floor, Chinook Building
Seattle, Washington 98104

- 2. Following evaluation of the Letters of Interest and SOQs, the County will select, at its sole discretion, up to a maximum of 5 of the highest scored Applicants to become "Proposers." At the County's option, the County may conduct interviews and other meetings with Applicants.
- 3. The County will accept Proposals only from Applicants selected as Proposers. Following evaluation of the Proposals, the County will select, at its sole discretion, up to a maximum of 5, of the highest scored Proposers to become "Finalists." The selection of the Finalists will be based on the scores received by each Proposer at the Proposal Submittal Phase. At the County's option, the County may conduct interviews and other meetings with Proposers and/or Finalists.
- 4. The County will accept a Best and Final Offer ("BAFO") only from Proposers selected as Finalists. Following evaluation by the County, the County will select the Finalist submitting the BAFO receiving the highest score (considering qualifications, technical and commercial factors, and price), who shall be referred to as the "Top Ranked Finalist" ("TRF"). It is the intent of the County to enter into

- negotiations with the TRF, if necessary. If the County is unable to execute a contract with the TRF, negotiations with the TRF may be suspended or terminated and the County may proceed to negotiate with the next highest scored Finalist. The County shall continue in accordance with this procedure until a contract agreement is reached or the selection process is terminated.
- 5. RCW 36.58.090 is the project delivery method the County will utilize to evaluate and select a contracting team to deliver and complete the services generally described within this Request for Qualifications.
- 6. King County Council approval is required prior to execution of the Contract.
- B. Organizational Conflicts of Interest. Competition for this RFQ is very important to King County. The County has looked at ways to increase competition without creating an unfair advantage for any team. An organizational conflict of interest means that because of other activities, relationships, or contracts, a firm is unable, or potentially unable, to render impartial assistance or advice to the County, a firm's objectivity in performing the contract work is or might be otherwise impaired, or a firm has an unfair competitive advantage. The County will evaluate future procurements related to this contract to determine if there is an organizational conflict of interest. If an organizational conflict of interest exists, the County may prohibit the firm or its subcontractors and/or members from participating in such related procurement/projects.
- C. <u>Submittals.</u> For purposes of this RFQ the term "Submittals" shall mean all documents to be prepared and submitted by the Applicant. This shall include, but not be limited to, all documents prepared for the Letter of Interest and SOQ,
- D. A copy of the County's General Terms and Conditions are incorporated into this RFQ in Attachment B. These General Terms and Conditions are being provided for information purposes only.
- E. A copy of the County's Insurance requirements is incorporated into this RFQ. These requirements are provided to allow the Applicant the ability to demonstrate its capacity to obtain necessary insurance for this Project, Attachment C.
- F. By submitting a Letter of Interest and SOQ the Applicant represents that it has carefully read the requirements of the RFQ and agrees to be bound by them.

2.02 GENERAL INFORMATION

- A. <u>Compliance with Legal Requirements</u>. The procurement of the services under this Contract will be in accordance with applicable King County, federal, state and local laws, regulations and procedures. King County reserves the right to reject any and all Submittals received. Any Applicant failing to submit required information in accordance with the procedures set forth herein may be considered non-responsive and may therefore be subject to rejection by King County.
- B. <u>Clear and Concise Submittal.</u> Applicants are discouraged from submitting lengthy Submittals; King County requires that Submittals be concise and clearly written containing only essential information. Applicants are urged to use recycled/recyclable products and both sides of paper for printed and photocopied materials, whenever practical.
- C. <u>Costs borne by Applicants, Proposers and Finalists</u>. All costs incurred in the preparation of Letters of Interest and Statements of Qualifications shall be borne by the Applicants. Except for the honorarium provided below, all costs incurred in the preparation of Proposals, Best and Final Offers and participation in the contract negotiation process shall be borne by the proposing firms.

- D. <u>Honorarium</u>. No honorarium will be paid to the SOQ Applicants. An honorarium of \$50,000 will be paid to all Proposers after submitting complete and responsive Proposals. An additional \$50,000 will be <u>paid to any Finalist after submitting a complete and responsive BAFO who is not awarded a contract by King County. No honorarium shall be paid to a selected Proposer or Finalist that voluntarily withdraws from this solicitation process prior to submitting a Proposal or BAFO.</u>
- E. Ownership of Submittal Information. Regardless of whether the County awards a contract, the SOQ, Proposals and BAFOs submitted pursuant to the RFQ, RFP and Request for BAFO, including the data, information, concepts and ideas contained therein shall be owned and controlled by the County.
- Public Disclosure. Once in the County's possession, all Submittals shall become property of King County and may be considered public records under the Washington Public Records Act, RCW 42.17.250 et seg., and as such may be subject to public disclosure. It is the County's intent, to the extent permitted by law, and to provide for a fair and competitive procurement process, to keep all Submittals confidential until execution of the Site Facilities Construction Contract. The County acknowledges that some information provided by an Applicant, Proposer or Finalist, may be considered proprietary, confidential and/or exempt from disclosure pursuant to the Public Records Act or the Washington Trade Secrets Act. If a party submitting documents under this RFQ, RFP or BAFO believes that portions of its Submittal are proprietary, confidential or exempt from public disclosure to third parties, the party must clearly label the specific portions of the Submittal sought to be kept confidential and specify the exemption that the party is relying upon. Marking all or substantially all of a Submittal as confidential may result in the Submittal being considered non responsive by the County. If a request is made for all or a portion of a Submittal, the County will notify the party submitting the document(s) of the request and allow the Applicant, Proposer or Finalist five (5) business days to take, at its own expense, whatever action is necessary to protect its interests. If an Applicant, Proposer or Finalist fails to obtain the necessary protections and apprise the County of such actions within said period, the County will release the documentation requested. Notwithstanding the foregoing, all Applicants, Proposers and Finalists who provide Submittals under this advertised procurement process accept the procedures described above and agree that the County will not be responsible or liable in any way for any losses that a party may suffer from the disclosure of information or materials to third parties.
- G. <u>Rejection of Submittals</u>. King County reserves the right to reject any and all Submittals received. Any Applicant/Proposer/Finalist failing to submit information in accordance with the procedures set forth herein may not be considered responsive, and may therefore be subject to rejection by King County.
- H. <u>Bonding & Insurance & Project Guarantees</u>. Prior to the execution of the Contract, the County will require the selected Finalist to provide a Performance and Payment Bond for the Total Contract Price of the Contract, including all applicable sales taxes, all required insurance, and any additional guarantees, such as Warranty Bond, requested by the County.
- I. <u>Ex Parte Communications</u>. Applicants are expected to conduct themselves with professional integrity and to refrain from lobbying activities. During the procurement process, commencing with the issuance of this RFQ and continuing until the award of a Contract for the project (or cancellation of the procurement) no employee, member, agent, vendor, advisor or consultant of any Applicant shall have ex-parte communications, directly or indirectly, regarding this procurement with any representative or elected official of the County involved in this procurement, except for communications permitted by this RFQ (or subsequently by the RFP or BAFO). Any verified allegation that an Applicant or team member has engaged in such

prohibited communications or attempted to unduly influence the selection process may cause the County to disqualify the Applicant or a member of the Applicant from participating in this process, all at the sole discretion of the County.

2.03 NON MANDATORY PRE-SOQ SUBMITTAL MEETING & SITE VISIT

- A. A Pre-SOQ Submittal site visit will be conducted after the Pre-SOQ Submittal meeting held on **January 17, 2013 @1:00p.m**. The Pre-Submittal meeting will be held at East Shore Unitarian Church, 12700 SE 32nd Street, Bellevue, Washington 98005 to discuss this project, the project delivery method described within RCW 36.58.090, the selection process, the proposed schedule and elements of the contract. Attendance is strongly encouraged. The Site Visit will be conducted immediately following the meeting.
- B. All Applicants intending to attend the Site Visit must register with King County Contract Specialist, Darren R. Chernick at darren.chernick@kingcounty.gov. All attendees for the site visit must provide the following information to Darren R. Chernick, no later than 48 hours prior to the **Site Visit**:
 - Attendees name and the Company they represent **IMPORTANT** If your name is not on the Attendance List for the Site Visit you will not be allowed on the site.
- C. Hardhat, closed toe-shoes or boots, safety glasses and safety vests are required for the Site Visit. No smoking, alcohol, drugs or weapons of any kind are allowed on the site.

2.04 ADDENDA AND CLARIFICATION OF RFQ

- A. Requests for Information. Other than those questions that may be answered at the scheduled site visits/meetings, all inquiries or requests for information must be submitted by email to Darren R. Chernick, Contract Specialist, at darren.chernick@kingcounty.gov. Communications must include a reference to the RFQ number and, where appropriate, to a specific paragraph of the RFQ.
- B. Addenda. In the event it becomes necessary to revise any part of the RFQ, addenda will be provided to all Applicants still under consideration at the time the addendum is issued.
 - C. Notice of addenda will be posted at the following address:

www.kingcounty.gov/procurement

- D. If any Applicant has reason to doubt whether they have received an addenda it is the responsibility of the Applicant to notify King County to be sure that addenda are received.
 - E. Mail or call such notice to Darren R. Chernick, as provided above.

2.05 KING COUNTY EQUAL BENEFITS

A. King County's Equal Benefits (EB) Ordinance 14823 states that to be eligible for award of contracts at a cost of \$25,000.00 or more, firms must not discriminate in the provision of employee benefits between employees with spouses, and employees with domestic partners. The Top Ranked Finalist shall be required to complete a Worksheet and Declaration form. Compliance with Ordinance 14823 is a mandatory condition for Contract execution. The EB Compliance forms, and Ordinance 14823 are available online at:

http://www.kingcounty.gov/operations/procurement/Forms/Equal_Benefits.aspx

2.06 NON-DISCRIMINATION IN CONTRACTING

- A. It is the policy of King County to provide equal employment opportunities and an equal opportunity for all businesses to participate in providing goods and services to King County.
- B. King County has an affirmative action policy relating to employment (King County Code chapter 12.16), a non-discrimination in contracting policy (King County Code chapter 12.17), and a fair employment policy (King County Code chapter 12.18). Applicant is required to review and agree to be bound by these requirements if selected as the TRF. A detailed discussion of related requirements will be described within the Request for Proposals and Best and Final Offer.

2.07 LOBBYING DISCLOSURE

A. Pursuant to 40 CFR Part 34 (which is by this reference incorporated herein), the Finalist to whom King County awards this Contract shall execute and return, together with the other documents required herein the Disclosure of Lobbying Activities, set forth in Section 00410, within ten (10) days after the TRF receives written Notice of Selection.

2.08 RECYCLED PRODUCTS POLICY

- A. The Applicant shall use recycled paper for the production of all printed and photocopied documents related to the fulfillment of this Contract. If the cost of recycled is more than fifteen percent higher than the cost of non-recycled paper, the Contractor shall notify the County, who may waive the recycled paper requirement.
- B. The Applicant agrees to use both sides of paper sheets for copying and printing, and to use recycled/recyclable products wherever practical.

2.09 PROTESTS AND APPEALS

- A. Form of Protest: In order to be considered, a Protest shall be in writing, addressed to the Manager of the King County Procurement and Contract Services Section of the Department of Executive Services ("Manager"). A copy of the Protest shall be provided to the Contract Specialist Darren R. Chernick. The protest shall include the following:
 - 1. The name, address, and phone number of the Applicant protesting, or the authorized representative of the Applicant;
 - 2. The Solicitation Number and Title under which the Protest is submitted:
 - A detailed description of the specific grounds for Protest and any supporting documentation. It is the responsibility of the Protesting Applicant to supplement its Protest with any subsequently discovered documents prior to the Manager's decision; and
 - 4. The specific ruling or relief requested.

B. Who May Protest.

- 1. Protests pertaining to the RFQ documents prior to SOQ Due Date: Any prospective Applicant.
- 2. Protests following SOQ Due Date: Any Applicant who submitted a SOQ to the County.

C. Time to Protest.

- Protests Prior to SOQ Due Date: Protests pertaining to the RFQ documents must be received by the County no later than ten (10) calendar days prior to the date established for submittal of SOQs; provided however, if the tenth calendar day is a weekend or County holiday, the Protest must be received by noon the following business day.
- 2. Protests Following SOQ Due Date: The County must receive protests based on all other circumstances within five (5) calendar days after the protesting Applicant knows or should have known of the facts and circumstances upon which the Protest is based; provided however, if the fifth calendar day is a weekend or County holiday, the Protest must be received by noon the following business day.
- 3. In no event shall a Protest be considered if all SOQs are rejected or after execution of the Contract.
- D. Determination of Protest. Upon receipt of a timely written Protest, the Manager shall investigate the Protest and shall respond in writing to the Protest prior to issuance of the RFP. Except as provided below, the decision of the Manager shall be final.
- E. Reconsideration of Manager's Decision. The Protester may request that a Manager's decision be reviewed by the Finance and Business Operations Division Director of the King County Department of Executive Services ("Finance Director") on a reconsideration basis only. The only justifications for reconsideration are (1) new data, relevant to the underlying grounds for the Protest and unavailable at the time of the Protest to the Manager; or (2) the Manager made an error of law or regulation. The following procedures shall be followed for a reconsideration of the Manager's decision:
 - 1. <u>Form of Request for Reconsideration</u>. In order to be considered, a Request for Reconsideration must be filed with the Finance Director in writing, with copies provided to the Manager and Contract Specialist, and include:
 - 2. Name, address, and telephone number of the person protesting or their authorized representative;
 - 3. A copy of the original Protest, including supporting documents;
 - 4. A copy of the written decision of the Manager; and
 - 5. Include all pertinent facts and law on which the Protester is relying.
 - 6. <u>Time for filing Request for Reconsideration</u>. The Protester seeking Reconsideration must file its Request no later than two (2) business days after receiving the Manager's written decision.
 - 7. Review of Manager's Decision. Upon receipt of a Request for Reconsideration, the Finance Director or his/her designee shall review all information submitted with the Request and issue a final written determination.
- F. <u>Failure To Comply.</u> Failure to comply with the procedures set forth herein may render a Protest or Request for Reconsideration untimely or inadequate and may result in the denial of the Protest or Request for Reconsideration by the County.
- G. <u>Exhaustion of Administrative Remedies</u>. As a mandatory condition precedent to initiating a lawsuit against the County, a Protester shall comply with the Protest and Reconsideration Procedures defined herein.

H. <u>Venue</u>. By submitting a SOQ in response to the RFQ and for the convenience of the parties, the Applicant/Protester acknowledges and agrees that a lawsuit or action related to or arising out of this procurement shall be brought in the Superior Court of King County, Washington.

PART 3 — SCHEDULE

A. <u>Anticipated Schedule</u>. The selection process is anticipated to proceed as outlined below. This schedule is subject to revision by an addendum to this RFQ and RFP.

	Selection Process	<u>Date</u>
1.	Public Announcement for RFQ	12/20/12
2.	Non-Mandatory Pre-SOQ Submittal Meeting	01/17/13
3.	Statements of Qualifications Due (1:00 p.m.)	02/21/13
4.	Selection of Shortlisted Firms and Notification of Proposers	April 2013
5.	Issue RFP	May 2013
6.	Issue Request BAFO	September 2013
7.	Contract Execution	December 2013

- B. <u>Notification</u>. At minimum, the County will notify appropriate firm(s) of the following actions:
 - 1. Revisions to the RFQ;
 - 2. Disqualification or rejection of an Applicant;
 - 3. Notice of Selection of Proposers;
 - 4. Notice to submit Proposals;
 - 5. Notice of Selection of Finalists;
 - 6. Notice to submit BAFOs;
 - 7. Notice of Selection of Top Ranked Finalist;
 - 8. Notice to Proceed with Preparation Phase.

END OF SECTION

SECTION 00105 STATEMENT OF QUALIFICATION (SOQ) PHASE REQUIREMENTS

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SECTION 00105 STATEMENT OF QUALIFICATION ("SOQ") REQUIREMENTS

PART 1 — SOQ PROCESS

1.01 STATEMENT OF QUALIFICATION- PROCESS FOR EVALUATION

- A. <u>General</u>. Any Applicant that determines it has the necessary expertise, experience and could successfully perform the required services may apply for consideration by submitting a Letter of Interest and Statement of Qualification, herein referred to as "SOQ Submittals", addressing the items set forth below.
- B. All SOQ Submittals will be evaluated by an evaluation panel ("Panel"), which will be responsible for ranking the Applicants. The criteria outlined below will be used in evaluating the SOQ Submittals. A total of 1500 points have been assigned to the Evaluation Criteria; maximum points follow each criterion listed. The points indicate relative weight or importance given to each criterion. Evaluators will use the points to score each SOQ Submittal.
- C. The Panel will evaluate the SOQ Submittals and will select up to a maximum of five (5) of the highest scored Applicants to become Proposers. The County shall only accept Proposals from those Applicants selected as Proposers.
- D. <u>Mandatory Requirements</u>. The County will evaluate the information submitted by the Applicant to determine whether the Applicant meets all of the following mandatory requirements (1 8) set forth below. Any Applicant who fails to meet these mandatory requirements will be considered not qualified and shall not be considered further by the County in this procurement process. The Mandatory Requirements include the following:
 - Statement of Applicant's Ability to Provide Performance and Payment Bond. Applicant must have a bonding capacity of not less than \$47 million and the ability to obtain a performance and payment bond for the Total Contract Price for this Contract. Applicant shall provide a letter from Applicant's surety (or agent) confirming bonding capacity.
 - Statement of Applicant's Ability to Meet the County's Insurance Requirements.
 Applicant must establish that it has the ability to meet the minimum insurance
 requirements as identified in the Reference Documents. Applicant shall provide a
 letter from Applicant's insurance broker (or agent) confirming commitment to insure
 Applicant if selected as the Top Ranked Finalist.
 - 3. Provide documentary verification that Applicant possesses a current Washington State Contractor's license in compliance with Chapter 18.27 RCW.
 - 4. Provide documentary verification that the Applicant has a current state unified business identifier number.
 - 5. Provide documentary verification that the Applicant has industrial insurance coverage for Applicant's employees working in Washington State as required in Title 51 RCW; an employment security department number as required in Title 50 RCW; and a state excise tax registration number as required in Title 82 RCW.
 - 6. Provide verification that the Applicant is not currently disqualified from bidding on any public works contract under RCW 39.06.010 or 39.12.065(3).
 - 7. Statement from Applicant that it has not violated RCW 39.04.370 more than one time as determined by the Washington State Department of Labor and Industries over the last five (5) years.

- 8. Statement from Applicant that it has not been found out-of-compliance with Apprenticeship Utilization requirements of RCW 39.04.320 over the last five (5) years.
- E. <u>Team Continuity and Changes to Organizational Structure</u>: Following submittal of the SOQ, Key Personnel and the Major Subcontractors identified by the Applicant may not at any time be removed or replaced during the procurement process and prior to Contract Execution without the written approval of the County. Any substitutions proposed by an Applicant shall be provided in writing, including a justification for the substitution and an explanation how they meet all applicable requirements of the RFQ. The County will use the criteria specified in this RFQ to evaluate and re-score all requests.

1.02 SOQ PHASE - SUBMITTALS DOCUMENTATION

- A. The Applicant shall submit **one (1) original unbound** Letter of Interest and Statement of Qualification, **one (1) electronic pdf copy**, and **eight (8) bound copies**. The Letter of Interest and Statement of Qualification herein referred to as "SOQ Submittals" should be provided in a box or envelope and the RFQ Title and RFQ Number shall be clearly printed on the outside of the box or envelope.
- B. <u>SOQ Submittals Format Requirements</u>. The SOQ Submittals shall comply with the following format requirements:
 - 1. The original and copies of the SOQ Submittals shall be indexed with tabs for each section.
 - SOQ Submittal shall be limited to a maximum of Twenty (20) pages. All pages
 that exceed the specified page limit will be removed prior to evaluation, starting
 with the last page first.
 - 3. Individual resumes shall not exceed **two (2) pages**. All pages that exceed the specified page limit will be removed prior to evaluation, starting with the last page first.
 - 4. SOQ Submittals shall be prepared on 8.5" by 11" paper. A page shall be defined as one single-sided piece of paper that has written text or graphics.
 - 5. No written text or graphics shall be incorporated on dividers used to organize the SOQ, except the label on the tab.
 - The following parts of the SOQ are not included in the page limit: (1.) Indexes or Table of Contents; (2.) front and back cover of the SOQ; (3.) Letter of Interest; (4.) Resumes; (5.) Statement of Qualification Certification Form (Form 00200); and (6.) All documents listed in paragraph 1.01 subparagraph D, Mandatory Requirements.
 - 7. Covers shall only contain the Project Name, Contract Number, name of Applicant and date. Covers that contain additional information shall be counted in the page count.
 - 8. Applicants shall provide resumes for Key Personnel only. Any resumes of individuals not requested will be removed.
 - C. All costs incurred in the preparation of the SOQ shall be borne by the Applicant.

- D. <u>Clarification of RFQ Documents and Addenda</u>: Requests for information for clarifications should be submitted in writing to the Contract Specialist identified above at least seven (7) calendar days prior to RFQ submittal date. All changes to the RFQ shall be documented in an addendum. Do not rely on any verbal information or directions.
 - E. The SOQ Submittals shall consist of the following parts:
 - 1. <u>Letter of Interest</u>. The Letter of Interest shall contain the following information and not exceed **two (2) pages**, additional pages shall be removed prior to evaluation:
 - (a) RFQ Title and Number;
 - (b) Applicant's name, mailing address, contact person, telephone and e-mail addresses; and
 - (c) Explanation as to why Applicant and its team consider itself qualified to provide the required services on this Project.
 - (d) A statement that the Applicant will comply with the County's policy on Small Contractors and Suppliers, Apprenticeship, Equal Benefits and non-discriminatory policy for the Contract, and the Project Labor Agreement for this Contract; and
 - (e) A statement from the Applicant indicating that the information requested by the County within this SOQ is true and complete and the Applicant's acknowledgment that any failure to disclose the required information or the submittal of false or misleading information may result in the rejection of the Applicant's SOQ.
 - Statement of Qualifications Certification. An authorized representative of the Applicant shall sign the Statement of Qualification Certification Form found in Section 00200. Special Note: The County has different certifications depending on the requirements of the procurement. Please be certain to use the Certification form attached to this RFQ.

1.03 SOQ PHASE - EVALUATION CRITERIA AND SUBMITTAL INFORMATION

A. Evaluation Overview

- 1. The County will evaluate the following criteria for this RFQ. The maximum points for each criteria are as follows:
 - a. Specialized Experience and Technical Competence of Applicant 500 points.
 - b. Firm's (Prime or Major Subcontractor) Experience Performing Particular Disciplines of Work 500 points.
 - c. Qualifications of Key Personnel 500 points.

B. Specialized Experience and Technical Competence of Applicant – 500 Points

1. The Applicant, as the general contractor, is required to self-perform at least 10% of the direct construction work for this contract, excluding taxes, insurance, bonding, general overhead, profit, and the cost of the procured materials and equipment.

- 2. In evaluating the Applicant's specialized experience and technical competence emphasis will be placed on the Applicant's experience and competence in performing and/or managing projects, as a prime contractor, similar in size and scope to the Project described in the RFQ and including the following elements:
 - (a) Construction of industrial building projects where the Owner's facilities on the same site remain in operation and in use during the construction period;
 - (b) Construction projects involving high ground water conditions, extensive dewatering, Temporary Erosion and Sedimentation Control and earthwork excavation:
 - (c) Construction projects with concrete work involving large retaining walls and elevated floor slabs;
 - (d) Construction projects with custom-designed (not pre-engineered) steel framed building superstructures; and
 - (e) Construction of solid waste processing/transfer facilities.

3. SUBMITTAL INFORMATION

- (a) Narrative. Provide a detailed narrative of the Applicants experience with the elements enumerated above.
- (b) Project Examples. Submit projects performed and/or managed by the Applicant that demonstrate its experience and competence with each of the elements enumerated above. All elements do not have to be in a single project. More recent projects are preferred. Construction must be substantially complete.
 - a) At a minimum, project information shall include:
 - a. Name of Project:
 - b. Description of the work the firm was responsible for on the project;
 - Firm staff who worked on the project and are being proposed as Key Personnel on this Project;
 - d. Start date and end date/substantial completion date of the work performed by firm;
 - e. Total price of work performed by firm;
 - f. Contact information of two references who can verify project experience (owner and its manager/representative) [name, position, phone number, email];
 - b) Did the construction involve Building Information Modeling (BIM), if so explain; and
 - c) Did the construction involve U.S. Green Building Council LEED [®] certification, if so explain.
- (c) Applicants shall not submit any project examples, narrative, or other information that pertain to any active construction projects, that have not achieved Final Acceptance, for King County Solid Waste Division.

C. FIRMS (APPLICANT AND/OR MAJOR SUBCONTRACTOR) EXPERIENCE PERFORMING PARTICULAR DISCIPLINES OF WORK – 500 points

- 1. The County will evaluate the firm performing the particular discipline(s) of work below either the Applicant or identified Major Subcontractor to determine each of the Firm's demonstrated experience with activities similar to those to be performed on this contract as stated in the RFQ.
 - (a) Reinforced Concrete
 - (b) Steel Building Erection
 - (c) Site Civil/Earthwork
 - (d) Mechanical/HVAC
 - (e) Electrical
 - (f) Plumbing

2. SUBMITTAL INFORMATION

- (a) For each discipline of work listed above, provide the following:
 - a) Identify the firm performing the work.
 - b) Narrative. Provide a detailed narrative of the experience of that firm in that work discipline.
 - c) Project Examples. Submit two (2) projects performed by the identified firm performing the work. More recent projects are preferred. At a minimum, project information shall include:
 - a. Name of Project
 - b. Description of the work the firm was responsible for on the project;
 - Firm staff who worked on the project and are being proposed as Key Personnel on this Project;
 - d. Start date and end date/substantial completion date of the work performed by firm;
 - e. Total price of work performed by firm; and
 - f. Contact information of two references who can verify project experience (owner and its manager/representative) [name, position, phone number, email].
- (b) Firms (Applicants and/or Major Subcontractors) performing a specific discipline of work shall not submit any project examples, narrative, or other information that have not achieved Final Acceptance, for King County Solid Waste Division.
- 2. **QUALIFICATIONS OF KEY PERSONNEL:** (500 Points) The Panel will evaluate the experience levels of the Key Personnel performing work on this Project as follows: (individuals may perform more than one function)
 - (a) <u>Project Manager</u>: This individual shall be responsible for the overall construction, quality management and contract administration for the Project. This individual shall also demonstrate experience with BIM and

- U.S. Green Building Council LEED[®]. This individual shall be assigned to the Project full time and on-site during construction activities.
- Qualifications: The level of experience of the proposed Project Manager shall be evaluated to determine their experience and competence in managing construction projects similar to that as stated in the RFQ.
- 2. The individual shall have experience managing at least two (2) completed constructed projects, within the last ten (10) years, each with a construction cost of at least \$25,000,000.
- (b) <u>Labor Relations Lead</u>: The individual shall be responsible for the implementation and administration of the Project Labor Agreement and all other labor relation matters for this Project. This individual shall be available for the Project at all times.
 - Qualifications: The level of experience of the proposed Labor Relations Lead shall be evaluated to determine their experience and competence in managing labor relations on construction projects similar to that as stated in the RFQ.
 - 2. The individual shall have experience managing labor relations activities, including the implementation and administration of PLA's, on at least two (2) completed projects, within the last ten (10) years, each with a construction cost of at least \$25,000,000.
- (c) <u>Project General Superintendent:</u> This individual shall be responsible for supervising and coordinating the day-to-day activities of field trade labor and associated subcontractors for this construction project. This individual shall be assigned to the Project full time and on-site during construction activities.
 - Qualifications: The level of experience of the proposed General Superintendent shall be evaluated to determine their experience and competence supervising and coordinating the day-to-day activities on construction projects similar to that as stated in the RFQ.
 - 2. The individual shall have experience supervising at least two (2) completed constructed projects, within the last ten (10) years, each with a construction cost of at least \$25,000,000.
- (d) Project Engineer: This individual shall be responsible for coordinating the technical day to day activities of submittals, change order documentation, documentation management, for this construction project. This individual shall also demonstrate experience with BIM and U.S. Green Building Council LEED[®]. This individual shall be assigned to the Project full time and on-site during construction activities.
 - 1. <u>Qualifications</u>: The level of experience of the proposed Project Engineer shall be evaluated to determine their experience and competence providing project engineering activities on construction projects similar to that as stated in the RFQ.
 - 2. The individual shall have the required experience on at least two (2) completed constructed projects, within the last ten (10) years, each with a construction cost of at least \$10,000,000.

- (e) Project Safety Officer: The individual shall be responsible for oversight and management of the Applicant's project specific safety plan as well as subcontractor compliance with the requirements of the safety plan(s). This individual shall also be responsible for public safety where the Owner and the contractor have joint use areas. This individual shall be available for the Project at all times.
 - Qualifications: The level of experience of the proposed Project Safety
 Officer shall be evaluated to determine their experience and
 competence for managing project safety programs on construction
 projects similar to that as stated in the RFQ.
 - 2. The individual shall have experience as a Project Safety Officer on at least two (2) completed constructed projects within the last ten (10) years, each with a construction cost of at least \$10,000,000.
- (f) Reinforced Concrete Project Lead: The individual shall be responsible for direct oversight and coordination of crews installing concrete formwork, reinforcing steel and concrete placement for building foundations, walls, pavement, elevated slabs, columns, beams, retaining walls and slabs on grade. This individual shall be on-site full time during the relevant phases of the Work.
 - Qualifications: The level of experience of the proposed Reinforced Concrete Project Lead shall be evaluated to determine their experience and competence for projects similar to that as stated in the RFQ.
 - 2. The Reinforced Concrete Project Lead shall have experience as a lead on at least two (2) projects where the reinforced concrete work has been completed within the last five (5) years, each with reinforced concrete work of at least \$5,000,000.
- (g) Mechanical/HVAC Project Lead: The individual shall be responsible for oversight and coordination of mechanical systems installation and commissioning for the project. This individual shall also demonstrate experience with BIM and U.S. Green Building Council LEED[®]. This individual shall be on-site full time during the relevant phases of the Work.
 - Qualifications: The level of experience of the proposed Mechanical/HVAC Project Lead shall be evaluated to determine their experience and competence for projects similar to that as stated in the RFQ.
 - Mechanical/HVAC Project Lead shall have experience as a lead on at least two (2) projects where the Mechanical/HVAC work has been completed within the last five (5) years, each with Mechanical/HVAC work of at least \$1,000,000.
- (h) <u>Electrical Project Lead</u>: The individual shall be responsible for oversight and coordination of electrical systems installation for the project. The individual shall also demonstrate experience with BIM and U.S. Green Building Council LEED[®]. This individual shall be on-site full time during the relevant phases of the Work.

- 1. Qualifications: The level of experience of the proposed Electrical Project Lead shall be evaluated to determine their experience and competence for projects similar to that as stated in the RFQ.
- 2. Electrical Project Lead shall have experience as a lead on at least two (2) projects where the Electrical work has been completed within the last five (5) years, each with Electrical work of at least \$1,000,000.
- (i) <u>Site Civil/Earthwork Project Lead</u>: The individual shall be responsible for oversight and coordination of crews performing Site Civil/Earthwork including: site mass excavation and grading; trench safety procedures; groundwater dewatering; survey and grade control; underground and overhead utilities, roadways and parking lots, backfill and compaction; rough grading; fine grading; and temporary erosion control measures. This individual should demonstrate experience with 3D modeling of site utilities. This individual shall be on-site full time during the relevant phases of the Work.
 - Qualifications: The level of experience of the proposed Site Civil/Earthwork Project Lead shall be evaluated to determine their experience and competence for projects similar to that as stated in the RFQ.
 - 2. Site Civil/Earthwork Project Lead shall have experience as a lead on at least two (2) projects where the Site Civil/Earthwork has been completed within the last five (5) years, each with Site Civil/Earthwork of at least \$5,000,000.

3. SUBMITTAL INFORMATION FOR KEY PERSONNEL:

- (a) Resumes: Provide resumes for all Key Personnel. Resumes shall, at a minimum, include the following:
 - (1) Name of Person & title;
 - (2) Firm name(s) & number of years employed by Firm(s) for at least the last 10 years;
 - (3) Persons job responsibilities for each firm worked at;
 - (4) Number of years of experience;
 - (5) Education and specialized training;
 - (6) Registrations, certifications and licenses (type/state/year);
 - (7) Description of how each of the separate Key Personnel meet the qualifications and experience as identified above;
 - (8) For each project identified provide the following:
 - a) Name of Project;
 - b) Description of the work the individual was responsible for on the project;
 - c) Start date and end date/substantial completion date of the work performed by firm;

- d) Total price of the project or relevant work as required by instructions above:
- e) Clearly identify if the price is for the relevant work or the price of the project.
- f) Contact information of two references who can verify project experience (owner and its manager/representative) [name, position, phone number, email].
- (9) Did the construction involve Building Information Modeling (BIM), if so explain; and
- (10) Did the construction involve U.S. Green Building Council LEED[©] certification, if so explain.
- (11) Applicants shall not submit any project examples, narrative, or other information for Key Personnel that pertain to any active construction projects that have not achieved Final Acceptance, for King County Solid Waste Division.

END OF SECTION

FACTORIA RECYCLING AND TRANSFER STATION PROJECT C00678C12

SOQ CERTIFICATION FORM- FORM 00200 APPLICANT'S DECLARATION AND STATEMENTS OF UNDERSTANDING

The undersigned, (hereinafter called the "Applicant") declares that we have read and understood the Request of Qualifications, RFQ C00678C12, "Factoria Recycling and Transfer Station Project". On behalf of, and with the full authority from all of the Applicant's team for this Contract, the Applicant submits the enclosed Statement of Qualifications. The Applicant certifies that it and the proposed team shall meet all of the requirements contained in the Request for Qualifications.

The Applicant agrees that, in addition to this Certification Form, the information submitted in response to this RFQ shall constitute our Statement of Qualifications.

The Applicant certifies that all the information and statements contained in the Statement of Qualifications are current, correct and complete, and are made with full knowledge that the County will rely on such information and statements in determining whether the Applicant will proceed to the next phase of the procurement.

The Applicant certifies no member of the team is currently suspended or debarred from doing business with any government entity.

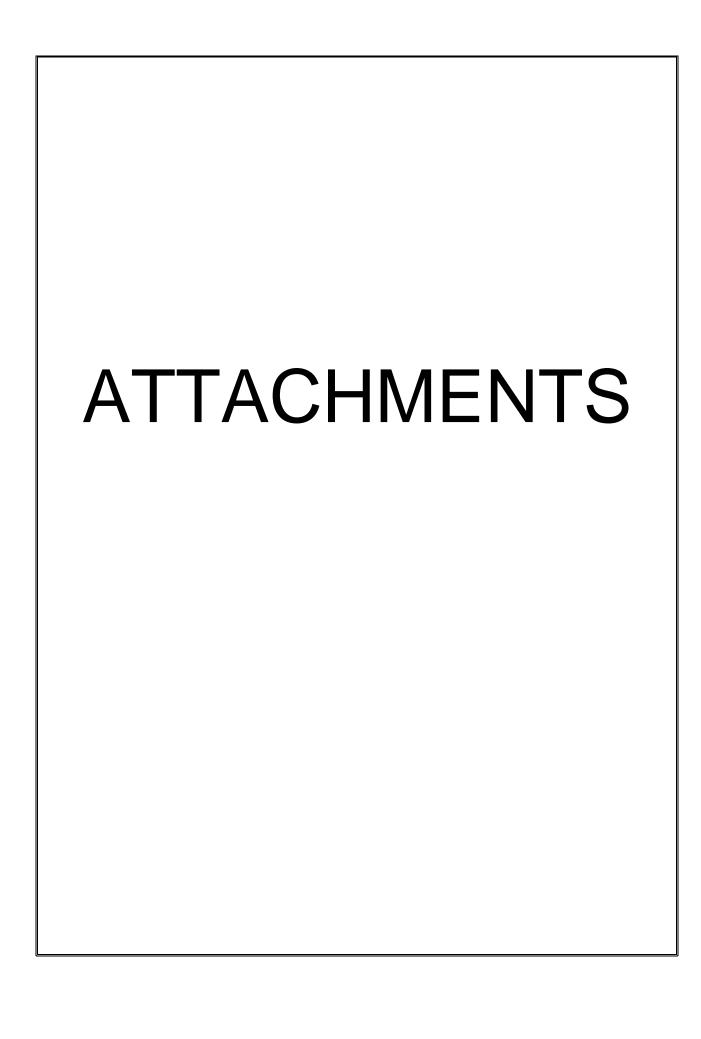
The Applicant certifies that, in preparing this response, we have not been assisted by any current or former employee of King County whose duties relate (or did relate) to this RFQ and who was assisting in other than his or her official public capacity; nor does such a current or former employee or any member of his or her immediate family have any financial interest in the outcome of this RFQ. Any exceptions to these assurances are described in full detail on a separate page and attached to this Certification Form.

The Applicant certifies that the Statement of Qualifications has been prepared and is submitted without collusion, fraud or any other action taken in restraint of free and open competition for the services contemplated by the RFQ.

The Applicant hereby acknowledges that Addenda Numbers _____ through _____ have been delivered to us and have been taken into account as part of our Statement of Qualifications. We agree that all addenda issued are hereby made part of our Statement of Qualifications.

The principal contact person who will serve as the interface between the County and the Applicant for all communication is:

PRINCIPAL CONTACT PERSON	APPLICANT
Name:	Name of Applicant:
Title:	Name of Designated Signatory:
Address:	Signature:
Phone:	Print Name:
Fax:	Title:
E-mail:	Dated this day of, 20



ATTACHMENT A
DETAILED PROJECT DESCRIPTION

ATTACHMENT A

PROJECT DESCRIPTION

FACTORIA RECYCLING AND TRANSFER STATION CONTRACT

The King County Department of Natural Resources and Parks, Solid Waste Division (KCSWD) is in the process of replacing its Factoria Transfer station located at 13800 Southeast 32nd Street, Bellevue, Washington, 98005.

KCSWD intends to develop the new Factoria Recycling and Transfer Station (Factoria RTS) on the property currently occupied by the existing Factoria Transfer Station and two adjacent warehouse properties. The project will also incorporate a portion of KCSWD's Eastgate property located south of, and adjacent to, the existing transfer station to encompass a total site area of approximately 16 acres.

The new Factoria RTS will include site roads and parking, site landscaping, site retaining walls, a waste recycling and transfer building with attached administration building, a transfer trailer parking and maneuvering yard, a fueling facility, household hazardous waste (HHW) facility, and building and underground site utility systems. This project has been registered with the U.S. Green Building Council and is required to attain a minimum LEED® Gold certification. Selected plans illustrating the overall scope of the project are provided in **Attachment D** of the Request for Qualifications.

COORDINATION WITH OWNER'S OPERATION

A critically important aspect of the construction work will be close coordination with the Owner to allow unimpeded transfer station operations throughout the construction period. Construction is planned to occur in the following phases:

- 1. Pre-Construction Phase: submittal preparation and review, establishment of field offices and staging areas, procurement/order of materials and equipment.
- 2. Phase 1: Installation of erosion and sedimentation controls, relocation of compressed natural gas pipeline (by PSE), relocation of sewer lines, and the deconstruction of two existing warehouse buildings.
- 3. Phase 2: Construction of new transfer building, associated site development and transition of County operations to new building.
- 4. Phase 3: Deconstruction of the existing transfer building and associated site improvements.
- 5. Phase 4: Construction of new household hazardous waste building and associated site development including soldier pile retaining wall.
- 6. Phase 5: Contract Closeout.

During Phases 1 and 2 of the construction work, the Owner's operation will continue in the existing transfer station. Phase 2 will be completed when the transfer operations are shifted from the existing transfer station to the new transfer building. Operational transition planning, startup, testing and commissioning of building systems in the new facilities and training of the operating staff for the new facilities will be important collaborative responsibilities of the Contractor and Owner. Contractor will be required to utilize Building Information Modeling to implement the Project.

The Contract will generally include the following work. Additional information will be provided at the time of the RFP.

SITE WORK:

- 1. Temporary facilities and controls.
- 2. Permanent and temporary fencing.
- 3. Traffic management.
- 4. Field survey work.
- 5. Deconstruction of structures.
- 6. Temporary Erosion and Sediment Control.
- 7. Stormwater and Groundwater management.
- 8. Environmental controls.
- 9. Street cleaning and sweeping.
- 10. Handling and disposal of potentially dangerous and hazardous waste.
- 11. Earthwork.
- 12. Construction of site retaining walls
- 13. Concrete construction.
- 14. Site utilities such as Water, Stormwater, Natural Gas, Sanitary Sewer, Electric power and communications.
- 15. Asphalt and Concrete paving.
- 16. Landscaping.
- 17. Coordination for Public Art.

RECYCLING AND TRANSFER BUILDING:

- 1. Construction of Transfer Building, see representative plan sheets in Attachment D.
- 2. Construction of Owner-designed long-span steel transfer/operations building system as opposed to a pre-engineered metal building system.
- 3. One full-size stationary, preload solid waste compactor.
- 4. HVAC system.
- 5. Electrical systems.
- 6. Diesel standby engine generator.
- 7. Building automated control system.
- 8. Plumbing systems and fixtures.
- 9. Fire booster pump.

ADMINISTRATION BUILDING:

- 1. Construction of Administration Building will include a lobby, conference room, transfer station operator ("TSO") area with kitchen, an office, storage room, employee locker rooms, uniform exchange area, janitor's closet, electrical room, telephone/data room, and mechanical room.
- 2. Building will be constructed using a steel deck roof and structural steel beam and column supports.

- 3. Elevated four feet above tipping floor to provide for TSO observation of waste handling operations.
- 4. HVAC system.
- 5. Plumbing systems and fixtures.
- 6. Supervisory control and data acquisition system (SCADA).
- 7. Electrical systems including power distribution, lighting, communications, and signal.
- 8. Fire alarm system and security system.

MAINTENANCE AREA:

- 1. Area will include maintenance bay with roll-up door, climate-controlled office area, break room and restroom, space for parts and fluid storage, shop area, ventilation and task lighting, and an air compressor and distribution piping for use of pneumatic tools.
- HVAC system.
- 3. Plumbing systems.
- 4. Electrical systems.
- **5.** Fire alarm system and security system.

HOUSEHOLD HAZARDOUS WASTE ("HHW") FACILITY:

- 1. Interior area will include an office/break area, swap room, employee changing room and unisex restroom, oxidizer storage, bulking area, hazards categorizing area and cabinets, general sorting and work area, general storage room, unknowns cabinet, janitor's room with roof access, and an electrical room.
- 2. Exterior storage area will contain acids, bases and inerts storage, empty drum storage, antifreeze and flammables storage, used oil tanks, fluorescent bulb collection, propane tank cage, pallets for batteries and small items, and open space for forklift storage and charging.
- 3. Drive through canopy area between the HHW and transfer buildings.
- 4. HVAC system.
- 5. Plumbing systems.
- 6. Electrical systems including power distribution, lighting, communications, and security.
- **7.** Fire alarm system and security system.

SCALEHOUSE IMPROVEMENTS:

1. Replacement of existing metal roof panels and installation of new prefinished metal wall panel system on existing scalehouse.

FUELING FACILITY:

Covered 2,500 gallon above-grade double-walled tank with dispensers.

ATTACHMENT B
GENERAL TERMS AND CONDITIONS

SECTION 00700

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ARTICLE 1: GENERAL PROVISIONS

1.0 DEFINITIONS

- A. "Addendum" or "Addenda" means alteration or clarification of the plans or specifications provided to bidders by the County prior to bid time, which becomes part of the Contract Documents when the Contract is executed.
- B. "Claim" means a written demand by the Contractor seeking (1) a change to Contract Price; (2) a change of Contract Time; (3) a payment of money or damages; and/or, (4) any other relief arising out of or relating to this Contract.
- C. "Change Order" means a written instrument designated to be a Change Order which alters the Contract, and identifies the following: (1) a change in the Work; (2) a change in Contract Price; and/or (3) a change in Contract Time.
- D. "Change Proposal" means a document prepared by the Contractor at the request of the County, which proposes changes to the Work and/or changes to the Contract Price and/or Contract Time. County initiates all requests for Change Proposals.
- E. The "Contract" or "Contract Documents" constitute the entire integrated agreement between King County and the Contractor for the performance of the Work. The Contract Documents are the following:
 - 1. The signed Agreement between King County and Contractor (the "Agreement Form"):
 - 2. Division 0, and all documents required therein, including the Contractors completed Responsibility Detail Form and Responsibility Attestation Form;
 - 3. Technical Specifications (Divisions 1 through 17);
 - 4. Drawings;
 - 5. Addenda; and
 - 6. Any Change Orders.
- F. "Contract Execution" occurs when the County Executive or its designee signs the Contract, which shall only occur after the Contractor signs the Contract.
- G. "Contract Price" means the total amount payable by the County to the Contractor for performance of the Work in accordance with the Contract.
- H. "Contractor's Representative" is the individual who has authority to obligate the Contractor and is identified in the Agreement (§ 00500).
- I. "Contract Time" means the number of days or the specific date set forth in the Contract to achieve Substantial Completion of the Work.
- J. "Contract Work" or "Work" refers to the labor, materials, equipment, supplies, services, other items, and requirements of the Contract necessary for the execution, completion and performance of all work within the Contract by the Contractor to the satisfaction of King County.
- K. "Contractor" means the individual, association, partnership, firm, company, corporation, or combination thereof, including joint ventures, contracting with King County to do the Contract Work.

- L. "Critical Path" is the longest, continuous sequence of interrelated activities that begins at the start of the Project (Notice to Proceed) and extends to Substantial Completion of the Project. These activities are critical because delay to an activity on this path will extend Contract Time.
- M. "Day" means calendar day, unless otherwise specified.
- N. "Differing Site Conditions" are defined as: (1) Subsurface or latent physical conditions at the site which differ materially from those indicated in the Contract Documents (Type I), or (2) Unknown physical conditions at the Site, of an unusual nature, which differ materially from those ordinarily encountered and generally recognized as inherent in the construction activities of the character provided for in the Contract (Type II).
- O. "Field Directive" is a document, titled Field Directive, prepared by the County directing the Contractor to proceed promptly with specific work and shall not, in and of itself, constitute a Change Order or entitlement to an adjustment in Contract Time and/or Contract Price.
- P. "Final Acceptance" and/or "Completion" is written acceptance of the Project by the County.
- Q. "Force Majeure" means an event that is unforeseeable at the time of Contract Execution and that is beyond the reasonable control of the Contractor and County and is limited to:
 - 1. Natural Disaster declared by Governor of Washington or President of the United States, including but not limited to earthquakes;
 - 2. Acts or omissions of any government entity acting within its governmental capacity;
 - 3. Fire and/or flood for which the Contractor or its Subcontractors is not responsible;
 - 4. Quarantine or epidemic;
 - 5. Strike or defensive lockout; and,
 - 6. Unusually Severe Weather Conditions.
- R. "Hand and Other Small Tools" means any tool, piece of communication equipment, or piece of equipment with a wholesale value of less than \$500.
- S. "Hazardous Material" means any pollutant, contaminant, toxic or hazardous waste, dangerous substance, potentially dangerous substance, noxious substance, toxic substance, flammable material, explosive material, radioactive material, urea formaldehyde foam insulation, asbestos, PCBs, or any other substances the removal of which is required, or the manufacture, preparation, production, generation, use, maintenance, treatment, storage, transfer, handling, or shipment of which is restricted, prohibited, regulated, or penalized by any and all federal, state, county, or municipal statutes or laws and regulations promulgated thereunder, now or at any time hereafter in effect, including, but not limited to, the Comprehensive Environmental Response, Compensation, and Liability Act (42 U. S. C. §§ 9601, et seq.), the Hazardous Materials Transportation Act (49 U. S. C. §§ 1801, et seq.), the Resource Conservation and Recovery Act (42 U. S. C. §§ 6901, et seq.), the Federal Water Pollution Control Act (33 U. S. C. §§ 1251, et seq.), the Clean Air Act (42 U. S. C. §§ 7401, et seq.), the Toxic Substances Control Act, as amended (15 U. S. C. §§ 2601, et seq.), the Occupational Safety and Health Act (29 U. S. C. §§ 651, et seq.)

- and the Model Toxics Control Act (RCW 70.105), or similar state or local statute or code), as the laws have been amended and supplemented.
- T. "King County" or "County" or "Owner" may be used interchangeably and refers to the County of King, a municipal corporation and a home rule charter county of the state of Washington.
- U. "**Notice**" means a written document issued by the Project Representative or Contractor's Representative which is submitted to the other party and delivered by:
 - 1. Depositing in the U. S. Mail (or other method of commercial express mail), which notice shall be effective on the date of receipt;
 - 2. Service on the Parties' representative or at the Contractor's home office or field office, which notice shall be effective on the date of service; or,
 - 3. Facsimile to the Parties' representative or Contractor's home office or field office, which notice shall be effective upon receipt.
- V. "**Notice To Proceed**" is a written directive issued by the County authorizing the Contractor to perform some or all of the Work.
- W. "Overhead" shall mean charges that may be incurred or allocated in support of the Contract but are not part of the cost of directly performing the physical Contract construction activity. Overhead includes Site or Field Overhead and Home Office Overhead.

1. Site or Field Office Overhead

Site or Field Overhead costs are typically those costs that are related to, but are not limited to supervision, including general foremen and their supervisors, planners, schedulers, engineers, managers, etc. and the direct payroll costs of their project-related service, clerical salaries and their direct payroll costs, the costs of all vehicles, travel, meal and lodging costs associated with those personnel, Site or Field office and utility expense, expenses associated with all regulatory compliance, Hand and Other Small Tools provided by the Contractor for the use of its forces, all expendable supplies, and all other items incidental to or integral in supporting the physical completion of the Work.

2. Home Office Overhead

Home office Overhead costs are typically those that include all general office expenses. Such costs include, but are not limited to those associated with officer and office salaries and related payroll taxes and benefits, costs of office occupancy and maintenance, all supporting services (such as utilities, office machines computers, and related items and support) related to the home office function, business taxes and licenses, and all such other costs necessary to operate the business entity. Home office overhead includes unabsorbed home office overhead.

- 3. In addition to the above, whether treated as Site or Field Overhead or as Home Office Overhead, costs of any and all bonds, insurance(s), and taxes associated with this Contract are to be considered as Overhead. All items as those identified above are to be treated as Overhead for this purpose regardless of how the Contractor chooses to account for them in its books of account.
- 4. Under no circumstances shall the County pay the Contractor for direct or allocated costs or charges for officer bonus and profit sharing, project personnel

- bonuses, charitable contributions, income taxes, or any costs relating to illegal activity.
- X. "Parties" refers to the Contractor and King County.
- Y. "**Project**" refers to all activity relative to this Contract including activity of the Contractor, its Subcontractors, and the County.
- Z. "Request for Change Order" means a document, designated as a Request For A Change Order, prepared by the Contractor requesting either (1) a change in Contract Price; (2) a change in Contract Time; (3) a change in Contract Work; (4) a payment of money or damages; and/or, (5) any other relief arising out of or relating to this Contract.
- AA. "Request for Information" is a request from the Contractor to the County seeking an interpretation or a clarification of some requirement of the Contract Documents.
- BB. "Site" or "Project Site" shall be understood to refer to the location at which construction, equipment or services furnished by the Contractor under the Contract will be performed, completed and/or delivered.
- CC. "Subcontractor" shall mean an individual, firm, partnership, or corporation having a contract, purchase order, or agreement with the Contractor, or with any Subcontractor of any tier for the performance of any part of the Contract. When the County refers to Subcontractor(s) in this document, for purposes of this document and unless otherwise stated herein, the term Subcontractor(s) includes, at every level and/or tier, all subcontractors and subconsultants.
- DD. "Supplier(s)" The term Supplier(s) shall mean any person or firm who is not performing work or supplying labor on Site and is engaged in the business of supplying a manufactured product or resource to the County, Contractor, or Subcontractors. The term Suppliers includes materialmen, manufacturers, and fabricators.
- EE. "Substantial Completion" means that stage in the progress of the Work where:
 - 1. The County has full and unrestricted use and benefit of the Project for the purpose intended;
 - 2. All the systems and parts of the Contract Work are functional;
 - 3. Utilities are connected and operate normally;
 - 4. Only minor incidental work or correction or repair remains to complete all Contract requirements; and,
 - 5. At the County's option, the Contractor has provided all occupancy permits and easement releases.
- FF. "Unusually Severe Weather Conditions" shall be defined and calculated as follows:
 - 1. Daily rainfall equal to, or greater than, 0.50 inch during a month when the monthly rainfall exceeds the normal monthly average by 15 to 100 percent.
 - 2. Daily rainfall equal to, or greater than, 0.20 inches during a month when the monthly rainfall exceeds the normal monthly average by more than 100 percent.
 - 3. Daily rainfall equal to, or greater than, 1.0 inch at any time.

- 4. Daily maximum temperature equal to, or less than, 20 degrees F during a week when the maximum daily temperature never exceeds 35 degrees F.
- 5. Daily maximum temperature equal to, or less than, 25 degrees F during a week when the maximum daily temperature never exceeds 30 degrees F.
- 6. Daily maximum temperature equal to, or less than, 15 degrees F at any time.
- 7. Daily maximum wind velocity equal to or greater than 50 mph at any time.

Ice, snow and other weather conditions, not described above, may be considered as unusually severe at the sole discretion of the County upon written request by the Contractor. Such written request shall describe in detail the weather conditions, identify the specific impacts resulting from the weather condition, and be submitted to the County within five (5) days of the onset of the unusually severe weather condition.

To preclude the difficulties of actual measurement, the parties hereto agree that weather data at the Site of the Work shall be expressly deemed to be the same as that measured at the Seattle-Tacoma International Airport by the Environmental Data and Information Service of the National Oceanic and Atmospheric Administration ("NOAA") of the U. S. Department of Commerce, unless otherwise specified in the Contract Document's technical specifications.

Precipitation (such as rain, hail or snow), low temperature, windstorms, ice, and other conditions which could reasonably have been anticipated from the National Weather Service historical records for the general locality of the Work shall not be construed as unusually severe weather.

For the purposes of this provision, a "month" shall mean a calendar month and a "week" shall mean a calendar week of Sunday through Saturday.

1.1 INTENT AND INTERPRETATION OF THE DOCUMENTS

- A. The Contract Documents constitute the entire and integrated agreement between the parties hereto and supersede all prior negotiations, representations, or agreements, either written or oral.
- B. The Contract Documents shall not be construed to create a contractual relationship between any parties other than the County and the Contractor. No contract between the County and a third party shall be construed to create any duty on the part of the County or such third party to the Contractor. The Contractor is not an intended or incidental beneficiary of any promises made in the County's contract with a third party, if any.
- C. The Contract Documents are intended to be complementary. What is required by one part of the Contract shall be as binding as if required by all. Should any conflict or inconsistency be found in the Contract Documents, the County shall resolve any such conflict or inconsistency in accordance with provision 1.2, Order of Precedence.
- D. Where the words "similar," "typical" (or their equivalents) are used in the Contract, they shall mean nearly corresponding or having a likeness. Such words shall not be construed to mean that all parts of the Work referred to are identical or substantially identical, or that such elements of the Work are connected identically or substantially identically to the rest of the Work. The Contractor has the responsibility to determine all details of the Work in relation to their location and connection to other parts of the Work. Words importing the singular number may also be applied to the plural of

- persons and things; words importing the plural may be applied to the singular; and words importing the male gender may be extended to females also.
- E. The organization of the specifications into divisions, provisions and articles and the organization of the drawings shall not control the Contractor in dividing the Work among Subcontractors or in establishing the extent of Work to be performed by any trade.

1.2 ORDER OF PRECEDENCE

- A. Any conflict or inconsistency between the terms or conditions of the Contract Document shall be resolved by the following descending order of precedence (with 1 taking precedence over 2, 3, 4, 5, 6 and 7; 2 taking precedence over 3, 4, 5, 6 and 7; and so forth):
 - 1. The signed Agreement (§00500);
 - 2. The Supplemental Terms and Conditions (§00800);
 - 3. The General Terms and Conditions (§00700);
 - 4. The Technical Specifications ("Specifications") as modified by Addenda or Change Orders, Divisions 1-17: provisions in Division 1 shall take precedence over provisions of any other Division;
 - 5. Detail drawings, as modified by Change Orders;
 - 6. Drawings, as modified by Change Orders;
 - 7. All other sections in Division 0 not specifically identified herein by Section including the Contractors completed Responsibility Detail Form and Responsibility Attestation Form; and
 - 8. Affidavits, Certifications and bonds (§00410; §00420).

1.3 CLARIFICATION OF DRAWINGS AND DETAIL DRAWINGS

- A. Where on any drawing a portion of the Work is drawn out and the remainder is indicated in outline, the drawn out parts shall apply also to other similar portions of the Work. Where ornament or other detail is indicated by starting only, such detail shall be continued throughout the courses or parts in which it occurs and shall apply to all other similar parts of the Work, unless otherwise indicated.
- B. With regard to drawings the following shall apply:
 - 1. Written dimensions shall be followed; drawings may not be to scale.
 - 2. Figure dimensions on drawings shall govern over scale dimensions; and detail drawings shall govern over general drawings.

ARTICLE 2: COUNTY

2.0 AUTHORITY

- A. Unless the County, in writing, indicates otherwise, the authority to (1) commit to or bind the County to any Change Orders or change in Contract Work, Contract Price and/or Contract Time; or (2) sign the Contract or Change Orders rests solely in the King County Executive or its designee.
- B. The County shall identify the Project Representative in the Contract prior to Contract Execution.

- The Project Representative shall provide the Contractor with a written Notice of delegation of authority, which identifies the person who has authority to sign Change Orders and/or bind the County to changes in Contract Work, Contract Price, and Contract Time.
- 2. In the event the Project Representative is no longer assigned to the Contract, the County shall notify the Contractor in writing of the change providing the name of the new Project Representative and effective date of the change.
- C. The Project Representative shall have the authority to administer the Contract. Administration of the Contract by the Project Representative includes but is not limited to:
 - 1. Receiving all correspondence and information from the Contractor;
 - 2. Issuing Field Directives;
 - 3. Issuing request for Change Proposals;
 - 4. Responding to Requests For Information;
 - 5. Reviewing the schedule of values, project schedules, submittals, testing and inspection reports, substitution requests, and other documentation submitted by the Contractor;
 - 6. Negotiating Change Proposals and Change Orders;
 - 7. Recommending Change Orders for approval by the King County Executive or its designee;
 - 8. Issuing decisions with respect to Requests for Change Orders and Claims;
 - 9. Processing payment requests submitted by the Contractor, and recommending payment;
 - 10. Monitoring the quality of the work, rejecting noncompliant work, and recommending acceptance of the work;
 - 11. Transmitting executed Change Orders, amendments, and other Contract correspondence to the Contractor, and
 - 12. Performing all other contract administrative functions.
- D. All correspondence, questions, and/or documentation shall be submitted to the Project Representative.
- E. The Project Representative may designate Technical Representatives to perform functions under the Contract, such as review and/or inspection and acceptance of supplies, services, including construction, and other functions of a technical or administrative nature.
 - 1. The Project Representative will provide a written Notice of its designation to the Contractor. The designation letter will set forth the authority of the Technical Representatives under the Contract.
 - 2. The Project Representative may add to or modify in writing these designations from time to time.
 - 3. The Project Representative cannot grant a Technical Representative greater authority than the authority of the Project Representative.

2.1 INFORMATION SUPPLIED BY COUNTY

- A. Unless otherwise specifically provided in the Contract, surveys and site information provided by the County are intended to describe the general physical characteristics of the Site. The County does not represent that this information is complete or sufficient for the Contractor's performance of the Work.
- B. The County shall furnish to the Contractor five copies of the Contract Documents (including half-size copies of the Contract drawings), one full-size set of Contract drawings, and one copy of any permits obtained by the County. The Contractor shall pay the County for any additional copies of Contract Documents.
- C. All drawings, models, and specifications furnished by the County are solely for use on this Contract and are not to be used by the Contractor on any other work or project.

2.2 WORK BY COUNTY OR SEPARATE CONTRACTORS

The County reserves the right to perform work not included in the Contract or to let other contracts in connection with this Project.

ARTICLE 3: CONTRACTOR

3.0 CONTRACTOR REPRESENTATIONS

The Contractor makes the following representations to the County:

- A. Before submission of its bid, the Contractor has:
 - 1. Carefully reviewed the Contract Documents, and visited and examined the Site;
 - 2. Become familiar with the general and local conditions in which the Work is to be performed, and satisfied itself as to the nature, location, character, quality and quantity of Contract Work, the labor, materials, equipment, goods, supplies, work, services and other items to be furnished and all other requirements of the Contract Documents, as well as the surface and reasonably ascertainable subsurface conditions and other matters that may be encountered at the Site or affect performance of the Contract Work or the cost or difficulty thereof:
 - 3. Become familiar with and satisfied itself as to the conditions bearing upon transportation, disposal, handling, and storage of materials; and
 - 4. Become familiar with and satisfied itself as to the availability of labor, water, electric power, and roads; and the uncertainties of traffic, weather, river stages, tides, or similar physical conditions at the site. Any failure of the Contractor to take the action described in this provision or elsewhere in the Contract Documents will not relieve the Contractor from responsibility for estimating properly the difficulty and cost of successfully performing the Work, or for proceeding to successfully perform the Work without additional expense to the County;
- B. The Contract Price is reasonable compensation for the Work and the Contract Time is adequate for the performance of the Work as represented by the Contract, site visit, and the general conditions (including but not limited to weather, site, soil) known or reasonably anticipated for the Site;
- C. The Contractor is financially solvent, able to pay its debts as they mature, and possesses sufficient working capital to complete the Work and perform the Contractor's obligations required by the Contract;

- D. The Contractor is able to furnish plant, tools, material, supplies, equipment, and labor required to complete the Work and perform the obligations required by the Contract and has sufficient experience and competence to do so; and
- E. The Contractor shall perform at the Site, and with its own forces, work equivalent to at least twenty-five percent (25%) of the Contract Price.

3.1 GENERAL DUTIES

- A. The Contractor shall give sufficient supervision to the Work, using its best skill and attention. The Contractor is hereby given notice that the County will be relying on the accuracy, competence and completeness of the Contractor's work. The Contractor shall supervise and be solely responsible for the proper performance of the Work in accordance with the Contract, including the construction means, methods, techniques, sequences, procedures, and for coordination of all portions of the Work.
- B. Unless specified elsewhere in the Contract, the Contractor shall provide and pay for all labor, materials, equipment, tools, construction machinery, utilities, transportation, and other facilities and services (including federal and state tax, industrial insurance, social security liability and all other applicable taxes) necessary for the proper execution and completion of the Work.
- C. The Contractor shall also provide sufficient staffing and supervision to process Requests for Information, Change Proposals, Submittals, Change Orders, close out documentation, and to perform all other requirements of the Contract and all Work.
- D. The Contractor shall lay out its Work from baselines and benchmarks indicated in the Contract and shall be responsible for the accuracy of all field measurements used in the lay out.

3.2 DUTY TO INSPECT CONTRACT DOCUMENTS

- A. The Contractor shall carefully study and compare all Contract Documents and check the conditions, dimensions, and instructions as stated therein. Contractor will not be required to provide professional services which constitute the practice of architecture and engineering except to the extent provided for in the technical specifications and drawings.
- B. The Contractor shall immediately notify in writing the County of any:
 - Error, inconsistency, or omission in the Contract Documents that a reasonable contractor knew or through the exercise of reasonable diligence should have discovered under the same and similar circumstances:
 - Requirement in the Contract Documents that conflict with any local, state, and federal laws, regulations and/or permits, licenses, and easement conditions that a reasonable contractor knew or through the exercise of reasonable diligence should have discovered under the same and similar circumstances.
- C. The Contractor should not proceed with the work in question until the Contractor receives written direction from the Project Representative.
- D. If the Contractor proceeds with the work in question without written direction from the Project Representative, the Contractor shall be responsible for any costs or damages associated with:
 - 1. Fines or penalties:

- 2. Demolition, tear out, removal, cleanup, remediation, or fixing the work in question; and
- 3. Delay, disruption, and loss of productivity.
- E. The Contractor's failure to timely discover and immediately report such reasonably ascertainable errors, inconsistencies, or omissions and conflicts in regulatory requirements, permits, license or easements to the County shall preclude the Contractor's recovery of costs and time resulting from the Contractor's failure to timely discover and/or immediately notify the County of such errors, inconsistencies, or omissions.

3.3 COMMUNICATIONS

- A. The Contractor must designate, in writing, its Contractor's Representative who is responsible for administering the Contract and has the authority to bind and obligate the Contractor in the performance of the Work. The Contractor's Representative shall be identified in the Contract.
- B. Communication with the Contractor shall be through the Contractor's Representative.
- C. The Contractor shall notify the County immediately if the Contractor's Representative is changed and identify the name of the new Contractor's Representative and effective date of the change.

3.4 CONTRACTOR'S SUPERVISION AND EMPLOYEES

- A. Contractor has an obligation to provide qualified and competent people to administer the contract and perform all the Work.
- B. During performance of the Work the Contractor shall have supervisory personnel onsite and available to administer, manage and coordinate the Work. The County shall not be responsible for the acts or omissions of the supervisory personnel or their assistants.
- C. The Contractor shall at all times enforce good order among all persons furnishing labor or materials on-site and shall only employ workers skilled in the work assigned. If requested by the Project Representative, Contractor shall provide the Project Representative with copies of licenses, registrations, and certifications.
 - The County shall have the right to require the Contractor to remove personnel from the Site that do not have the appropriate qualifications and experience to meet or uphold the requirements of the Contract. The County shall also have the right to order the Contractor to replace personnel who demonstrate unprofessional behavior.
 - 2. Failure by the County to require removal of any Contractor personnel shall not be deemed an admission that any such personnel are satisfactory, nor shall such failure relieve the Contractor from any contractual responsibility.

3.5 CONTRACTOR'S DUTY WHEN COUNTY PERFORMS WORK ON-SITE

A. The Contractor shall coordinate its Work with the County and other County contractors and, at the County's request, participate in meetings for the purpose of coordinating the Contractor's construction schedule with those of other contractors at no additional cost to the County. To the extent a direct conflict exists with regard to access to the Site, if the contractors cannot work out a resolution that has no impact

- on Contract Price, Contract Time, and any milestones in the Contract Documents, the Project Representative shall issue written direction to resolve the conflict.
- B. The Contractor shall not cut, excavate, alter, impair, or otherwise engage in work activity that inhibits the work of any other contractors without the prior written consent of the County.
- C. If any part of the Contractor's Work depends, for proper execution or results, upon the prior work of the County or any other contractor, the Contractor shall, before performing the affected Work, inspect and give prompt written Notice of any apparent discrepancies or defects in the prior work that renders it unsuitable for the reception of Contractor's Work. Contractor's failure to so inspect and to give such prompt Notice shall constitute acceptance of the prior work as fit for reception of its Work, except as to defects not then reasonably discovered.

3.6 MATERIALS AND EQUIPMENT FURNISHED BY COUNTY

- A. Unless otherwise specifically provided in the Contract Documents, if the Contract requires that the Contractor install materials and equipment provided by the County, in the absence of a reasonably apparent defect, such materials and equipment shall be considered compliant with the Contract Documents.
 - 1. If the Contractor discovers defects in the County-furnished material or equipment the Contractor shall immediately notify the County in writing.
 - After such discovery, the Contractor shall not proceed with Work involving such County materials and equipment unless otherwise authorized in writing by the County.
 - 3. Contractor's failure to provide immediate written Notice of any defects in material or equipment shall constitute acceptance of such materials and equipment as fit for incorporation into the Work.
 - 4. Contractor shall be responsible for any damages or delays resulting from Contractor's failure to provide timely written Notice or Contractor's improper incorporation of such defective materials or equipment into the Work.
- B. Unless otherwise specifically provided in the Contract Documents, materials and equipment furnished by the County, which are not of local origin, are considered to be Free On Board "FOB" to the point of destination which is the railroad, truck or port terminal nearest to the Site.
 - 1. The County shall inspect the equipment at the point of destination and notify the Contractor that the County-furnished material and equipment is available for immediate receipt, possession, and inspection at the point of destination.
 - 2. Upon such notice, the Contractor shall, within seven (7) days, inspect such County-furnished material and equipment at point of destination and provide immediate written Notice of rejection of said material and equipment if it is defective or does not meet the requirements of the Contract.
 - a. The Contractor shall identify the causes for its rejection, including but not limited to the specific defect or nonconformance with the Contract.
 - b. Failure to provide such written rejection shall result in a presumption that the Contractor accepts the County-furnished material and equipment, except as to defects not then reasonably discovered.

3. After receipt by the Contractor at the point of destination all risk of loss and damage to such materials and equipment shall be borne by the Contractor. The Contractor shall promptly unload, transport, store and/or protect such material and equipment from damage.

3.7 SUBCONTRACTORS AND SUPPLIERS

A. This Contract is between King County and the Contractor.

- The Contractor's subcontracting shall create no contract between King County and the Subcontractor and Suppliers. Subcontractors and Suppliers are not intended or incidental third party beneficiaries to the Contract. The Subcontractor and Suppliers shall have no rights against King County by reason of its subcontract with the Contractor.
- 2. The Contractor will be responsible for performing all Work as required by the Contract. The Contract has not been written with the intent of, and King County shall not be a party to, defining the division of work between the Contractor and its Subcontractors and Suppliers.
- 3. The Contractor shall be responsible for all Work and material furnished, and no subcontract shall in any case release the Contractor of its obligations or liability under this Contract and the Performance and Payment Bond.

B. Selection of Subcontractors and Suppliers

- 1. Subcontractors and Suppliers shall be properly licensed, registered or certified, as applicable, and capable to perform the assigned work.
- 2. If requested by the County, the Contractor shall provide documentation that the proposed Subcontractors and Suppliers are experienced and equipped to do the Work.
- 3. The Contractor shall require each Subcontractor and Supplier to comply with all provisions of this Contract. At the request of Subcontractors or Suppliers, Contractor shall make available for copying all Contract Documents.

C. Responsibility for Work of Subcontractors and Suppliers

The Contractor shall be responsible for the acts and omissions of Subcontractors and Suppliers. The Contractor shall also be responsible for the suitability of any materials, components, equipment or supplies furnished by a Subcontractor and/or Supplier irrespective of whether such were designated or approved by the County.

3.8 SCHEDULE OF WORKING HOURS

- A. As specified in the Contract, the Contractor shall submit a schedule of working hours, including overtime and shift work, to the County for acceptance. This schedule shall comply with RCW 49.28 and all other Contract requirements.
- B. The schedule of working hours accepted by the County shall be the only schedule used by the Contractor during performance of Work in the Contract, unless amended to maintain Work progress.
- C. The Contractor shall provide 48 hours advance written Notice of any intent to work outside of regular working hours as defined in the Contract Documents or on Sundays or legal holidays. Any Work performed after regular working hours, or on

Sundays or legal holidays, shall be performed without additional expense to the County, except as otherwise provided in the Contract Documents.

3.9 RECORD DOCUMENTS

- A. The Contractor shall keep a copy of the Contract Documents on the Site.
- B. The Contractor shall keep at the Site an accurate, readable, and orderly set of drawings and specifications, updated as the job progresses to show all approved changes, options, alternates, and all actual deviations from the original Contract Documents. This set of drawings and specifications shall be the Record Documents.
 - 1. The Record Documents shall be maintained in hard copy and at the County's option, in electronic format meeting the County's requirements. The technical specifications shall state the electronic format.
 - In addition to all approved changes, options, alternates, and all actual deviations from the original Contract Documents, the Record Documents shall be marked as follows:
 - a. Record all materials used where options, alternates and/or change orders were indicated, specified and/or authorized;
 - Accurate measurements referenced to two permanent structures shall be recorded to show the exact location and changes in direction of all underground services and utilities, as well as their approximate depth below finished grade;
 - c. Update the Record Documents with information about each item of capital equipment or other fixed asset installed, including type of equipment, make, model, serial number, and acquisition cost;
 - d. Update the Record Documents identifying each item of capital equipment or other fixed asset removed from the Project, including type of equipment or fixed asset, make, model, serial number and description of location from which it was removed; and
 - e. Record all other requirements as specified in the Technical Specifications.
- C. The Record Documents shall be kept up-to-date and be available for review by the County at all times, including but not limited to at each job progress meeting. Failure to have the record set up-to-date shall be sufficient reason for the County to withhold payment in accordance with provision 7.2, *Payments Withheld*, until all such information is recorded.
- D. Record Documents may be used to assist the County to verify the appropriate progress payment.

3.10 COST RECORDS

- A. The Contractor, Subcontractors, and Suppliers shall maintain Project cost records by cost codes and shall segregate and separately record at the time incurred all costs (1) directly associated with each work activity and (2) directly or indirectly resulting from any event or condition for which the Contractor seeks an adjustment in the Contract Price, Contract Time, and/or damages.
 - 1. Any costs claimed to result from any such event or condition, including, but not limited to, delay and impact costs, acceleration costs, loss of productivity or

- efficiency, and increased or extended overhead shall be recorded at the time incurred and be fairly and reasonably allocated to each such event or condition and to other causes of such costs.
- The County shall be provided with a detailed description of all such costs and the basis of allocation. The Contractor, Subcontractors, and Suppliers shall maintain a monthly summary of all costs and shall make all underlying cost records and monthly summary of costs available for review, inspection, and copying by the County upon request.
- 3. Any work performed for which the Contractor intends to seek an adjustment in Contract Price and/or Contract Time shall be recorded on the same day the work is performed and kept separate so as to distinguish it from Contract Work.
- B. In addition to the requirements set forth in Articles 5, *Changes to the Contract*, and 6, *Time and Price Adjustments*, the Contractor shall be entitled to extra compensation for an event or condition and/or the recovery of damages only to the extent that the Project cost records are kept in full compliance with all Contract requirements and the cost allocations support entitlement to such compensation.

3.11 MAINTENANCE AND INSPECTIONS OF DOCUMENTS

- A. All Contractor's, Subcontractors', and Suppliers' documents and records relating to the Contract shall be open to inspection, audit, and/or copying by the County or its designee:
 - 1. During the Contract Time; and
 - For a period of not less than six years after the date of Final Acceptance of the Contract ("Preservation Period"); or if any Claim, audit or litigation arising out of, in connection with, or related to this Contract is initiated, all documents shall be retained until such Claim, audit or litigation involving the records is resolved or completed, whichever occurs later.
- B. The Contractor shall also guarantee that all Subcontractor and Supplier documents shall be retained and open to similar inspection, audit and/or copying during the Contract Time and also the Preservation Period. The Contractor, Subcontractor, and Supplier shall use its best efforts to cooperate with the inspection, auditing, and/or copying.
- C. Inspection, audit, and/or copying of all documents described herein, may be performed by the County or its designee at any time with not less than seven (7) days Notice. Provided however, if an audit or inspection is to be commenced more than sixty (60) days after the Final Acceptance date of the Contract, the Contractor will be given twenty (20) days Notice of the time when the audit or inspection is to begin.
- D. The Contractor, Subcontractors, and Suppliers shall provide adequate facilities, acceptable to the County, for inspection, auditing, and/or copying during normal business hours.
- E. If the Contractor is formally dissolved, assigns or otherwise divests itself of its legal capacity under this Contract, then it shall immediately notify the County and preserve such records, at its expense, as directed by the County.
- F. The Contractor, Subcontractor, and Supplier, shall be subject to audit at any time with respect to this Contract. Failure to maintain and retain sufficient records to allow

the County to verify all costs or damages or failure to permit the County access to the books and records shall constitute a waiver of the rights of the Contractor Subcontractor and Supplier to Claim or be compensated for any damages, additional time or money under this Contract.

- G. At a minimum, the following documents, including the machine readable electronic versions, shall be available for inspection, audits, and/or copying:
 - 1. Daily time sheets and all daily reports, Supervisor's reports, and inspection reports;
 - 2. Collective bargaining agreements;
 - Insurance, welfare, and benefits records;
 - 4. Payroll registers;
 - 5. Earnings records;
 - 6. All tax forms, including payroll taxes;
 - 7. Material invoices and requisitions;
 - 8. Material cost distribution worksheet;
 - 9. Equipment records (list of Contractor's, Subcontractors', and Suppliers' equipment, rates, etc.);
 - 10. Contracts, purchase orders and agreements between the Contractor and each Subcontractor and Supplier;
 - 11. Subcontractors' and Suppliers' payment certificates;
 - 12. Correspondence, including email, with Subcontractors and/or Suppliers;
 - 13. All meeting notes by and between Contractor, Subcontractors, Suppliers and/or any third parties related to the Project;
 - 14. Canceled checks (payroll and vendors);
 - 15. Job cost reports, including monthly totals;
 - 16. Job payroll ledger;
 - 17. Certified payrolls;
 - 18. General ledger;
 - 19. Cash disbursements journal;
 - 20. Escrow bid documents, take off sheets, and calculations used to prepare the bid and/or quotes;
 - 21. Take off sheets, calculations, quotes, other financial data to support change proposals, request for change order and/or claims;
 - 22. Financial statements for all years during the Contract Time. In addition, the County may require, if it deems appropriate, additional financial statements for 3 years preceding execution of the Contract and 6 years following Final Acceptance of the Contract;
 - 23. Depreciation records on all Contractor's, Subcontractor's, and Supplier's equipment, whether these records are maintained by the Contractor, Subcontractors, and Suppliers involved, its accountant, or others;

- 24. If a source other than depreciation records is used to develop costs for the Contractor's internal purposes in establishing the actual cost of owning and operating equipment, all such other source documents;
- 25. All documents which relate to each and every Claim together with all documents which support the amount of damages as to each Claim;
- 26. Worksheets or software used to prepare the Claim establishing the cost components for items of the Claim including but not limited to labor, benefits and insurance, materials, equipment, Subcontractors, Suppliers, all documents which establish time periods, individuals involved, the hours for the individuals, and the rates for the individuals:
- 27. Worksheets, software, and all other documents used (a) by the Contractor to prepare its bid and schedule(s) and/or (b) to prepare quotes and bids to the Contractor;
- 28. All schedule documents, including electronic versions, planned resource codes, or schedules and summaries;
- 29. All submittals; and,
- 30. All other documents, including email, related to the Project, Claims, or Change Orders.
- H. The Contractor shall mark any documentation it considers proprietary or confidential accordingly. Such information will be treated as such by King County; however, the County cannot ensure that this information will not be subject to release pursuant to a public disclosure request. In the event the County receives a request for such information, the County will immediately advise the Contractor and will not release the requested information for a period of not less than ten (10) days in order to give the Contractor an opportunity to obtain a court order prohibiting the release of the information in response to the public disclosure request.

3.12 MAINTENANCE AND SITE CLEANUP

- A. The Contractor shall at all times keep the Site, access points, and public rights-of-way free from accumulation of dirt, mud, waste materials or rubbish caused by the Contractor or Subcontractors. At the completion of the Contract Work, the Contractor shall remove and lawfully dispose of all its dirt, mud, waste materials, rubbish, tools, scaffolding and surplus or partly used materials from the Site and shall leave the Site broom clean unless some stricter standard is specified in the Contract.
- B. The Contractor shall obey all applicable laws and regulations relating to the storage, use, and disposal of Hazardous Materials. The Contractor shall promptly notify the County of all Contractor or Subcontractor caused spills or releases of Hazardous Materials, and pay the cost to promptly clean up all such spills or releases and any associated fines or penalties. The Contractor shall maintain documentation of the clean up and disposal all Contractor or Subcontractor caused spills or releases of Hazardous Materials.
- C. In case of a dispute over clean up, the County may, after written Notice to the Contractor, sweep surfaces or remove the dirt, mud, waste materials, rubbish, or hazardous materials and charge all reasonable costs of such work to the Contractor. The County may charge the Contractor or deduct such costs from payments otherwise due the Contractor pending a resolution of the dispute or exercise its rights under the Performance and Payment Bond. In the event there are insufficient funds

remaining, excluding retention, the Contractor shall pay the County for the costs associated with maintenance and site cleaning.

3.13 PROTECTION OF EXISTING STRUCTURES, EQUIPMENT, VEGETATION, UTILITIES, AND IMPROVEMENTS

A. Contractor shall protect from damage all existing structures, curbs, gutters, sidewalks, equipment, improvements, utilities, trees, and vegetation not shown in the Contract Documents to be removed or modified at or near the Site. Contractor shall repair, at no cost to the County, any such damage resulting from failure to comply with the requirements of the Contract or failure to exercise reasonable care in performing the Work. If Contractor fails or refuses to repair the damage promptly, the County may have the necessary work performed and deduct or charge the cost to Contractor or exercise its rights under the Performance and Payment Bond. In the event there are insufficient funds remaining, excluding retention, the Contractor shall pay the County for the costs associated with protection and repairing the damages.

3.14 PERMITS, LAWS AND REGULATIONS

- A. Except those permits, easements, and variances specified in the Contract as having been previously obtained by the County, all permits, licenses, easements and variances necessary for the execution of the Work shall be secured and paid for by the Contractor. The Contractor shall identify and apply for such permits and licenses at the earliest possible time so as to avoid any delay to the Contract Work arising from the permitting and/or licensing process. No actions taken by the County to aid the Contractor in securing any permit or license shall relieve the Contractor of any obligations to secure any such permit or license.
- B. The Contractor shall maintain all stamped permit sets of documents at the Site during construction, in good condition and as required by local ordinances.
- C. The Contractor shall perform all work hereunder in full compliance with local, state and federal laws, ordinances, resolutions and regulations, and with permit, license, easement, and variance conditions pertaining to the conduct of the Work. The Contractor shall defend, indemnify, and hold the County harmless from any assessment of fines, penalties, or damages arising from violations of the same by the Contractor or Subcontractors. The Contractor shall pay and provide proof of payment for any assessments of fines, penalties or damages. The Contractor shall cooperate with all governmental entities regarding inspection of the Work and compliance with such requirements.
- D. Taxes. The Contractor is required to pay all applicable taxes. No adjustment will be made in the amount to be paid by the County under the Contract because of any change in law or regulations covering any applicable taxes, or because of any misunderstanding by the Contractor as to its liability for or the amount of any taxes.

3.15 PATENTS AND ROYALTIES

A. The costs or fees relating to royalties or claims for any patented invention, article, process or method that may be used upon or in a manner connected with the Work under this Contract or with the use of completed Work by the County shall be paid by the Contractor. The Contractor and its sureties shall protect and hold King County, and its officers, agents and employees, harmless against any and all demands made for such fees or claims brought or made by or on behalf of the holder of any invention or patent. Before final payment is made on the account of this Contract, the

- Contractor shall, if requested by the County, furnish acceptable proof of a proper release from all such fees or claims.
- B. Should the Contractor, its agent, representatives or employees, or any of them, be enjoined from furnishing or using any invention, article, material or appliances supplied or required to be supplied or used under the Contract, the Contractor shall promptly notify the County of the Contractor's intent to substitute other articles, materials or appliances in lieu thereof which are of equal efficiency, quality, finish, suitability, and market value, and satisfactory in all respects to the County. In the event the County elects, in lieu of such substitution, to have supplied and to retain and use any such invention, article, material or appliances as may be required to be supplied by the Contract, the Contractor shall pay all royalties and secure such valid licenses as may be requisite and necessary for the County, its officers, agents, representatives and employees, or any of them to use such invention, article, material or appliance without being disturbed or in any way interfered with by any proceeding in law or equity on account thereof. Should the Contractor neglect or refuse to make the substitution promptly or to pay such royalties and secure such licenses as may be necessary, then in that event the County shall have the right to make such substitution or the County may pay such royalties and secure such licenses and charge the Contractor, even though final payment under the Contract may have been made.

3.16 CONTRACTOR'S CERTIFICATION

A. Conflict of Interest

Consistent with the King County Code of Ethics, Chapter 3.04, the Contractor certifies (and shall require each Subcontractor to certify) that it has no direct or indirect pecuniary or proprietary interest, and that it shall not acquire any such interest, which conflicts in any manner or degree with the work, services or materials required to be performed and/or provided under this Contract and that it shall not employ any person or agent having any such interest. In the event that the Contractor or its agents, employees or representatives acquires such a conflict of interest, the Contractor shall immediately disclose such interest to King County and take action immediately to eliminate the conflict or to withdraw from this Contract, as King County may require.

B. Contingent Fees and Gratuities

The Contractor, by entering into this Contract with the County to perform or provide work, services or materials, has thereby covenanted:

- 1. That no person or selling agency except bona fide employees or designated agents or representatives of the Contractor has been or will be employed or retained to solicit or secure this Contract with an agreement or understanding that a commission, percentage, brokerage, or contingent fee may be paid; and,
- 2. That no gratuities, in the form of entertainment, gifts or otherwise, have been or will be offered or given by the Contractor or any of its agents, employees or representatives, to any official member or employee of King County or other governmental agency with a view toward securing this Contract or securing favorable treatment with respect to the awarding or amending thereof, or the making of any determination with respect to the performance of this Contract. The Contractor certifies that it has not made any contributions to any person or

entity as a condition of doing business with the County and it has disclosed to the County all attempts by any person to solicit such payments.

C. Penalties

Contractors are advised that KCC 3.04.060 authorizes criminal liability, and civil penalties, including the cancellation of current contracts and disqualification from bidding for a two-year period, for any person who violates Chapter 3.04 KCC.

3.17 DEVIATION FROM CONTRACT

- A. The Contractor shall not make an alteration, variation, addition, deviation, or omission from the requirements of the Contract without the written consent of the Project Representative.
- B. Any such alteration, variation, addition, deviation, or omission by the Contractor shall not result in any extra compensation or extension of time.
- C. The County shall have the right to treat any alteration, variation, addition, deviation, or omission from the requirements of the Contract as a contract breach if prior written consent is not obtained from the Project Representative, which may be justification for the County to withhold payment, stop work, or terminate the Contract for default.

3.18 OPERATIONS, MATERIAL HANDLING, AND STORAGE AREAS

A. Operating Area

Contractor shall confine all operations, including storage of materials on the Site, to County-approved areas.

B. Temporary Buildings and Utilities

Temporary buildings (including storage sheds, shops, and offices) and utilities may be erected by Contractor on the Site only with the consent of the County and without expense to the County. The temporary buildings and utilities shall remain the property of Contractor and shall be removed by the Contractor at its expense upon completion of the Work.

C. Use of Roadways

The Contractor shall use only established roadways or temporary roadways authorized by the County. When materials are transported during prosecution of the Work, vehicles shall not be loaded beyond the loading capacity recommended by the manufacturer of the vehicle or prescribed by federal, state, or local law or regulation.

D. Disposal/Removal of Materials

The Contractor shall be responsible for compliance with all laws governing the storage and ultimate disposal of all such materials and components. The Contractor shall provide the County with a copy of all manifests and receipts evidencing proper disposal when required by the County or applicable law.

E. Protection and Care of Contractor's Materials and Equipment

The Contractor shall be responsible for the proper care and protection of its materials and equipment delivered to the Site. Materials and equipment may be stored on the Site at the Contractor's own risk and with prior written approval from the County. When the Contractor uses any portion of the Site as a shop, the

Contractor shall be responsible for any repairs, patching, or cleaning arising from such use and for obtaining any necessary permits to establish such shop or temporary storage facilities.

3.19 CONTRACTOR'S OVERALL RESPONSIBILITY FOR PROTECTION OF WORK, PROPERTY, AND PERSONS

- A. The Contractor shall be responsible for conditions of the Site, including safety of all persons and property, during performance of the Work. The Contractor shall maintain the Site and perform the Work in a manner which meets all statutory and common law requirements or other specific contractual requirements for the provision of a safe place to work and which adequately protects the safety of all persons and property on or near the Site. This obligation shall apply continuously and shall not be limited to normal working hours. The County's inspection of the Work or presence at the Site does not and shall not be construed to include review of the adequacy of the Contractor's safety measures in, on or near the site of the Work.
- B. The Contractor shall be responsible for initiating, maintaining and supervising all safety precautions and programs, including adequate safety training, in connection with the Work. The Contractor shall comply with all applicable laws, ordinances, rules, regulations and lawful orders of any public authority bearing on the safety of persons or property or their protection from damage, injury or loss.
- C. Unless otherwise required in the Contract Documents the Contractor shall protect and be responsible for any damage or loss to the Work or to the materials and equipment associated with the Work until the date of Substantial Completion. The Contractor remains responsible for any damage or loss caused directly or indirectly by the acts or omissions of the Contractor, Subcontractors, Suppliers, or third parties authorized or allowed on the Site by the Contractor until Final Acceptance.
- D. The Contractor shall also be solely and completely responsible for damages arising from the Work that affect property adjacent to the Site.
- E. The Contractor shall repair or replace without cost to the County any damage or loss that may occur, except damages or loss caused by the acts or omissions of the County.
- F. The Contractor shall erect and maintain adequate signs, fencing, barricades, lights or security measures and persons to protect the Work until the Project Representative authorizes in writing the removal of signs, fencing, barricades, lights or security measures.

3.20 PROTECTION OF PERSONS

- A. The Contractor shall take all reasonable precautions for the safety of all employees working on this Contract and all other persons who may be affected by such Work. The Contractor shall designate a responsible member of its organization at the Site whose duty shall be to manage and coordinate the safety programs and to prevent accidents of the Contractor and Subcontractors.
- B. Except as otherwise stated in the Contract, if the Contractor encounters, on the Site, material reasonably believed to be Hazardous Material including but not limited to asbestos, lead, or polychlorinated biphenyl (PCB), that Contractor shall immediately stop work in the area affected and give Notice of the condition to the County. Work in the affected area shall not be resumed without written direction by the County.

- C. The Contractor shall maintain in a reasonable number of conspicuous and accessible places at the Site all materials necessary for giving first aid to the injured. The Contractor shall establish, publish and make known to all employees procedures for ensuring immediate removal to a hospital or a doctor's care, of persons who may have been injured on the Site. Employees shall not be permitted to work on the Site before the Contractor has: (1) provided all materials necessary for giving first aid at the Site; and, (2) established and made known procedures for removal of injured persons to a hospital or doctor's care. The Contractor shall ensure that at least one of its employees on site has adequate training in first aid.
- D. In order to protect the lives and health of persons performing work under this Contract, the Contractor shall comply with the Federal Occupational Safety and Health Act of 1970 (OSHA), including all revisions, amendments and regulations issued thereunder, and the provisions of the Washington Industrial Safety Act of 1973 (WISHA), including all revisions, amendments and regulations issued thereunder by the Washington State Department of Labor and Industries. The WISHA regulations shall apply, without limitation, to all excavation, tunneling, trenching and ditching operations. In case of conflict between any such requirements, the more stringent regulation or requirement shall apply. There is no acceptable deviation from these safety requirements, regardless of practice in the construction industry. Any violation of OSHA, WISHA or other safety requirements applicable to the work may be considered a breach of this Contract.

3.21 SAFETY PROGRAM

- A. The Contractor shall prepare and provide to the County a written site specific "Safety Program" demonstrating the methods by which all applicable safety requirements of this Contract will be met. The Contractor shall ensure its Subcontractors and Suppliers have a written "Safety Program" or formally adopt the Contractor's site specific "Safety Program." The Contractor shall designate a Safety Officer who shall be responsible for proper implementation of the "Safety Program." The Contractor shall submit a copy of its "Safety Program" and the Subcontractor's "Safety Program" to the County within fourteen (14) days after the Contractor signs the Contract. The County's review of such programs shall not be deemed to constitute approval or acceptance thereof and shall not relieve or diminish the Contractor's sole responsibility for Site safety.
- B. The Contractor shall conduct a weekly safety meeting with all Subcontractors and others on the Site performing Work hereunder to discuss general and specific safety matters. The Contractor shall provide upon request, notice of each meeting to the County. At the County's request the Contractor shall provide the County with a record of each meeting, including a sheet on which each attendee signed in and a list of the matters discussed.

3.22 STORAGE OF CONTRACTOR'S PROPERTY

The Contractor's tools and equipment and building materials to be incorporated into the Project may be stored on the Site but all such storage shall be subject to the requirements of the Contract. Any repairs, patching or cleaning of the Site that may be necessary to restore the Site to its previous condition due to storage of the Contractor's materials, tools or equipment, or other aspects of the Contractor's Work, shall be the responsibility of the Contractor.

3.23 ARCHAEOLOGICAL AND HISTORICAL PRESERVATION

The Contractor shall comply fully with the requirements set forth in Chapter 27.53 RCW entitled Archaeological Sites and Resources. The Contractor shall immediately notify the Project Representative if any artifacts, skeletal remains or other archaeological resources (as defined under RCW 27.53.040 now and as hereinafter amended) are unearthed during excavation or otherwise discovered on the site of the work. If directed by the Project Representative, the Contractor shall immediately suspend any construction activity, which, in the opinion of the Project Representative, would be in violation of Chapter 27.53 RCW. The suspension of Work shall remain in effect until permission to proceed has been obtained by the Project Representative from the State Historic Preservation Officer or private landowner, as applicable.

3.24 WATER POLLUTION CONTROL REQUIREMENTS

The Contractor shall comply with and be liable for all penalties, damages and violations under Chapter 90.48 RCW in the performance of this work. By submitting a bid for and entering into this Contract, the Contractor has thereby assured King County that the Contractor has knowledge of, understands, and will comply with the provisions and requirements of Chapter 90.48 RCW, including any regulations issued pursuant thereto. The Contractor shall also perform its work in compliance with water pollution control requirements as may be set forth in this Contract and as may be a part of any permit or other authorization issued or obtained for this Contract.

3.25 RIGHTS OF WAY

- A. All rights of way to be provided by King County for use by the Contractor and for the completed work shall be set forth in the Specifications and may be shown on the Drawings. The Contractor's construction activities shall be confined within the identified rights of way, unless the Contractor makes arrangements for use of additional public and/or private property and complies with the requirements of this provision. The Contractor shall comply with all requirements set forth in such rights of way documents and in the Contract applicable to the performance of work hereunder. The Contractor shall provide written Notice to the Project Representative of the dates of commencement and completion of work on each right of way provided by King County. If the Contractor fails to diligently prosecute and complete the work on each such right of way and, as a result of such failure, King County becomes obligated to pay additional amounts for the use of such right of way, the Contractor shall be charged such additional costs which shall be set off against any amounts owning to the Contractor or entitle the County to a reimbursement from the Contractor. Upon completion of use of each right of way, the Contractor shall provide the Project Representative with a written release signed by the landowner, or authorized agent therefor, stating that the landowner has no claims whatsoever against King County on account of the Contractor's use of such right of way. Such release shall be on the form set forth in the Specifications.
- B. If the Contractor makes arrangements for use of such additional public and/or private property, the Contractor, prior to using such property, shall provide the Project Representative with written permission of the landowner, or duly authorized agent of such landowner, for such use. Upon completion of use of such property, the Contractor shall provide the Project Representative with a written release signed by such landowner or authorized agent therefor stating that the owner has no claims whatsoever against King County on account of the Contractor's use of such property. Such release shall be on the form set forth in the Specifications.

C. The Contractor shall save King County harmless from all suits and legal proceedings of every kind and description that might result from use of or damage to rights of way and public and/or private property by the Contractor. The Contractor shall comply with all laws, rules, regulations, ordinances, resolutions or directives relating to its use of public rights or way, streets or highways; and its use of same shall not disturb the rights and property of adjacent landowners.

3.26 ENVIRONMENTAL MITIGATION PLAN

If required elsewhere in the Contract Documents, the Contractor shall prepare and submit to the Project Representative a plan by which the Contractor and its Subcontractors and Suppliers shall ensure all environmental mitigation requirements shall be complied with during performance of the Work under this Contract. The plan shall specifically address each such requirement. Failure to submit a complete environmental mitigation plan may result in suspension of work; delays, if any, resulting therefrom shall be considered caused by the acts of the Contractor, and any time delays or additional costs resulting therefrom shall be borne by the Contractor. Preparation of such a plan and compliance with all environmental mitigation requirements shall be deemed incidental to the work under this Contract and all costs therefor shall be included in the Contract Price.

3.27 SUBCONTRACTOR RESPONSIBILITY

- A. Prior to subcontract execution, the Contractor shall verify that each of its first tier subcontractors meets the following responsibility criteria:
 - 1. Have a current certificate of registration in compliance with chapter 18.27 RCW, which must have been in effect at the time of subcontract bid submittal;
 - 2. Have a current Washington Unified Business Identifier (UBI) number;
 - 3. If applicable, have:
 - Have Industrial Insurance (workers' compensation) coverage for the subcontractor's employees working in Washington, as required in Title 51 RCW;
 - b. A Washington Employment Security Department number, as required in Title 50 RCW:
 - c. A Washington Department of Revenue state excise tax registration number, as required in Title 82 RCW;
 - d. An electrical contractor license, if required by Chapter 19.28 RCW;
 - e. An elevator contractor license, if required by Chapter 70.87 RCW.
 - 4. Not be disqualified from bidding on any public works contract under RCW 39.06.010 or 39.12.065 (3).
 - 5. Until December 31, 2013, not have violated the requirements of RCW 39.04.370.
 - 6. The Contractor shall require its first tier subcontractors and subcontractor of any tier verify the responsibility criteria for each of its subcontractors it hires.

ARTICLE 4: ADMINISTRATION OF THE CONTRACT

4.0 TIME OF ESSENCE

All time requirements set forth in the Contract Documents are of the essence.

4.1 WORK PROGRESS

- A. The Contractor shall be required to:
 - 1. Prosecute the Work diligently with adequate forces;
 - 2. Plan, coordinate, and layout the Work in advance so as to avoid delay;
 - 3. Achieve Substantial Completion of the Work and Final Acceptance in accordance with the requirements of Contract Documents; and,
 - Complete all Contract close out requirements in accordance with all applicable Contract requirements within the time period established by the County in the Certificate of Substantial Completion.

4.2 SCHEDULE OF VALUES

- A. Unless otherwise specified, within fourteen (14) days after the date of Contract Execution, the Contractor shall submit to the County a detailed Schedule of Values that identifies the various activities of the Contract Work and their values and quantities, including the overhead and profit for each activity. The Contractor warrants that the values identified in its Schedule of Values accurately reflect the value of each work activity. The Schedule of Values shall be used as a basis for calculating all Progress Payments. Payment for Contract Work shall be made only for and in accordance with those activities identified in the Schedule of Values.
- B. The Contractor shall not be entitled to, nor shall the County be required to make, payment for any Contract Work until the Schedule of Values has been accepted by the County. Such acceptance shall not be unreasonably withheld.
- C. The County shall review and accept the Schedule of Values or provide the Contractor with a written explanation of why the Schedule of Values was not acceptable. The County shall use reasonable efforts to review the Schedule of Values within thirty (30) days of the County's receipt of the Contractor's submittal of its Schedule of Values. The County's acceptance of the Schedule of Values shall not relieve the Contractor from its sole responsibility for the accuracy of the Schedule of Values and its compliance with all Contract requirements. The Contractor shall revise the Schedule of Values as necessary to accurately reflect Change Orders.
- D. Each Application For Payment shall include a current status of the Schedule of Values. No Application For Payment will be considered until the current status of the Schedule of Values has been submitted and accepted.
- E. The activities, which the Contractor identifies within its Schedule of Values, shall be specifically referenced within, and conform and be consistent with the activities set forth within the Project Schedule.

4.3 PROJECT SCHEDULE

A. Unless otherwise specified, within fourteen (14) days after the date of Contract Execution, the Contractor shall submit to the County a Project Schedule. The Project Schedule shall show the sequence in which the Contractor proposes to perform the Work, indicate the Critical Path, identify the dates on which the Contractor proposes

- to start and finish the scheduled activities of the Contract Work, indicate Substantial Completion within the Contract Time, indicate a date for Final Acceptance, and meet all the requirements as maybe set forth more particularly in the Technical Specifications on Project Schedule.
- B. The Project Schedule shall be prepared in the format as specified in the Technical Specifications.
- C. Within thirty (30) days of the County's receipt of the Contractor's submittal of its Project Schedule or unless stated elsewhere in the Contract, the County shall review the Project Schedule and provide the Contractor with written comments. The County will review the Project Schedule only to determine whether the Project Schedule meets the requirements in the Technical Specifications on Project Schedule. To the extent the Project Schedule does not meet such Technical Specifications, the Contractor shall revise the Project Schedule to make it compliant.
- D. By reviewing the Project Schedule and providing written comments, the County is not approving or adopting the Contractor's plan, schedule, means, methods, techniques, sequences, or procedures required to perform the Work. Review and comment by the County of the Project Schedule shall not relieve the Contractor from the sole responsibility for the accuracy of a Project Schedule, and its compliance with all Contract requirements, and its responsibility to meet all required Contract completion dates. Failure by the County to indicate items on the Project Schedule that do not conform with the Contract requirements shall not alter or waive the Contract requirements or relieve the Contractor from complying with all Contract requirements.
- E. The Contractor shall not be entitled to, nor shall the County be required to make payment for any Contract Work until the Project Schedule complies with all Contract requirements.
- F. The Contractor shall schedule the Contract Work so that the Contract Work is completed within the Contract Time. Float in the project Schedule shall be defined as the period of time measured by the number of days each non-critical path activity may be delayed before it and its succeeding activities become part of the Critical Path. Contractor and Owner may both utilize float to offset delays to the Project Work.
- G. The Contractor shall regularly enter the actual progress of the Work and Contract Time extensions approved by the County on the Project Schedule. Updated Project Schedules shall reflect actual progress and completion within the Contract Time and shall be provided to the County with each Application for Payment in format(s) as required by the Contract. Applications for Progress Payments will not be considered by the County and the Contractor will not be paid until the Contractor complies with these requirements. The updated Project Schedule shall be used to assist the County in verifying the appropriate payment.
- H. If, in the opinion of the County, the Contractor falls behind in its progress of the Work due to acts or omissions of the Contractor, Subcontractors, and Suppliers, the Contractor shall take all necessary steps to improve its progress and bring its progress back in-line with the accepted Project Schedule, without additional cost to the County. In this circumstance the Contractor shall, as necessary, increase the number of shifts, overtime operations, and/or days of work, both on and off the Site, and submit for acceptance any supplementary schedule or schedules as the County deems necessary to demonstrate how the accepted rate of progress will be

regained. Failure of the Contractor to comply with the requirements under these provisions shall be grounds for a determination by the County that the Contractor is not prosecuting the Work with sufficient diligence to ensure completion within the time specified in the Contract. Upon making this determination, the County may pursue any right it has under the law or the Contract, including but not limited to default termination.

4.4 SUBMITTALS

- A. Submittals include shop drawings, setting and erection drawings, schedules of materials, product data, samples, certificates and other information prepared for the Work by the Contractor or a Subcontractor as set forth in the Technical Specifications ("Submittals"). The Contractor shall perform no portion of the Work requiring Submittals until the Submittals have been reviewed and returned by the County with one of the following annotations: (1) no exceptions taken or (2) note markings.
- B. Prior to furnishing the Submittals to the County, the Contractor shall: (1) review all Contractor and Subcontractor Submittals for accuracy, completeness, and compliance with the Contract; (2) coordinate all Submittals with all Contract Work by other trades and with field measurements; and (3) indicate approval on the Submittals as a representation that it has complied with its obligation to review and coordinate Submittals. Where required by law or by the Contract, Submittals shall be stamped by an appropriate licensed professional. Submittals lacking required stamps or evidence of Contractor review and approval will be returned without review by the County for resubmission. Submittals shall be sequentially numbered.
- C. When submitting information, the Contractor shall identify and state reasons for any alteration, variation, addition, deviation, or omission from the Contract. The Contractor shall not perform work that alters, varies, adds, deviates, or omits Work without prior specific written acceptance by the County.
- D. The Contractor shall provide Submittals with reasonable promptness and in such sequence as to facilitate the timely completion of the Contract. The Contractor shall prepare and keep current, for review by the County, a schedule of Submittals which is coordinated with the Contractor's Project Schedule and allows the County reasonable time for review.
- E. The County shall review the Contractor's Submittals and respond in writing with reasonable promptness so as not to unreasonably delay the progress of the Work. Unless otherwise agreed, no delay to the Contractor's Work shall be attributable to the failure by the County to respond to a Submittal until thirty (30) days after the Submittal is received by the County, and then only if failure by the County to respond is unreasonable and affects the Contract completion date.
- F. If the Contractor is required to resubmit a Submittal, any revisions on resubmittals shall be specifically identified in writing and the resubmitted Submittal shall be sequentially alpha denoted and note revisions in numerical order. The cost of the review of the initial Submittal and the first revised submittal shall be borne by the County. The costs of all additional revised Submittals shall be charged to the Contractor. The cost of review shall include, without limitation, administrative, design, and engineering activities directly related to review of Submittals. The County may deduct these costs from any amounts due the Contractor.

- G. The County shall review the Contractor's Submittals only for conformance with the design of the Work and compliance with the Contract. Review of the Submittals are not conducted to verify the accuracy of dimensions, quantities, or calculations, the performance of materials, systems, or equipment, or construction means, methods, techniques, sequences, or procedures, all of which remain the Contractor's responsibility. Failure by the County to take exception to a Submittal shall not relieve the Contractor from any duty, including its responsibility for errors or omissions in Submittals, its duty to make Submittals and duty to perform the Work according to the requirements of the Contract. The County's review of a Submittal shall not alter or waive the requirements of the Contract unless the County has issued prior written approval of such change or alteration of the Contract requirements.
- H. The Contractor's failure to identify any error, deviation, or omission and subsequent acceptance of the Submittal by the County shall not relieve the Contractor from complying with the Contract requirements.

4.5 REQUESTS FOR INFORMATION

- A. If the Contractor determines that some portion of the drawings, specifications or other Contract Documents require clarification or interpretation by the County because of an apparent error, inconsistency, omission, or lack of clarity in the Contract, the Contractor shall promptly submit a Request For Information ("RFI") and, unless otherwise directed, shall not proceed with the affected Work until the County has responded to the RFI. The Contractor shall plan its work in an efficient manner so as to allow for timely responses to RFIs.
- B. RFIs shall only be submitted by the Contractor on a RFI Form provided by the County or in a form acceptable to the County. The Contractor shall clearly and concisely set forth the issue for which clarification or interpretation is sought and why a response is needed by the County. In the RFI the Contractor shall set forth its own interpretation or understanding of the requirement along with reasons why it reached such an understanding.
- C. The County will review RFIs to determine whether they meet the requirements identified above in paragraph B to qualify as an RFI. If the County determines that the document is not an RFI it will be returned to the Contractor unreviewed as to content. When appropriate the Contractor may resubmit the RFI on the proper form, with all required information and in the proper manner.
- D. The County shall respond in writing with reasonable promptness to Contractor's RFI.
 - 1. At the request of the Project Representative, the Contractor shall prioritize its RFIs, identify a date by which the Contractor prefers the RFI be answered, and reasons for such priority.
 - 2. If the Contractor submits a RFI on an activity less than thirty (30) days prior to the commencement of that activity, the Contractor shall not be entitled to any time extension or adjustment in Contract Price due to the time it takes the County to respond to the RFI provided that the County responds within thirty 30 days. No delay to the Contractor's work or damages to the Contractor shall be attributable to the failure by the County to respond to the RFI until thirty (30) days after the County's receipt of the RFI, and then only if the failure by the County to respond is unreasonable and affects the Contract completion date.

E. The County's response to a RFI shall not be considered a change to the Contract requirements. To the extent the Contractor believes that the County's response to the RFI constitutes changed work impacting Contract Price or Contract Time, the Contractor shall submit a Contractor's Request For Change Order to the County in accordance with Articles 5, Changes to the Contract.

4.6 TESTS, INSPECTIONS, AND ACCESS TO THE WORK

- A. The Contractor shall document and maintain an adequate testing and inspection program and perform such tests and inspections as are necessary or required to ensure that the Work conforms to the requirements of the Contract. The Contractor shall maintain all documentation related to testing and inspection and make such documentation available to the County at its request. Contractor shall be responsible for inspection and quality assurance of all its Work and all Work performed by any Subcontractor. Unless otherwise provided, Contractor shall make arrangements for such tests, inspections, and approvals with an independent testing laboratory or entity acceptable to the County, or with the appropriate public authority. If any governmental, regulatory, or permitting authority requires any portion of the Work to be inspected, tested, or approved, the Contractor shall make all arrangements for and cooperate with such inspections, tests, and approvals so as not to delay completion of the Contract Work. The Contractor shall bear all related costs of tests, inspections, and approvals. The Contractor shall give the County at least three (3) days Notice of: (1) when the work is ready to be tested and inspected and (2) when and where tests and inspections are to be made. Contractor shall maintain complete inspection records and make them available to the County upon request.
- B. The Contractor shall cooperate with the County in the performance of any tests and inspections of the Work. The Contractor has the duty to coordinate all tests and inspections in a manner, which does not negatively impact Contractor's compliance with the Contract.
- C. If any Work required to be inspected, tested, or approved is covered without such inspection, testing or approval being obtained, it must, if requested by the County, be uncovered for observation, and such uncovering shall be at Contractor's expense.
- D. Upon request by the County any Work, not otherwise required to be inspected or tested, shall be uncovered by the Contractor. If the Work is found to comply with the Contract or if any non-compliance was not caused by the Contractor, Subcontractor, or Supplier, the County will (1) pay the costs of testing and inspection; (2) pay the costs associated with the uncovering and recovering of the Work; and, (3) adjust the Contract Time to the extent the inspection and repair impacted the Project Schedule and delayed completion of the Work, otherwise the Contractor shall bear such costs as well as all costs of correction and the Contractor shall not be entitled to an adjustment in Contract Time.
- E. The County may, at any reasonable time and at its own cost, conduct inspections and tests as it deems necessary to ensure that the Work is in accordance with the Contract. The County shall promptly notify Contractor if an inspection or test reveals that the Work is not in accordance with the Contract. The County inspection and tests are for the sole benefit of the County and do not:
 - 1. Constitute or imply acceptance;
 - 2. Relieve Contractor of responsibility for providing adequate quality control measures;

- 3. Relieve Contractor of responsibility for risk of loss or damage to the Work, materials, or equipment;
- 4. Relieve Contractor of its responsibility to comply with the requirements of the Contract; or,
- 5. Impair the County's right to reject defective or nonconforming items, or to avail itself of any other remedy to which it may be entitled.
- F. Neither observations by an inspector retained by the County, the presence or absence of such inspector on the Site, nor inspections, tests, or approvals by others, shall relieve Contractor from any requirement of the Contract. Inspectors are not authorized to change any term or condition of the Contract.
- G. Contractor shall promptly furnish, without additional charge, all facilities, labor, material and equipment reasonably needed for performing such safe and convenient inspections and tests as may be required by the County. The County may charge Contractor any additional cost of inspection or testing when Work is not ready at the time specified by Contractor for inspection or testing, or when prior rejection makes reinspection or retest necessary. The County shall perform its inspections and tests in a manner that will cause no undue delay in the Work.

4.7 CORRECTION OF WORK OR DAMAGED PROPERTY

- A. If material, equipment, workmanship, or Work proposed for, or incorporated into the Work, does not meet the Contract requirements or fails to perform satisfactorily, the County shall have the right to reject such Work by giving the Contractor written Notice that such Work is either defective or non-conforming.
 - 1. The County, at its option, shall require the Contractor, within a designated time period as set forth by the County, to either
 - a. Promptly repair, replace or correct all Work not performed in accordance with the Contract at no cost to the County; or
 - b. Provide a suitable corrective action plan at no cost to the County.
 - 2. Once the corrective action plan is reviewed and returned by the County with the annotation "no exception taken" or "note markings" by the County, the Contractor shall implement the corrective action plan.
 - a. Review and providing comments on the corrective action plan is not an acknowledgement by the County that such plan is adequate to remedy the defective or non-conforming work.
 - b. If the corrective action plan does not remedy the defective or non-conforming Work, the Contractor shall remain responsible for remedying of the defective or non-conforming Work to the County's satisfaction.
 - 3. The Contractor shall also be responsible for all repairs to any property and work damaged by the Contractor.
 - 4. Under no circumstances shall the Contractor be entitled to additional time or money for the correction of defective or non-conforming work or for the repair of damaged property. The County shall not be responsible for any costs to prepare corrective action plans, correct work or repair damaged property.
- B. If the Contractor does not repair, replace or correct and/or remove defective or non-conforming Work or repair damaged property as required by the County, the County

- or County's designee may repair, replace or correct and/or remove it and deduct the cost of such effort from any payment due the Contractor.
- Under this provision, the County reserves the right to make use of the Contractor's plant and equipment for this repair, replacement, correction or removed Work. If the remaining payments due the Contractor are not sufficient to cover the County's cost of remedying the defective or non-conforming Work, the Contractor shall pay the difference to the County.
- C. The County may elect to retain work if the County determines that such defective or non-conforming work is not of sufficient magnitude or importance to make the work dangerous or undesirable or that removal of such work is impractical or will create conditions, which are dangerous or undesirable.
 - 1. Just and reasonable value for such defective or non-conforming work will be determined by the County and appropriate deductions will be made in the payments due or to become due to the Contractor.
 - 2. The County's exercise of the rights under this provision shall be without prejudice to any other remedy the County may have, and shall not constitute a termination of the Contract.
- D. The Contractor shall be liable for all damages and costs incurred by the County caused by the Contractor's or its Subcontractors' and Suppliers' defective or non-conforming work or workmanship, including but not limited to all special, incidental, or consequential damages incurred by the County. The Contractor agrees to indemnify and hold the County harmless from any personal injury or property damage caused by the Contractor or its Subcontractors defective or non-conforming Work or workmanship.

4.8 SUBSTITUTION OF PRODUCTS & PROCESSES

- A. Substitutions requested by the Contractor will be subject to the County's prior written acceptance and at the County's sole discretion.
- B. Requests for substitution must specifically identify:
 - 1. Material, equipment, and labor costs included in the Contractor's bid associated with the original item to be substituted;
 - 2. All costs for material, equipment, labor associated with the proposed substitution, including any impact costs;
 - 3. Proposed change to the Contract Price and/or Contract Time; and,
 - 4. Compatibility with or modification to other systems, parts, equipment or components of the Project and Contract Work.
- C. Contractor shall provide all documentation supporting its request as requested by the County.
- D. All costs of any redesign or modification to other systems, parts, equipment or components of the Project or Contract Work, which result from the substitution, shall be borne by the Contractor.
- E. When the County approves a substitution proposed by the Contractor, the Contractor shall guarantee the substituted article or materials to be equal to, or better than, those originally specified and shall be compatible with all other systems, parts, equipment or components of the Project and Contract Work. The County has the

- right to order an unaccepted, substituted article removed and replaced without additional cost to the County.
- F. The County has a right to a deductive Change Order if the substituted product or process is less costly than the contractually required product or process.
- G. If the County does not accept the substitution proposal the Contractor shall proceed, without delay or cost to the County, with the Contract Work as originally specified.

ARTICLE 5: CHANGES TO THE CONTRACT

5.0 GENERAL

- A. All changes to the Contract must be made in writing and signed by the King County Executive or its designees. No oral statement by any person shall change or modify the Contract. All changes to the Contract shall be made in accordance with the provisions of this Article.
- B. All Change Order work shall be performed in accordance with the original Contract requirements unless modified in writing by the County.
- C. Any written Field Directive, response to Request For Information, or other directive, direction, instruction, interpretation, or determination (hereinafter referred to as "Direction" for the purposes of Article 5), provided by the County is not considered a Change Order, a change to Contract requirements, and shall not constitute, in and of itself, entitlement to an adjustment in Contract Price and/or Contract Time.
- D. To the extent the Contractor believes it is entitled to any additional money or time for any reason the Contractor shall submit a Request For Change Order to the County as more fully described in Article 5, *Changes to the Contract*.
 - If the Contractor believes any of the following events entitles the Contractor or its Subcontractors or Suppliers to additional money or time, the Contractor must file a Request for Change Order in accordance with the requirements set forth in the Contract.
 - a. Written Field Directive
 - b. Response to a request for information
 - c. Comments on a submittal
 - d. Differing Site Condition
 - e. Acceleration or constructive acceleration
 - f. Suspension of the Work
 - g. Delay, inconvenience, disruption of schedule, loss of efficiency or productivity
 - h. County caused Stand-by
 - i. Force Majeure
 - j. Conflicts, ambiguities, inconsistencies, and/or problems arising from the Contract Documents
 - k. Any other directive or Direction, written or oral, from the County
 - I. Any other reason for which the Contractor believes it is entitled to additional money or time

- E. The Contractor shall not be entitled to any change in the Contract Price and/or Contract Time under the following conditions or events:
 - 1. They were foreseeable at the time the Contractor submitted its bid;
 - 2. They were caused by the acts of the Contractor, Subcontractor and/or Supplier, including but not limited to the choice of means, methods, techniques, sequences, or procedures for the Work, failure to provide labor, materials or equipment in a timely manner, and failure to take reasonable steps to mitigate delays, disruptions, or conditions encountered.
- F. The Contract requirements for time and price impacts related to Change Orders are set forth in Article 6, *Time and Price Adjustments*.

5.1 CONTRACTOR'S REQUEST FOR A CHANGE ORDER

- A. Notice of Intent to Submit a Request for Change Order.
 - The Contractor shall provide the Project Representative with the written Notice
 that the Contractor intends to submit a Request For Change Order no later than
 seven (7) days, except as specified below for Differing Site Conditions, after any
 direction, instruction, interpretation, determination by the County and/or the onset
 of any event or impact to the Project.
 - 2. The Contractor shall include the following information in the Notice of intent to Request a Change Order:
 - a. The date, circumstances, and source of the direction, instruction, interpretation, determination by the County and/or the event or impact to the Project.
 - b. Reasonable order of magnitude estimate of the change to the Contract Price;
 - c. Reasonable order of magnitude estimate of the time impact to the Contract Time; and
 - d. Contractual provisions and substantive basis to support the Request.

B. Request for Change Order.

- Within twenty-one (21) days after the Direction and/or the onset of the event or impact to the Project, the Contractor may request an extension of time for filing its Request for Change Order. The Contractor shall state the reasons for the request and identify a date certain when the Contractor shall provide all documentation required in its Request for Change Order.
- 2. Unless the Project Representative issues written Notice authorizing the Contractor additional time to submit the Request for Change Order, the Contractor shall provide, in writing, a detailed Request for Change Order to the Project Representative no later than thirty-five (35) days after the Direction and/or the onset of the event or impact to the Project.
- 3. The Request for a Change Order shall include:
 - a. Specific dollar amount covering all costs associated calculated in accordance with Article 6, *Time and Price Adjustments*;
 - b. Specific request for time extension (number of days);
 - c. A copy of the written Notice of intent, including all attachments; and

d. All documentation supporting the Request for a Change Order, including but not limited to all cost records, schedule analysis, and the documents identified in §00700, ¶ 3.11, *Maintenance and Inspection of Documents*, that are in any way relevant to the Contractor's Request for Change Order.

C. County's Response to Contractor's Request for Change Order.

- 1. The County will make a written determination with respect to the Contractor's Request For Change Order within thirty (30) days of receipt of said Request, unless one of the following activities occurs.
 - a. The County may request additional information and specify a time period for receipt of the information. The Contractor shall comply with the County's request for additional information.
 - b. The County may inform the Contractor that additional time is needed to review the Contractor's Request for Change Order and identify a date certain when a decision will be rendered.
- 2. If the County requests additional information, the County will make a written determination within thirty (30) days receipt of Contractor's additional information.
- 3. If the County does not make a determination within the applicable time period, the Request For Change Order is deemed denied.
- D. Approval of Request for Change Order and Execution of Change Order. If the County determines that a Change Order is necessary, the parties may negotiate acceptable terms and conditions and execute a Bilateral Change Order or the County may issue a Unilateral Change Order.
- E. Contractor Procedure upon Denial or Deemed Denial of a Request for a Change Order. If the Contractor disagrees with the denial, the Contractor's sole remedy shall be to file a fully documented Claim within thirty (30) days of deemed denial or the Contractor's receipt of the denial in accordance with Article 9, Claims and Litigation.
- F. <u>Contractor's Obligation to Continue to Work</u>. Pending resolution of the Contractor's Request for a Change Order, the Contractor shall continue to perform all Work including, at the written request of the County that work associated with the pending Request for Change Order. The Contractor shall maintain its progress with the Work.
- G. <u>Waiver</u>. Failure to follow the provisions set forth herein shall constitute a waiver of the Contractor's right to receive any additional time or money as a result of any alleged direction, instruction, interpretation, determination by the County and/or the event or impact to the Project.

5.2 DIFFERING SITE CONDITIONS

- A. <u>Immediate Written Notice to the County</u>. If the Contractor encounters a Differing Site Condition the Contractor shall immediately, and before the conditions are disturbed, give written Notice to the County of Differing Site Conditions.
- B. Request for Change Order based on Differing Site Condition. Unless otherwise agreed upon in writing by the Project Representative, within forty-five (45) days of the Contractor's initial written notification of the Differing Site Condition to the County, the Contractor shall provide a Request for Change Order that includes all elements required for such a request and:
 - 1. A detailed description of the Differing Site Condition; and

2. Substantive, contractual, and technical basis supporting the existence of the Differing Site Condition and its impacts.

C. Waiver.

- 1. If the Contractor's actions disturb the Site such that the County or County's designee cannot adequately and fully investigate the alleged differing site condition, the Contractor waives its right to receive any additional time or money as a result of the Differing Site Condition.
- 2. Failure by the Contractor to provide either (a) immediate Notice or (b) Request for Change Order shall constitute a waiver of the Contractor's right to receive any additional time or money as a result of the Differing Site Condition.
- The Contractor shall be responsible for any and all costs or damages incurred by the County resulting from the Contractor's failure to provide appropriate notice and/or the Detailed Description and Request for Change Order.
- D. County's Response to the Differing Site Condition Request for Change Order. The County shall investigate the alleged Differing Site Conditions and respond to the Differing Site Condition in accordance with the Request for Change Order procedures set forth above.
- E. <u>Contractor's Obligation to Continue to Work</u>. The Contractor shall not disturb the condition until receipt of written authorization from the Project Representative that work can resume at the location of the alleged Differing Site Condition. The Contractor shall continue with performance of all other Work.

5.3 ACCELERATION

A. Acceleration Directive.

- 1. The County reserves the right to direct the Contractor to accelerate Contract Work. In the event that the County directs acceleration, such directive will be in writing and specifically designated as "Acceleration Directive."
- 2. The Contractor shall keep cost and other project records related to the Acceleration Directive separately from normal project costs and records and shall provide a written record of acceleration to the County on a daily basis.

B. Constructive Acceleration.

- 1. In the event that the Contractor believes that some action or inaction on the part of the County constitutes acceleration, the Contractor shall immediately notify the County in writing that the Contractor considers the actions an acceleration. This written notification shall detail the circumstances of the acceleration.
- The Contractor shall not accelerate the Work until the Project Representative responds in writing issuing an Acceleration Directive or denying the constructive acceleration.
- 3. The Contractor shall keep cost and other project records related to the constructive acceleration separately from normal project costs and records and shall provide a written record of acceleration to the County on a daily basis.
- C. To the extent the Contractor believes an acceleration directive or constructive acceleration constitutes a change in the Work impacting Contract Price and/or Contract Time, the Contractor shall submit a Request for a Change Order to the County pursuant to Article 5, *Changes to the Contract*.

D. Labor costs recoverable will be overtime or shift premium costs. Equipment costs recoverable will be only the rental cost of additional equipment or Contractor-owned additional equipment mobilized to the Site to accomplish the accelerated Work effort. Actual damages resulting from inefficiencies or loss of productivity may be recoverable to the extent the Contractor provides verifiable cost records and contemporaneous project documentation.

5.4 SUSPENSION OF WORK

A. County Issues Directive Suspending Work

- The County may order the Contractor, in writing, to suspend all or any part of the Work of this Contract for the period of time that the County determines appropriate for the convenience of the County. The Contractor shall not suspend the Work without written direction from the County specifically authorizing the Suspension of Work.
- 2. Upon receipt of a written Notice suspending the Work, the Contractor shall immediately comply with its terms and take all reasonable steps to minimize costs attributable to such suspension. Within a period up to 120 days after the suspension notice is received by the Contractor, or within any extension of that period which the County requires, the County shall either:
 - a. Cancel the written notice suspending the Work; or,
 - b. Terminate the Work for either default or convenience.
- 3. If a written notice suspending the Work is canceled or the period of the Suspension or any extension thereof expires, the Contractor shall resume Work as required by the County.
- 4. If the performance of all or any part of the Work is, for an unreasonable period of time, suspended by the written direction of the County, the Contractor may be entitled to an adjustment in the Contract Time, or Contract Price, or both, for increases in the time or cost of performance directly attributable to the suspension and provided that the Contractor sufficiently documents all costs and time impacts attributable to the suspension. No adjustments to Contract Price and/or Contract Time shall be allowed unless the Contractor can demonstrate that the period of suspension caused by the County impacted Critical Path and delayed the Contractor from completing the Contract Work on time.

B. Constructive Suspension of Work

- In the event that the Contractor believes that some action or omission on the part
 of the County constitutes constructive suspension of Work, the Contractor shall
 immediately notify the County in writing that the Contractor considers the actions
 or omission a constructive suspension of Work. This written notification shall
 detail the circumstances of the constructive suspension of Work.
- 2. The Contractor shall keep cost and other project records related to the constructive suspension separately from normal project costs.
- C. To the extent the Contractor believes it is entitled to any additional money or time as a result of the suspension of Work or constructive suspension, Contractor shall submit a Request For Change Order to the County as more fully described in Article 5, Changes to the Contract. The Contractor shall provide a Request for Change

Order within thirty-five (35) days of (1) the County's Notice canceling the suspension or (2) termination of the Work.

- 1. The Contractor is compensated for Overhead, including unabsorbed home office overhead, through the Overhead and Profit markup described in provision 6.2, Methods to Calculate Adjustments to Contract Price. If the Contractor can demonstrate through verifiable cost records that the Overhead and Profit markup is insufficient to cover the Contractor's costs for unabsorbed home office overhead, the Contractor may be entitled to additional compensation for unabsorbed home office overhead provided the Contractor demonstrates full compliance with all of the following:
 - a. The Contractor shall demonstrate that the work was suspended solely by actions for which the County is entirely responsible.
 - b. The Contractor shall demonstrate that the Project's cash flow has been or will be substantially adversely impacted as a direct and sole result of such suspension.
 - c. The suspension was of an indefinite duration at the time the suspension arose:
 - d. The County required the Contractor to remain on standby during the suspension period;
 - e. The Contractor was unable to resequence or reorganize the Work in order to continue working and maintain cash flow for the Project;
 - f. The Contractor was ready, capable, and willing to perform Contract Work during the suspension;
 - g. The Contractor was unable to take on other work as a direct result of the suspension and the only reason the Contractor was unable to take on other work was because of the suspension; and
 - h. That the suspension to the Project's completion date did not simply result from additional work caused by Change Orders which did not result in a substantial impact to the Project's cash flow.
- 2. The Contractor's recovery is limited to actual unabsorbed home office overhead minus the Overhead and Profit markup calculated in accordance with provision 6.2, *Methods to Calculate Adjustments to Contract Price*.
- D. Failure to comply with these requirements shall constitute a waiver of Contractor rights to any adjustment in Contract Time and/or Contract Price.
- E. No adjustment shall be made under this provision for any suspension to the extent that Contractor's performance would have been suspended, delayed, or interrupted as a result of actions, omissions, fault or negligence caused, in whole or in part, by the Contractor or any of its Subcontractors.

5.5 FORCE MAJEURE

- A. To the extent the Contractor believes it is entitled to any additional time as a result of Force Majeure, Contractor shall submit a Request For Change Order to the County as more fully described in Article 5, *Changes to the Contract.*
- B. Contractor shall not be entitled to a change in Contract Price resulting from an act of Force Majeure.

- C. Contractor is not entitled to an adjustment in Contract Time if the act of Force Majeure did not impact progress of the Work on the Critical Path and delay the Contractor from completing Contract Work within Contract Time.
- D. When a Contractor experiences concurrent delay caused by either the County or Contractor and an act of Force Majeure, the Contractor shall only be entitled to an change in Contract Time. No change to the Contract Price shall be allowed as a result of such concurrent delay.

5.6 CHANGE ORDERS

A. Bilateral Change Orders

1. If the County and Contractor reach agreement on the terms and conditions of any change in the Work, including any adjustment in the Contract Price and Contract Time, such agreement shall be incorporated into a Change Order and signed by both Parties. Such Bilateral Change Orders shall represent full and complete payment and final settlement of all changes, Claims, damages or costs for all (a) time; (b) direct, indirect, and overhead costs; (c) profit; and (d) any and all costs or damages associated with delay, inconvenience, disruption of schedule, impact, ripple effect, loss of efficiency or productivity, acceleration of work, lost profits, stand-by, and any other costs or damages related to any work either covered or affected by the Change Order, or related to the events giving rise to the Bilateral Change Order.

B. Unilateral Change Order

- 1. County's Right to Issue Unilateral Change Order.
 - a. The County may unilaterally issue a Change Order in its sole discretion without invalidating the Contract and without notice to the sureties, making changes within the general scope of this Contract.
 - b. If any such Change Order causes an increase or decrease in the cost of, or time required for, performance of any part of the Contract Work, the County may make an adjustment in the Contract Price, Contract Time, or both, in accordance with Articles 5, *Changes to the Contract*, and 6, *Time and Price Adjustments*.
- 2. <u>Contractor Disagreement with Unilateral Change Order</u>. If the Contractor disagrees with the adjustment to the Contract Price and/or Time as indicated in the Unilateral Change Order, the Contractor's only remedy shall be to file a fully documented Claim in accordance with Article 9, *Claims and Litigation*.
- 3. <u>Contractor's Obligation to Continue to Work</u>. The Contractor is required to continue with performance of all Work, including work associated with the Unilateral Change Order.

C. Issuance of Change Order

- 1. Bilateral Change Order.
 - a. No later than 30 days from the Satisfactory Completion of any additional Work, the County shall prepare and issue to the Contractor a Bilateral Change Order in accordance with the agreed upon terms and conditions, including any adjustment in the Contract Price and Contract Time.
- 2. <u>Unilateral Change Order</u>.

a. If the County proceeds under Article 5.6.B or Article 5.7.E, then no later than 30 days from the Satisfactory Completion of any additional Work, the County shall prepare and issue to the Contractor a Unilateral Change Order, including any adjustment in the Contract Price and Contract Time.

3. Determination of Satisfactory Completion.

- a. As used herein, "Satisfactory Completion" means that the Project Representative shall have confirmed in writing that all tasks have been completed to the reasonable satisfaction of the County, including submittal by the Contractor of all required time and cost documentation. Satisfactory Completion does not mean Substantial Completion.
- b. The issuance and execution of a Bilateral or Unilateral Change Order by the County shall not relieve the Contractor of its obligations to comply with the requirements of Article 7, Payment and Completion.

5.7 COUNTY REQUEST FOR A CHANGE PROPOSAL

- A. <u>Request</u>. The County may request a written Change Proposal from the Contractor for a change in the Contract Work.
- B. <u>Contractor's Proposal</u>. Contractor shall submit its written Change Proposal within the time specified in the County's request. The Change Proposal shall represent the Contractor's offer to perform the requested work, and the pricing set forth within the proposal shall represent full, complete, and final compensation for the proposed change and any impacts to any other Contract Work, including any adjustments in the Contract Time.

C. County's Acceptance of Contractor Proposal.

- 1. If the County accepts the Change Proposal as submitted by the Contractor or as negotiated by the parties, the County shall notify the Contractor in writing of its acceptance of the Proposal and direct that the change in the Work be performed.
- 2. Contractor shall not perform the work identified in the Change Proposal until receipt of written authorization from the Project Representative.
- 3. Both parties shall acknowledge acceptance of the terms of a negotiated Change Proposal in writing.
- 4. Once the County and Contractor have agreed on the terms of a negotiated Change Proposal, the negotiated Change Proposal shall represent full and complete compensation and final settlement of all Claims for all (1) time; (2) direct, indirect, and overhead costs; (3) profit; and (4) costs or damages associated with delay, inconvenience, disruption of schedule, impact, ripple effect, loss of efficiency or productivity, acceleration of work, lost profits, and/or any other costs or damages related to any work either covered or affected by the Change Proposal, or related to the events giving rise to the Change Proposal.
- D. <u>Execution of a Bilateral Change Order</u>. After acceptance of the Change Proposal or acceptance of the negotiated Change Proposal, the County shall direct the Contractor to perform the work in accordance with the agreed upon terms; thereafter, the Parties shall execute a bilateral Change Order in accordance with the terms of the Change Proposal or negotiated Change Proposal.

- E. <u>Execution of Unilateral Change Order</u>. If the County does not accept the Change Proposal or the Parties cannot agree upon the appropriate price or terms for the Change Proposal, the County may issue a unilateral Change Order.
- F. <u>Issuance of Change Order</u>. Any Bilateral Change or Unilateral Change Order shall be issued in accordance with Article 5.6.C. Issuance of Change Order.

ARTICLE 6: TIME AND PRICE ADJUSTMENTS

6.0 CHANGE IN THE CONTRACT TIME

- A. The Contract Time shall only be changed by a Change Order.
- B. Contractor shall include any request for a change in the Contract Time in its Notice of intent to submit a Request for Change Order, Request for Change Order, Change Proposal and Claim.
- C. No change in the Contract Time shall be allowed to the extent the time of performance is changed due to the fault, act, or omission of Contractor, or anyone for whose acts or omissions the Contractor is responsible.
- D. Contractor is not entitled to a change in Contract Time unless the progress of the Work on the Critical Path is delayed and completion of the Contract Work within Contract Time is delayed.
- E. When a Contractor experiences concurrent delays which impact the Critical Path and are caused by (1) the County and the Contractor; (2) the County and an act of Force Majeure; or, (3) the Contractor and an act of Force Majeure, the Contractor shall only be entitled to an change in Contract Time. No change to the Contract Price shall be allowed as a result of such concurrent delay.
- F. A Request for Change Order that includes a request for an adjustment in the Contract Time shall:
 - 1. Be in writing and delivered to the County within the appropriate time period specified in Article 5, *Changes in the Contract*.
 - 2. Include a clear explanation of how the event or conditions specifically impacted the Critical Path and overall Project Schedule and the amount of the adjustment in Contract Time requested.
 - 3. Be limited to the change in the Critical Path of a Contractor's Project Schedule, and any updates, attributable to the event or conditions, which caused the request for adjustment. No extension of time or compensation for damages resulting from delay will be granted unless the delay affects the timely completion of all Work under the Contract or timely completion of a portion of the Work for which time of completion is specific. Contractor shall be responsible for showing clearly on the Project Schedule, and any updates, that the event or conditions:
 - a. Had a specific impact on the Critical Path and was the sole cause of such impact;
 - b. Could not have been avoided by resequencing of the Work or other reasonable alternatives; and
 - c. Will prevent the Contractor from completing the Project within the current Contract completion date.

G. Contractor shall make all reasonable efforts to prevent and mitigate the effects of any delay, whether occasioned by an act of Force Majeure or otherwise.

6.1 CHANGE IN THE CONTRACT PRICE

- A. The Contract Price shall only be changed by a Change Order.
- B. Contractor shall include any request for a change in the Contract Price in its:
 - 1. Change Proposal;
 - 2. Notice of intent to submit a Request for Change Order;
 - 3. Request For A Change Order; and
 - 4. Claim, provided the related Request for Change Order and/or Change Proposal included a request to adjust the Contract Price.
- C. No change in the Contract Price shall be allowed when:
 - 1. Contractor's changed cost of performance is due to the fault, acts, or omissions of Contractor, or anyone for whose acts or omissions Contractor is responsible;
 - 2. The change is concurrently caused by Contractor and County; or
 - 3. The change is caused by an act of Force Majeure.
- D. The County shall not be responsible for, and the Contractor shall not be entitled to any compensation for unallowable costs. Unallowable costs include, but are not limited to:
 - 1. Interest or attorney's fees of any type other than those mandated by Washington state statute:
 - 2. Claim preparation or filing costs;
 - 3. The cost of preparing or reviewing Change Proposals or Requests for Change Orders;
 - 4. Lost profits, lost income or earnings;
 - 5. Costs for idle equipment when such equipment is not at the Site, has not been employed in the Work, or is not scheduled to be used at the Site;
 - 6. Lost earnings or interest on unpaid retainage;
 - 7. Claims consulting costs;
 - 8. The costs of corporate officers or staff visiting the Site or participating in meetings with the County;
 - 9. Any compensation due to the fluctuation of foreign currency conversions or exchange rates;
 - 10. Loss of other business; and/or
 - 11. Any other special, consequential, or incidental damages incurred by the Contractor, Subcontractor, or Suppliers.
- E. A Request for Change Order that includes a request for an adjustment in Contract Price shall:
 - 1. Be in writing and delivered to the County within the applicable time period specified in Article 5, *Changes to the Contract*.

- 2. Identify the following information:
 - a. The event or condition which caused the Contractor to submit its request for an adjustment in the Contract Price;
 - b. The nature of the impacts to Contractor and its Subcontractors, if any; and,
 - c. The amount of the adjustment in Contract Price requested.
- Any requests by Contractor for an adjustment in the Contract Price and in the Contract Time that arise out of the same event or conditions shall be submitted together.
- F. The adjustments to the Contract Price provided for in this Article represent full, final, and complete compensation for all work done in connection with the request for an adjustment in Contract Price and all costs related to, resulting from, or affected by such change in Work including, but not limited to, all direct and indirect costs, overhead, profit, and all costs or damages associated with delay, inconvenience, disruption of schedule, impact, dilution of supervision, inefficiency, ripple effect, loss of efficiency or productivity, acceleration of work, lost profits, and any other costs or damages related to any work either covered or affected by the change in the Work, or related to the events giving rise to the change.

6.2 METHOD TO CALCULATE ADJUSTMENTS TO CONTRACT PRICE

- A. One of the following methods shall be used to calculate damages and/or adjustments to the Contract Price that result from or relate to Change Proposal, Request for Change Order, and/or Claim.
- B. Determination of the method to be used to calculate adjustments in the Contract Price shall be at the sole discretion of the County.
- C. One of the following methods shall be used:
 - 1. Unit Price Method:
 - 2. Firm Fixed Price Method (also known as Lump Sum); or,
 - Time and Materials Method.

D. Unit Price Method

- 1. Whenever the County authorizes Contractor to perform Work on a Unit Price basis, the County's authorization shall clearly state the:
 - a. Scope of work to be performed;
 - b. Applicable Unit Price; and,
 - c. Not to exceed amount of reimbursement as established by the County.
- 2. The applicable unit price shall include reimbursement for all direct and indirect costs of the Work, including Overhead and profit.
- Contractor shall only be paid under this method for the actual quantity of materials incorporated in or removed from the Work and such quantities must be supported by field measurement statements verified by the County.

E. Firm Fixed Price Method

1. The Contractor and County may mutually agree on a fixed amount as the total compensation for the performance of changed work.

- 2. The Contractor shall provide a detailed cost breakdown supporting the Contractor's requested adjustment to Contract Price and any other financial documentation requested by the Project Representative.
- 3. Any adjustments to the Contract Price using the Firm Fixed Price Method shall include, when appropriate all reasonable costs for labor, equipment, material, Overhead and profit. Such Overhead and profit shall be calculated in accordance with §00700 ¶ 6.2 F 4 e, Overhead and Profit.
- 4. Whenever the County authorizes Contractor to perform changed work on a Firm Fixed Price Method, the County's authorization shall clearly state:
 - a. Scope of Work to be performed; and,
 - b. Total Fixed Price payment for performing such work.

F. Time and Materials Method

- 1. Whenever the County authorizes the Contractor to perform Work on a Time and Material basis, County's authorization shall clearly state:
 - a. Scope of Work to be performed; and,
 - b. A not to exceed amount of reimbursement as established by the County.

2. Contractor shall:

- a. Cooperate with the County and assist in monitoring the Work being performed;
- b. Substantiate the labor hours, materials and equipment charged to work under the Time and Materials Method by detailed time cards or logs completed on a daily basis before the close of business each working day;
- c. Present the time card and/or log at the close of business each day to the Project Representative so that the County may review and initial each time card/log:
- d. Perform all Work in accordance with this provision as efficiently as possible;
- e. Not exceed any cost limit(s) without the County's prior written approval; and
- f. Maintain all records of the work, including all records of the Subcontractor, Supplier, and Materialmen, and make such records available for inspection as required in provisions 3.9, *Record Documents*, 3.10, *Cost Records*, and 3.11, Maintenance and Inspection of Document.
- 3. Contractor shall submit costs and any additional information requested by the County to support Contractor's requested price adjustment.
- 4. The Contractor shall only be entitled to be paid for reasonable costs actually incurred by the Contractor. The Contractor has a duty to control costs. If the County determines that the Contractor's costs are excessive or unreasonable, the County, at its discretion, shall determine the reasonable amount for payment. Any adjustments to the Contract Price using the Time and Materials method shall be based on the following categories and shall incorporate markups for Overhead and profit as provided herein.
 - a. Labor. For all labor, including foreman supervision but excluding superintendents, the Contractor shall be reimbursed for labor costs provided

herein. The labor cost of an event or condition shall be calculated as the sum of the following:

- i. Labor Rate. The Labor Rate is the actual reasonable wage paid to the individual plus the actual reasonable costs incurred by the Contractor to cover costs associated with Federal Insurance Compensation Act (FICA), Federal Unemployment Tax Act (FUTA), State Unemployment Tax Act (SUCA), industrial insurance, fringe benefits, and benefits paid on behalf of labor by the Contractor. The applicable Labor Rates shall be multiplied by the number of hours reasonably expended in each labor classification because of the event or condition to arrive at a total cost of labor.
- ii. **Travel Allowance and/or Subsistence**. The labor calculation shall include the actual costs of travel and/or subsistence paid to the Contractor's employees engaged upon the Work when said payments are required by a labor agreement.
- b. **Materials**. The cost of materials resulting from an event or condition shall be calculated in one or more of the following methods, at the County's election:
 - i. Invoice Cost. The Contractor may be paid the actual invoice cost of materials including actual freight and express charges and applicable taxes less all available discounts, rebates, and back-charges, notwithstanding the fact that they may not have been taken by the Contractor. This method shall be considered only to the extent the Contractor's invoice costs are reasonable and the Contractor provides copies of vendor invoices, freight and express bills, and other evidence of cost accounting and payment satisfactory to the County. As to materials furnished from the Contractor's stocks for which an invoice is not available, the Contractor shall furnish an affidavit certifying its actual cost of such materials and such other information as the County may reasonably require;
 - ii. Wholesale Price. The Contractor may be paid the lowest current wholesale price for which the materials are available in the quantities required, including customary costs of delivery and all applicable taxes less all available discounts, rebates, and back-charges; or,
 - iii. County Furnished Material. The County reserves the right to furnish such materials as it deems advisable, and the Contractor shall have no Claim for any costs, Overhead or profit on such materials.
- c. **Equipment**. The additional cost, if any, of machine-power tools and equipment usage shall be calculated in accordance with the following rules:
 - i. Equipment Rates. The Contractor's own charge rates may be used if verified and approved by the County and based on the Contractor's actual ownership and operating cost experience. Rental rates contained in published rate guides may be used if their cost formulas and rate factors are identifiable, reflect the Contractor's historical acquisition costs, utilization, and useful life, and do not include replacement cost, escalation contingency reserves, general and administrative expense, or profit. Rates shall be based on the Contractor's actual allowable costs incurred or the rates established according to the Rental Rate Blue Book for Construction Equipment, published by Equipment Watch, PRIMEDIA,

whichever is less. The Rental Rate Blue Book established equipment rate shall be the monthly rental rate for the equipment plus the monthly rental rate for required attachments, divided by 176, multiplied by the appropriate regional adjustment factor, plus the hourly operating cost. The established equipment rate shall apply for actual equipment usage up to eight hours per day. For all hours in excess of eight hours per day or 176 hours per month, the established equipment rate shall be the monthly rental rate plus the monthly rental rate for required attachments, divided by 352, multiplied by the regional adjustment factor, plus the hourly operating cost.

- ii. Transportation. If the necessary equipment is not already at the Site and it is not anticipated that it would be required for the performance of other work under the terms of the Contract, the calculation shall include a reasonable amount for the costs of the necessary transportation of such equipment.
- iii. Standby. The Contractor shall only be entitled to standby equipment costs if (a) the equipment is ready, able, and available to do the Work at a moment's notice; (b) Contractor is required to have equipment standby because of an event or condition solely caused by the County and (c) the Contractor can demonstrate that it could have and intended to use the equipment on other projects/jobs. The Contractor shall be compensated at 50% of the monthly rental rate for the equipment, divided by 176, and multiplied by the appropriate regional adjustment factor, as identified in the Rental Rate Blue Book for Construction Equipment, published by Machinery Information Division of PRIMEDIA Information Inc. Standby shall not be paid during periods of Contractor-caused delay, concurrent delay, Force Majeure, during any seasonal shutdown, routine maintenance, down-time or broken equipment, late delivery of equipment or supplies, or other anticipated occurrence specified in the Contract Documents. No payment shall be made for standby on any piece of equipment, which has been used on the Project in any 24 hour period. Standby costs shall not be paid for weekends, holidays, and any time the equipment was not intended to be used on the Project as demonstrated by the Project Schedule.
- d. Subcontractor & Supplier. Direct costs associated with Subcontractors and Suppliers shall exclude Overhead and Profit markups and shall be calculated and itemized in the same manner as prescribed herein for Contractor. Contractor shall provide detailed breakdown of Subcontractor and Supplier invoices.

e. Overhead and Profit Markup.

i. On a change to the Contract Price or any other claim for money by the Contractor, the County will only pay Overhead, including Home Office Overhead, Site or Field Office Overhead, and unabsorbed home office overhead, and Profit pursuant to the Overhead and Profit Markups set forth herein. The Overhead and Profit Markups cover all overhead regardless of how the Contractor chooses to account for various costs in its books of account.

- ii. Overhead and Profit markups shall not be applied to Freight, delivery charges, express charges, and sales tax.
- iii. The allowed Overhead and Profit markup shall not exceed the following:
 - If the Contractor is self performing work: 18% combined Overhead and Profit markup on the Contractor's Direct Costs; or
 - If a Subcontractor or Supplier is performing work: 18% for the Subcontractor's Direct Cost for performing the work and 7% on the Direct Costs of the Subcontractors' or Suppliers'; provided that the 7% is to be divided among upper tier Subcontractors and the Contractor when a Subcontractor or Supplier is performing the work.
 - If the value of material and equipment is greater than 50% of the total value of the change, the Overhead and Profit Markup shall only be 10% for material and equipment.
 - In no event shall the total combined Overhead and Profit markup for the Contractor and all Subcontractors and Suppliers of any tier exceed 25% of the Direct Cost to perform the Change Order work.
- iv. Direct Costs shall include Labor (as defined in §00700 ¶ 6.2 F4a), Materials (as defined in §00700 ¶ 6.2 F4b), Equipment (as defined in §00700 ¶ 6.2 F4c), and Subcontractor and Supplier Costs (as defined in §00700 ¶ 6.2 CFd).

G. Deductive Changes to the Contract Price

- 1. A deductive change to the Contract Price may be determined by taking into account:
 - a. Costs incurred and saved by the Contractor as a result of the change, if any;
 - b. The costs of labor, material, equipment, overhead and profit saved by the change. These costs shall be calculated following as closely as possible with the provisions identified in Article 6, *Time and Price Adjustments*; and/or,
 - c. At the discretion of the County, costs set forth in the documents used by the Contractor to develop its bid.
- 2. Where the County has elected not to correct incomplete or defective Work, the adjustment in the Contract Price shall take into account:
 - a. The decreased value to the County resulting from the incomplete or defective Work; and,
 - b. The increased future costs which the County may incur by reason of the incomplete or defective Work.

H. Full Compensation

An adjustment calculated in accordance with the provisions of this Article shall be full and complete payment and final settlement of all changes, claims, damages and costs for all (a) time; (b) direct, indirect, and overhead costs; (c) profit; and (d) any and all costs or damages associated with delay, inconvenience, disruption of schedule, impact, ripple effect, loss of efficiency or productivity, acceleration of work, lost profits, standby, and/or any other costs or damages related to any Work either

covered or affected by the changed work, or related to the events giving rise to the change.

ARTICLE 7: PAYMENT AND COMPLETION

7.0 APPLICATIONS FOR PAYMENT

- A. On or about the first day of each month, the Contractor shall submit to the County an Application for Payment. Each application shall be on a form acceptable to the County and designated as an "Application For Payment." The Contractor shall include with each Application For Payment:
 - 1. Current status Schedule of Values;
 - 2. Project Schedule and the most current updates; and
 - 3. Affidavits signed by all Subcontractors performing Work to date, stating that each of them has been paid, less earned retainage, as their interests appeared in the last preceding Application For Payment.
 - 4. The contract purchase agreement, CPA #_____ shall be placed on each Application for Payment submitted by the Contractor to the County.
- B. Inclusion of the required documentation is a condition precedent to payment. The Contractor is not entitled to payment for any work unless the Application For Payment includes all required documentation. The County reserves the right to withhold payment pursuant to provision 7.2, *Payments Withheld* if it is subsequently determined that all required documentation was not provided by the Contractor.
- C. The application shall correlate the amount requested with the Schedule of Values and with the state of completion of the Work, as measured by the current Project Schedule. In addition to Work performed by the Contractor, applications may include (1) the invoiced cost of major materials or equipment (major material or equipment to be identified on the Schedule of Values) suitably stored on the Site, and (2) with the County's consent, up to 75% of the invoiced cost of major materials or equipment suitably stored off the Site if the County's interest in those major materials or equipment is protected through insurance and the Contractor provides documentation of such insurance.

7.1 PAYMENTS

- A. The County shall comply with RCW 39.76, as amended, and promptly review each Application For Payment and identify in writing any cause for disapproval within 8 working days. In addition to withholding payment for unsatisfactory performance or failure to comply with Contract requirements, if the Contractor's Application for Payment fails to recognize any back-charges, off-sets, credits, change orders, or deductions in payment made in accordance with provision 7.2, *Payments Withheld*, the County shall have the right to revise or disapprove Contractor's Application For Payment because the Application For Payment is not considered a properly completed invoice.
- B. If an Application For Payment is accepted by the County, it shall be paid within thirty (30) days of the County's receipt of the properly prepared invoice (Application For Payment).
- C. The Contractor shall ensure that Subcontractors [and Suppliers] are promptly paid to the fullest extent required by RCW 39.04.250, as may be amended.

7.2 PAYMENT WITHHELD

- A. In addition to moneys retained pursuant to RCW 60.28 and without waiver of any other available remedies, the County has the right to withhold, nullify, or back-charge, in whole or in part, any payment or payments due or that have been paid to the Contractor as may be necessary to cover the County's costs or to protect the County from loss or damage for reasons including but not limited to:
 - 1. Failure of the Contractor to submit or obtain acceptance of a Progress Schedule, Schedule of Values, and any updated Schedules;
 - 2. Defective or non-conforming Work;
 - 3. Costs incurred by the County to correct, repair or replace defective or non-conforming Work, or to complete the Work;
 - 4. A reasonable doubt that the Contract can be completed for the balance then unpaid;
 - 5. A reasonable concern by the County that the materials, equipment or component parts are not in proper operating condition;
 - 6. Assessment of Liquidated Damages;
 - 7. Failure to perform in accordance with the Contract;
 - 8. Cost or liability that may occur to the County as the result of the Contractor's or Subcontractor's acts, omissions, fault, or negligence;
 - 9. Deduction in Contract Work;
 - 10. Failure of Contractor to repair damaged materials, equipment, property, or Work;
 - 11. Failure of the Contractor to provide or obtain review of Submittals;
 - 12. Failure to pay Subcontractors or Suppliers;
 - 13. Failure to keep Record Documents up to date;
 - 14. Failure to comply with all applicable federal, state, and local laws, statutes, regulations, codes, licenses, easements, and permits;
 - 15. Failure to obtain and maintain applicable permits, insurance, and bonds;
 - 16. Failure to provide Statement of intent to Pay Prevailing Wage and/or Affidavits of Wages Paid; and
 - 17. Failure to comply with the Contract safety requirements.
- B. The withholding, nullification, or back-charge of any payment(s) by the County shall in no way relieve the Contractor of any of its obligations under this Contract.

7.3 TITLE

Title to all Work and materials covered by an accepted and paid Application For Payment shall pass to the County at the time of such payment, free and clear of all liens, claims, security interest, and encumbrances. Passage of title shall not, however, (1) relieve Contractor from any of its duties and responsibilities for the Work or materials, (2) waive any rights of the County to insist on full compliance by Contractor with the Contract requirements, or (3) constitute acceptance of the Work or materials.

7.4 SUBSTANTIAL COMPLETION PROCEDURE

- A. When the Contractor considers that all Work or Work associated with Contract milestones is substantially complete, the Contractor shall give written Notice to the Countv.
 - 1. The County shall promptly inspect the Work and, if the County does not agree that the Work is substantially complete, the County will prepare a Punch List (list of items to be completed or corrected).
 - a. The County reserves the right to add to, modify, or change the Substantial Completion Punch List as circumstances dictate.
 - b. Failure by the County to include any items on such list does not alter the responsibility of the Contractor to complete or correct the Work in accordance with the Contract.
- B. At the Contractor's request, the County may identify those Punch List items that must be completed or corrected in order for the Contractor to achieve Substantial Completion.
 - 1. When the County determines that those Punch List items have been completed or corrected by the Contractor, the County shall make a determination that the Work is Substantially Complete.
 - 2. A Certificate of Substantial Completion will be issued by the County, which shall establish the date of Substantial Completion.
 - 3. This Certificate of Substantial Completion shall state the responsibilities of the County and the Contractor for security, maintenance, heat, utilities, damage to the Work, insurance, and the time to complete remaining Punch List work before liquidated damages begin to accrue for the Contractor's failure to achieve Completion/Final Acceptance in a timely manner.
 - 4. The County shall assess liquidated damages for the Contractor's failure to complete or correct the required Punch List items for Substantial Completion within the Contract Time.
- C. As provided in the Contract, the County may grant Substantial Completion to specific subsystems or portions of the Work. The dates of Substantial Completion shall be determined, in writing, by the County

7.5 FINAL INSPECTION AND FINAL PUNCH LIST

- A. All remaining Punch List items that were not corrected prior to Substantial Completion shall be successfully completed by the Contractor prior to the Contractor's request for Final Acceptance. When the Contractor considers that all Contract Work is ready for final inspection and Final Acceptance, the Contractor shall give written Notice to the County.
- B. County shall promptly perform a final inspection of the Work and, if necessary, prepare a Final Punch List (a list of items to be completed or corrected by the Contractor prior to the County granting Final Acceptance).
- C. Punchlist items may include but are not limited to: Copies of the warranties and guarantees required by the Contract; Permit approvals and Certificates of Occupancy; Operation and Maintenance Manuals; Record Set of Drawings and

- Specifications; and Stamped permit set of documents; Right of Way, Easements and Property Releases, and any other documents called for elsewhere in the Contract;
- D. The Contractor shall complete or correct the items identified in the Final Punch List within the time period as required in the Certificate of Substantial Completion. Should the Contractor fail to complete or correct all remaining Final Punch List items within the required time, the County may assess liquidated damages against the Contractor for failure to achieve Final Acceptance in a timely manner.
- E. After the Contractor completes all items identified in the Final Punch List(s), the Contractor shall notify the County in writing that the Final Punch List items have been successfully completed. After verification by the County that such completion was satisfactory, the Contractor shall submit a Final Application for Payment.

7.6 REQUIREMENTS FOR FINAL APPLICATION FOR PAYMENT

- A. In addition to any other requirement identified in the Contract Documents, the Final Application for Payment shall include the following documents:
 - 1. Affidavit of Wages Paid for Contractor and all Subcontractors in accordance with state law;
 - Contractor's release of claims against the County, except for Claims specifically described in the release document and submitted in accordance with Article 9, Claims and Litigation;
 - 3. Contractor certification that all Subcontractors and Suppliers have been paid and there are no outstanding liens;
 - 4. Right of Way, Easements and Property Releases; and,
 - 5. All reports identified in the Affidavit and Certificate of Compliance with the King County Code 12.16.

7.7 COMPLETION/FINAL ACCEPTANCE

- A. Completion/Final Acceptance shall be achieved when all the obligations of the Contract have been successfully performed by the Contractor in accordance with the Contract and accepted by the County.
- B. Neither Final Acceptance, nor Final Payment, shall release Contractor or its sureties from any obligations under this Contract or the Performance and Payment Bonds, or constitute a waiver of any claims by the County arising from or related to Contractor's performance or failure to perform the Work and to meet all Contractual obligations in accordance with the Contract, including but not limited to:
 - 1. Unsettled liens, security interests or encumbrances;
 - 2. Damaged, non-conforming, or defective Work discovered by the County;
 - 3. Terms of any warranties or guarantees required by the Contract; and,
 - 4. Payments made in error.
- C. Except for any Claims properly submitted in accordance with Article 9, Claims and Litigation, acceptance of Payment on the Final Application for Payment by the Contractor shall, on behalf of itself and its Subcontractors or Sureties, forever and unconditionally release and discharge the County, it officers, agents, employees, from:

- Any and all disputes or claims, including but not limited to claims for damages, fines, interest, taxes, attorney fees, or costs, demands, rights, actions or causes of actions, known or unknown, arising out of or in any way related to the parties' performance under the Contract and/or Project; and
- 2. Any and all known and/or unknown liabilities, obligations, demands, actions, suits, debts, charges, causes of action, requests for money and/or payment under the Contract, outstanding invoices, or claims directly or indirectly arising out of or related to the Contract and/or Project.

7.8 RETAINAGE.

- A. RCW chapter 60.28, concerning the rights and responsibilities of Contractor and County with regard to retainage are made a part of the contract by reference as though fully set forth herein.
- B. Pursuant to RCW 60.28.011 and RCW 39.08.030, claims or "liens" by Subcontractors and Suppliers against the retained fund or the retainage bond must be in writing and submitted to the Project Representative at the address given for notices in this Contract, for filing with the Project documents. The Project Representative will maintain a copy of all claims "liens" against the retainage in the Project document files.

7.9 WARRANTY AND GUARANTY

- A. In addition to any special warranties provided elsewhere in the Contract, Contractor warrants that all Work conforms to the requirements of the Contract and is free from any defect in equipment, material, design, or workmanship performed by Contractor or its Subcontractors and Suppliers.
- B. The warranty period shall be for the longer period of: one year from the date of Substantial Completion of the entire Project or the duration of any special extended warranty offered by a supplier or common to the trade.
- C. With respect to all warranties, express or implied, for Work performed or materials furnished according to the Contract, Contractor shall:
 - 1. Obtain all warranties that would be given in normal commercial practice from the supplier and/or manufacturer;
 - 2. Prior to Final Acceptance require all warranties be executed, in writing, for the benefit of the County;
 - 3. Enforce all warranties for the benefit of the County; and,
 - 4. Be responsible to enforce any warranty of a Subcontractor, manufacturer, or Supplier, should they extend beyond the period specified in the Contract.
- D. If, within an applicable warranty period, any part of the Work is found not to conform to the Contract, the Contractor shall correct it promptly after receipt of written Notice from the County to do so. In the event the County determines that Contractor corrective action is not satisfactory and/or timely performed, then the County has the right to either correct the problem itself or procure the necessary services, recommendations, or guidance from a third party. All damages incurred by the County and all costs for the County's remedy shall be reimbursed by the Contractor.
- E. The warranty provided in this provision shall be in addition to any other rights or remedies provided elsewhere in the Contract or by applicable law.

7.10 PRIOR OCCUPATION

County shall have the right to occupy such part or parts of the Project in or upon which the Work is being done, as it may see fit, before the Final Acceptance, and such occupation shall not be construed as acceptance by the County of the Work or constitute Substantial Completion of the Work.

ARTICLE 8: TERMINATION

8.0 COUNTY'S RIGHT TO TERMINATE CONTRACT

A. Termination for Default

- County may terminate, without prejudice to any right or remedy of the County the Work, or any part of it, for cause upon the occurrence of any one or more of the following events:
 - a. Contractor fails to prosecute the Work or any portion thereof with sufficient diligence to ensure Substantial Completion of the Work within the Contract Time;
 - b. Contractor fails to prosecute the Work or any portion thereof with sufficient diligence to ensure Final Acceptance of the Work in a timely manner;
 - c. Contractor is adjudged bankrupt, makes a general assignment for the benefit of its creditors, or a receiver is appointed on account of its insolvency;
 - d. Contractor fails in a material way to repair, replace or correct Work not in conformance with the Contract;
 - e. Contractor repeatedly fails to supply skilled workers or proper materials or equipment;
 - f. Contractor repeatedly fails to make prompt payment to its employees or Subcontractors;
 - g. Contractor materially disregards or fails to comply with laws, ordinances, rules, regulations, permits, easements or orders of any public authority having jurisdiction;
 - h. Contractor fails to comply with all Contract safety requirements; or,
 - i. Contractor is otherwise in material breach of any provision of the Contract.
- 2. If the County reasonably believes that one of the aforementioned events has occurred, the County will provide the Contractor with written Notice of its intent to terminate the Contractor for default, specifying within such notice the ground(s) for such termination. The County, at its option, shall require the Contractor to either promptly correct the deficiencies noted in the County's intent to terminate or provide the County with a corrective action plan as to how such deficiencies will be remedied or cured in a timely fashion. However, if after receipt of the proposed remedy, the County has a reasonable basis for concluding that the Contractor has (a) failed or is unwilling to repair, replace or correct the deficiencies, or (b) failed or is unwilling to provide a reasonable and satisfactory corrective action plan, the County shall thereafter have the right to terminate this Contract for default.
- 3. Upon termination, the County may at its option:

- a. Take possession of the Site and possession of or use of all materials, equipment, tools, and construction equipment and machinery thereon owned by Contractor; and/or,
- b. Finish the Work by whatever other reasonable method it deems expedient; or,
- c. Call upon the surety to perform its obligations under the performance and payment bonds, if applicable.
- 4. The Contractor and its sureties shall be liable for all damages and costs, including but not limited to: (1) compensation for architect and engineering services and expenses made necessary thereby; (2) any other costs or damages incurred by the County in completing and/or correcting the Work; and (3) any other special, incidental or consequential damages incurred by the County which results or arises from the breach or termination for default.
- 5. In the event of termination for default the County shall only pay the Contractor for Work successfully completed and accepted by the County prior to the date of termination. The County shall not be responsible for any other Contractor costs, expenses, or damages including any consequential, special, or incidental damages or lost profits associated with this Contract. In no event shall the County reimburse the Contractor for any costs directly or indirectly related to the cause of this termination for default.
- 6. If, after termination for default, it is determined that the Contractor was not in default, the rights and obligations of the parties will be the same as if the termination had been issued for the convenience of the County.
- 7. The rights and remedies of the County in this provision are in addition to any other rights and remedies provided by law or under this contract.

B. Termination for Convenience

- 1. Upon written Notice the County may terminate the Work, or any part of it, without prejudice to any right or remedy of the County, for the convenience of the County.
- 2. If the County terminates the Work or any portion thereof for convenience, Contractor may make a request for adjustment for:
 - a. Reasonable direct costs for all Work completed prior to the effective date of the termination and not previously paid for by the County;
 - b. A reasonable allowance for Overhead and profit for Work actually performed and accepted by the County prior to the date of termination, at a rate not to exceed the percentage amount set forth in the Contract and in provision 6.2, *Method to Calculate Adjustments to Contract Price*, subparagraph F4e, *Overhead and Profit*, and,
 - c. Actually incurred reasonable administrative costs for "settlement of the Work", i.e., costs directly caused by the termination for convenience, at a rate not to exceed 5% of what the Contractor has been actually paid prior to the date of termination.
- The Contractor shall not be entitled to any other costs or damages, whatsoever.
 The total sum payable upon termination shall not exceed the Contract Price reduced by prior payments. Contractor shall be required to make its request for

- adjustment in accordance with Article 5, Changes to the Contract, and Article 6, Time and Price Adjustments.
- 4. If it appears that the Contractor would have sustained a loss on the entire Contract had it been completed, the County shall not reimburse Contractor any profit for the Work completed and shall reduce the settlement to reflect the indicated rate of loss.

C. Contractor's Obligations During Termination

Unless the County directs otherwise, after receipt of a written Notice of termination for default or termination for convenience, Contractor shall promptly:

- 1. Stop performing Work on the date and as specified in the Notice of termination;
- Place no further orders or subcontracts for materials, equipment, services or facilities, except as may be necessary for completion of such portion of the Work not terminated;
- 3. Cancel all orders and subcontracts, upon terms acceptable to the County, to the extent that they relate to the performance of Work terminated;
- 4. Assign as specifically requested by the County all of the rights, title, and interest of Contractor in all orders and subcontracts:
- 5. Take such action as may be necessary or as directed by the County to preserve and protect the Work, Site, and any other property related to this Project in the possession of Contractor in which the County has an interest;
- 6. Continue performance of Work only to the extent not terminated; and,
- 7. Take any other steps required by the County with respect to this Project.

8.1 THE COUNTY'S RIGHT TO STOP THE WORK FOR CAUSE

- A. If Contractor fails or refuses to perform its obligations in accordance with the Contract, the County may order Contractor, in writing, to stop the Work, or any portion thereof, until satisfactory corrective action has been taken.
- B. Contractor shall not be entitled to any adjustment in the Contract Time and/or Contract Price for any increased cost or time of performance attributable to Contractor's failure or refusal to perform its obligations under the Contract.

ARTICLE 9: CLAIMS AND LITIGATION

9.0 CONTRACTOR CLAIMS

- A. Condition Precedent to Filing a Claim.
 - 1. The following actions are a condition precedent to filing a Claim:
 - a. A Request for Change Order is denied or deemed denied by the County; or
 - b. A Unilateral Change Order is issued by the County.

B. Failure to file a Timely Claim.

 At least seven (7) days prior to appropriate time to file a Claim, the Contractor may request an extension of time for filing its Claim. The Contractor shall state the reasons for the request and identify a date certain when the Contractor shall provide a fully documented Claim. Unless otherwise agreed to in writing by the Project Representative, a fully documented Claim shall be received by the Project Representative within thirty (30) days after:

- a. Denial or deemed denial of a Request for Change Order; or
- b. Contractor's receipt of an Executed Unilateral Change Order.
- 2. Failure to comply with the time requirements set for filing a Claim shall constitute acceptance by the Contractor, on behalf of itself and its Subcontractors and Suppliers, of the Unilateral Change Order and/or the County's denial or deemed denial of a Request for Change Order. Such acceptance shall be considered complete, full, and final settlement of all costs, damages, and Claims related to or arising from the Request for Change Order and/or Unilateral Change Order.
- C. <u>Contractor's Obligation to Continue to Work</u>. Pending final decision of a Claim hereunder, the Contractor shall proceed diligently with the performance of the Contract Work, including that work associated with the Claim, and maintain its progress with the Work.
- D. <u>Information required in a Fully Documented Claim</u>. Every Claim must be submitted by the Contractor, in writing and clearly designated by the Contractor as a fully documented Claim. At a minimum, a fully documented Claim must contain the following information:
 - 1. A detailed factual statement of the Claim providing all necessary details, locations, and items of Contract Work affected;
 - 2. The date on which facts arose that gave rise to the Claim;
 - 3. The name of each person employed or associated with the Contractor, Subcontractor, Supplier, and/or the County with knowledge about the event or condition which gave rise to the Claim;
 - 4. Copies of documents and a written description of the substance of any oral communications that concern or relate to the Claim;
 - 5. The specific provisions of the Contract Documents on which the Claim is based;
 - 6. If an adjustment in the Contract Price is sought, the exact amount sought, calculated in accordance with the Contract and accompanied by (a) all records supporting the Claim and (b) all records meeting the requirements of provision 3.10, Cost Records;
 - 7. If an adjustment in the Contract Time is sought, the specific days and dates for which it is sought; the specific reason the Contractor believes an adjustment in the Contract Time should be granted; and the Contractor's analyses of its Progress Schedule, any specific Schedule analysis as required by the Contract Documents, and all updates to demonstrate the reason for the adjustment in Contract Time; and.
 - 8. A statement certifying, under penalty of perjury, that after the exercise or reasonable diligence and investigation the Claim is made in good faith, that the supporting cost and pricing data are true and accurate to the best of the Contractor's knowledge and belief, that the Claim is fully supported by the accompanying data, and that the amount requested accurately reflects the adjustment in the Contract Price or Contract Time for which the Contractor believes the County is liable.

E. <u>Contractor's Duty to Cooperate.</u> The Contractor shall cooperate with the County or its designee in the evaluation of its Claim and provide all information and documentation requested by the County or its designee.

F. The County's Evaluation of the Claim.

- 1. To assist the County in the review of the Contractor's Claim, the County or its designee may visit the Site, request additional information and/or documentation in order to fully evaluate the issues raised in the Claim and/or audit the Claim.
- 2. After the Contractor has submitted a fully documented Claim that complies with this provision, the County shall respond, in writing, to the Contractor within sixty (60) days from the date the fully documented Claim is received with either:
 - a. A decision regarding the Claim;
 - b. Written Notice extending for another thirty (30) days the County's time to respond to the Claim.
- 3. Absent a thirty (30) day extension, the Claim shall be deemed denied upon the sixty-first (61st) day following receipt of the Claim by the County. If the County had a thirty (30) day extension, the Claim shall be deemed denied upon the ninety-first (91st) day following receipt of the Claim by the County.
- 4. The County will identify the Appeal Officer for each Claim within fifteen (15) days of the County's receipt of a Claim.

G. Appeal Process of a Denial or Deemed Denial of the Claim.

- Contractor shall notify the County of its disagreement with the denial or deemed denial of the Contractor's Claim and file a fully documented Appeal to the Appeal Officer within twenty-one (21) days after the deemed denial or receipt of the denial.
- 2. Failure to notify the County and file a fully documented Appeal constitutes acceptance of the denial or deemed denial and the Contractor waives any right to any adjustment in Contract Price and/or Contract Time with respect to the Claim.
- 3. A fully documented Appeal shall contain the following information:
 - a. All documentation and information previously provided to the County in support of the Contractor's Claim including but not limited to the documentation identified in provision 9.0, *Contractor Claims*, paragraph D;
 - b. A copy of the County's denial of the Claim;
 - c. A detailed explanation why the Contractor believes the County's decision is incorrect and why the Claim should be granted; and
 - d. Any technical data or additional documentation supporting the Contractor's position.
- 4. At the discretion of the Appeal Officer, the Appeal Officer may request additional information or a meeting with the Contractor.
- 5. After the Contractor has submitted a fully documented Appeal that complies with this provision, the Appeal Officer shall respond, in writing, to the Contractor within sixty (60) days from the date the Appeal is received. Absent a written response by the Appeal Officer, the Appeal shall be deemed denied upon the sixty-first (61st) day following receipt of the Appeal by the Appeal Officer.

6. Contractor shall notify the County of its disagreement with the denial or deemed denial of the Contractor's Appeal within twenty-one (21) days after the deemed denial or receipt of the denial. Failure to notify the County constitutes acceptance of the denial or deemed denial and the Contractor waives any right to any adjustment in Contract Price and/or Contract Time with respect to the Appeal.

9.1 CONTRACTOR'S BURDEN OF PROOF ON CLAIM

- A. The Contractor shall have the burden of proof to demonstrate entitlement and damages.
- B. If the Contractor, on behalf of itself or its Subcontractors and Suppliers seeks an adjustment in the Contract Price or Contract Time not supported by Project cost records meeting the requirements of §00700 ¶3.10, Cost Records, the Claim is waived.
- C. Compliance with the record keeping requirements set forth in this Contract is a condition precedent to recovery of any costs or damages related to or arising from performance of the Contract Work. If the County establishes non-compliance of the record-keeping requirement set forth in §00700 ¶ 3.10, Cost Records, no adjustment shall be made to the Contract Price and/or Contract Time with respect to that Claim.
- D. No Claim submitted to Alternate Dispute Resolution (ADR) or pursued by the Contractor in litigation shall seek damages greater than those set forth in the Contractor's Claim, except for accrual of any interest owing under applicable law.

9.2 LITIGATION

- A. As a mandatory condition precedent to the initiation of litigation by the Contractor against the County, Contractor shall:
 - 1. Comply with all provisions set forth in this Contract;
 - 2. Enter into an Alternate Dispute Resolution (ADR) process agreeable to both parties at any time during Contract Time but no later than sixty (60) days after issuance of the Certificate of Substantial Completion for the entire Project or Final Acceptance if a Certificate of Substantial Completion for the entire Contract is not issued; and complete the ADR process within 240 days after issuance of Substantial Completion for the entire Project or Final Acceptance if no Certificate of Substantial Completion for the entire Contract is issued; and
 - 3. Receive the Certificate of Substantial Completion for the entire Contract or Final Acceptance if a Certificate of Substantial Completion for the entire Contract is not issued.
- B. Any litigation brought against the County shall be filed and served on the County within 365 days from either the issuance of the Certificate of Substantial Completion for the entire Contract or Final Acceptance if no Certificate of Substantial Completion of the entire Contract is issued. The requirement that the parties participate in ADR does not waive the requirements of this subparagraph.
- C. Venue and jurisdiction shall vest solely in the King the County Superior Court.
- D. Failure to comply with these mandatory condition time requirements shall constitute a waiver of the Contractor's right to pursue judicial relief for any Claim arising from work performed under this Contract.

ARTICLE 10: MISCELLANEOUS

10.0 CONTRACTOR'S PERFORMANCE AND PAYMENT BOND

- A. The Contractor shall execute and deliver to the County a performance and payment bond for 100% of the Contract Price, on a form acceptable to the County with an approved surety company and in compliance with Chapter 39.08 RCW. Contractor shall notify surety of any changes in the work. The Contractor shall promptly furnish additional bond security to protect the County and persons supplying labor or materials required by the Contract if:
 - 1. The County has a reasonable objection to any surety;
 - 2. Any surety fails to furnish reports on its financial condition pursuant to the County's request; or,
 - 3. The Contract Price increases beyond the bond amount.

10.1 INDEMNIFICATION/HOLD HARMLESS

- A. The Contractor shall protect, defend, indemnify, and hold harmless the County, its officers, officials, employees, and agents, from any and all claims, demands, suits, penalties, losses, damages, judgments, or costs of any kind whatsoever (hereinafter "claims"), arising out of or in any way resulting from the Contractor's officers, employees, agents, and/or subcontractors of all tiers, acts or omissions, performance or failure to perform this Contract, to the maximum extent permitted by law or as defined by RCW 4.24.115, now enacted or as hereinafter amended.
- B. The Contractor's obligations under this section shall include, but not be limited to,
 - 1. The duty to promptly accept tender of defense and provide defense to the County at the Contractor's own expense.
 - 2. The duty to indemnify and defend the County from any claim, demand, and/or cause of action brought by or on behalf of any of its employees, or agents. The foregoing duty is specifically and expressly intended to constitute a waiver of the Contractor's immunity under Washington's Industrial Insurance Act, RCW Title 51, as respects the County with a full and complete indemnity and defense of claims made by the Contractor's employees. The parties acknowledge that these provisions were mutually negotiated upon by them.
 - 3. To the maximum extent permitted by law, the Contractor shall indemnify and defend the County from and be liable for all damages and injury which shall be caused to owners of property on or in the vicinity of the work or which shall occur to any person or persons or property whatsoever arising out of the performance of this Contract, whether or not such injury or damage is caused by negligence of the Contractor or caused by the inherent nature of the work specified.
- C. King the County may, in its sole discretion, (1) withhold amounts sufficient to pay the amount of any claim for injury, and/or (2) pay any claim for injury of which King the County may have knowledge, regardless of the formalities of notice of such claim, arising out of the performance of this Contract.
- D. Any amount withheld will be held until the Contractor secures a written release from the claimant, obtains a court decision that such claim is without merit, or satisfies any judgment on such claim. In addition, the Contractor shall reimburse and otherwise be liable for claims costs incurred by King the County, including, without limitation, costs for claims adjusting services, attorneys, engineering, and administration.

E. In the event the County incurs any judgment, award, and/or costs arising therefrom, including attorneys' fees, to enforce the provisions of this article, all such fees, expenses, and costs shall be recoverable from the Contractor.

10.2 COMPENSATION, WAGES, BENEFITS AND TAXES

The County assumes no responsibility for the payment of any compensation, wages, benefits, or taxes owed by the Contractor by reason of this Contract. The Contractor shall indemnify and hold the County, its officers, agents, and employees, harmless against all liability and costs resulting from the Contractor's failure to pay any compensation, wages, benefits or taxes.

10.3 SUCCESSORS AND ASSIGNS

The County and the Contractor each binds itself, its partners, successors, assigns and legal representatives to the other with respect to all covenants, agreements and obligations contained in the Contract. Neither party to the Contract shall assign the Contract or sublet it as a whole without the written consent of the other, nor shall the Contractor assign any moneys due or to become due to it hereunder, without the previous written consent of the County.

10.4 THIRD PARTY AGREEMENTS

Except as otherwise may be provided, the Contract shall not be construed to create a contractual relationship of any kind between: any architect, engineer, Subcontractor, Supplier, or any persons other than the County and Contractor.

10.5 NONWAIVER OF BREACH

No action or failure to act by the County shall constitute a waiver of any right or duty afforded to the County under the Contract; nor shall any such action or failure to act by the County constitute an approval of or acquiescence in any breach hereunder, except as may be specifically stated by the County in writing.

10.6 NOTICE TO THE COUNTY OF LABOR DISPUTES

- A. If Contractor has knowledge that any actual or potential labor dispute is delaying or threatens to delay timely performance in accordance with the Contract, Contractor shall immediately give Notice, including all relevant information, to the County.
- B. Contractor agrees to insert a provision in its Subcontracts and to require insertion in all sub-subcontracts, that in the event timely performance of any such contract is delayed or threatened by any actual or potential labor dispute, all Subcontractor or lower-tiered Subcontractor shall immediately notify the next higher tier Subcontractor. Subcontractor or Contractor, as the case may be, of all relevant information concerning the dispute.

10.7 LIQUIDATED DAMAGES AGAINST CONTRACTOR

A. The liquidated damage amounts, set forth elsewhere in the Contract Documents, will be assessed for Contractor's failure to achieve Substantial Completion within the Contract Time or Final Acceptance. These Liquidated Damages are not a penalty, but will be assessed against the Contractor for failure to achieve these Contract requirements. These Liquidated Damage amounts are fixed and agreed upon by and between the Contractor and the County because of the impracticability and extreme difficulty of fixing and ascertaining the actual damages the County would in such events sustain. These amounts shall be construed as the actual amount of damages sustained by the County, and may be retained by the County and deducted from payments to the Contractor. Assessment of Liquidated Damages shall not release the Contractor from any further obligations or duties pursuant to the Contract Work.

1. Failure to Achieve Substantial Completion

Timely performance and completion of the Work is essential to the County and the time limits stated in the Contract are of the essence. The County will incur serious and substantial damages if Substantial Completion of the Work does not occur within the Contract Time.

2. Failure to Achieve Final Acceptance

Final Acceptance of the Work is essential to the County and the time limits as identified by the County are of the essence. The County will incur serious and substantial damages if Final Acceptance of the Work does not occur as the County requires.

10.8 HEADINGS

The headings used in the Contract are for convenience only and shall not be considered a part of or affect the construction or interpretation of any contractual provision therein.

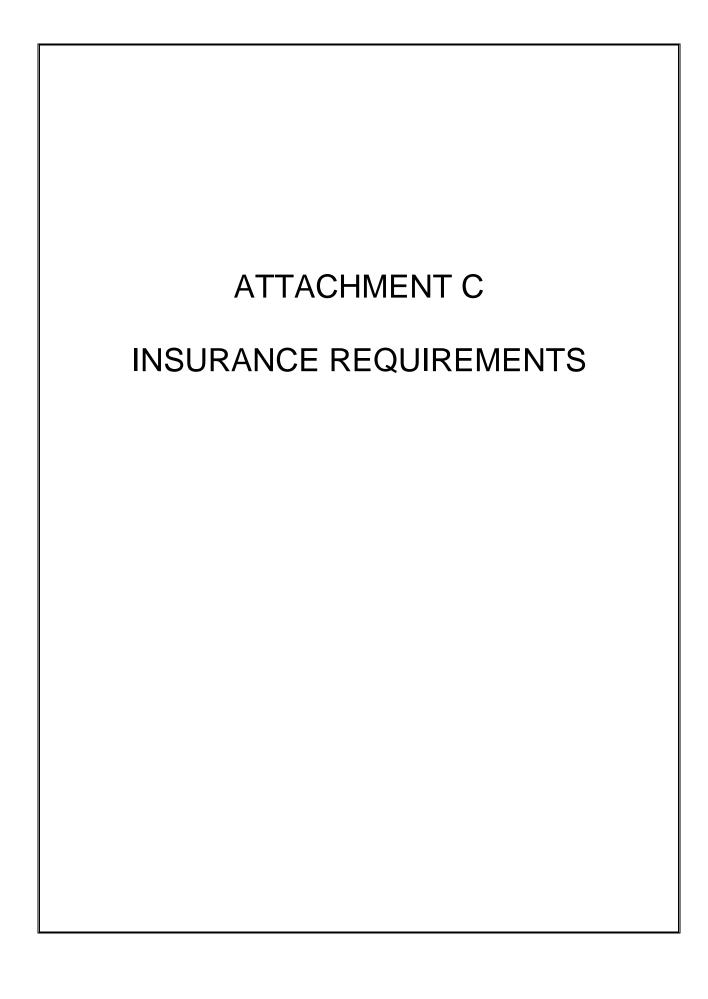
10.9 CHOICE OF LAW

In the event that either party shall bring a lawsuit or action related to or arising out of this Contract, such lawsuit or action shall be brought in the Superior Court, King the County, Washington. This Contract shall be governed by, and construed and enforced in accordance with the laws of the State of Washington.

10.10 SEVERABILITY

The provisions of this Contract shall be effective in all cases unless otherwise prohibited by Washington State Law or applicable Federal Law. The provisions of this Contract are separate and severable. The invalidity of any sentence, paragraph, provision, section, Article, or portion of this Contract shall not affect the validity of the remainder of this Contract.

END OF SECTION



ATTACHMENT C SECTION 00430 INSURANCE REQUIREMENTS

1.01 CONTRACTOR'S INSURANCE.

- A. Prior to Contract execution, the Contractor shall provide to the County Certificates of Insurance and Endorsements acceptable to the County meeting the requirements of the Contract (specific insurance coverage limits are set forth below). Coverage shall be maintained without interruption from the commencement of the Contractor's Work until Final Acceptance, or for such longer time as required by the Contract. Each policy obtained by Contractor shall be endorsed to provide County with 45 days notice of material changes to or cancellation of such policy.
- B. If the scope of Work is significantly expanded, or if the aggregate limits on any of the Contractor's policies are eroded, the County may require Contractor to obtain additional coverage or reinstate eroded coverage. If the need for additional coverage is due to the fault of the Contractor or any of its Subcontractors, the Contractor shall be responsible for the cost of such additional coverage or any of its Subcontractors coverage. The Contractor shall provide proof of additional insurance required because of changed Work (Change Orders).
- C. If the Contractor is required to correct damaged, defective or incomplete Work after Final Acceptance, it shall obtain at its own expense such insurance coverage as is required by the Contract, for the construction period. Such coverage shall be maintained throughout the period in which corrective work is performed.
- D. Review of Contractor's insurance by County shall not relieve or decrease the duty of the Contractor to comply with the requirements of the Contract Documents.
- E. Nothing contained within these provisions shall affect and/or alter the application of any other provision within this agreement.

1.02 WAIVER OF SUBROGATION

The Contractor waives all rights against the County, County's consultants, or any separate contractors, and their agents and employees, for damages caused by fire or other perils to the extent such damage cost is actually paid by property insurance applicable to the Work. The Contractor shall require similar waivers from all Subcontractors. This provision shall be valid and enforceable only to the extent permissible by the applicable property insurance policies.

1.03 EVIDENCE OF INSURANCE.

The Contractor shall furnish the County with Certificates of Insurance and endorsements required by this Contract. All evidences of insurance must be certified by a properly authorized officer, agent, general agent or qualified representative of the insurer(s) and shall certify the name of the insured, the type and amount of insurance, the location and operations to which the insurance applies, the expiration date of the policy. The Contractor shall, upon demand of King County, make available to King County, in King County, certified copies of all such policies of insurance required in this Contract. Failure to provide such policies of insurance within a time acceptable to King County shall entitle King County to suspend or terminate the Contractor's work hereunder. Suspension or termination of this Contract shall not relieve the Contractor from its insurance obligation hereunder.

All subcontractors shall be required to include the County and Contractor as additional insureds on all Liability policies except Workers' Compensation and Professional Liability Errors and Omissions.

1.04 MINIMUM SCOPE AND LIMITS OF INSURANCE.

The Contractor shall obtain and maintain the minimum insurance set forth below. By requiring such minimum insurance, King County shall not be deemed or construed to have assessed the risks that may be applicable to the Contractor under this Contract. The Contractor shall assess its own risks and if it deems appropriate and/or prudent, maintain greater limits and/or broader coverage. Each insurance policy shall be written on an "occurrence" form; excepting that insurance for professional liability, errors and omissions when required, may be acceptable on a "claims made" form. If coverage is approved and purchased on a "claims made" basis, the Contractor warrants continuation of coverage, either through policy renewals or the purchase of an extended discovery period, if such extended coverage is available, for not less than three years from the date of completion of the work which is the subject of this Contract Insurance coverage shall be at least as broad as stated below and with limits no less than:

- A. **General Liability**. Coverage shall be at least as broad as Insurance Services Office form number CG 00 01 covering **COMMERCIAL GENERAL LIABILITY**. **\$3,000,000** combined single limit per occurrence, and for those policies with aggregate limits, a **\$3,000,000** aggregate limit. This insurance shall include Products and Completed Operations coverage.
- B. **Explosion & Collapse, Underground Damage (XCU).** Coverages shall apply for the same limits as the General Liability. Evidence of Insurance must specifically state coverage has not been excluded.
- C. **Automobile Liability.** Coverage shall be at least as broad as Insurance Services Office form number CA 00 01 covering **BUSINESS AUTO COVERAGE**, symbol 1 "any auto"; or the combination of symbols 2, 8, and 9. **\$3,000,000** combined single limit per accident. If the work involves the transport of pollutants (as defined by the standard auto policy exclusion of pollution) the auto policy shall be endorsed to include endorsement CA 9948 (or its equivalent) and MCS 90.
- D. Workers' Compensation. Statutory requirements of the State of residency. Coverage shall be at least as broad as Workers' Compensation coverage, as required by the Industrial Insurance Act of the State of Washington, as well as any similar coverage required for this work by applicable Federal or "other States" State Law.
- E. **Employer's Liability or "Stop Gap".** Coverage shall be at least as broad as the protection provided by the Workers Compensation policy Part 2 (Employers Liability) or, in states with monopolistic state funds, the protection provided by the "Stop Gap" endorsement to the general liability policy.
- F. **Builder's Risk/Installation Floater.** The Contractor shall procure and maintain during the life of the Contract, or until acceptance of the project by King County, which ever is longer, "All Risk" Builders Risk or Installation Floater Insurance at least as broad as ISO form number CP0020 (Builders Risk Coverage Form) with ISO form number CP0030 (Causes of Loss Special Form) including coverage for collapse, theft, off-site storage and property in transit. The coverage shall insure for direct physical loss to property of the entire construction project, for 100% of the replacement value thereof and include earthquake and flood. The policy shall be endorsed to cover the interests, as they may appear, of King County, Contractor and subcontractors of all tiers with King County and sub-contractors listed as a Named Insured. In the event of a loss to any or all of the work and/or materials therein and/or to be provided at any time prior to the final close-out of the Contract and acceptance of the project by King County,

the Contractor shall promptly reconstruct, repair, replace or restore all work and/or materials so destroyed. Nothing herein provided for shall in any way excuse the Contractor or its surety from the obligation of furnishing all the required materials and completing the work in full compliance with the terms of the Contract.

G. **Pollution Liability.** Contractor shall provide Contractor's Pollution Liability coverage in the amount of **\$1,000,000** per occurrence and in the aggregate to cover sudden and non-sudden bodily injury and/or property damage to include the destruction of tangible property, loss of use, clean up costs and the loss of use of tangible property that has not been physically injured or destroyed. If Asbestos, Lead or PCB's are a potential exposure, such insurance shall not exclude pollution arising out of Asbestos, Lead and/or PCB operations. Evidence of Insurance must specifically state that coverage is included.

1.05 DEDUCTIBLES/SELF-INSURED RETENTIONS.

Any deductibles or self-insured retention's must be declared to, and approved by, the County. The deductible and/or self-insured retention of the policies shall not limit or apply to the Contractor's liability to the County and shall be the sole responsibility of the Contractor.

1.06 OTHER INSURANCE PROVISIONS.

A. The insurance policies required in this Contract are to contain and be endorsed to contain the following provisions:

- 1. With respect to all Liability Policies except Professional Liability and Workers Compensation:
 - (a) The County, its officers, officials, employees, and agents are to be covered as additional insureds as respects liability arising out of activities performed by or on behalf of the Contractor in connection with this Contract. Additional Insured status shall include Products-Completed Operations-CG 20 10 11/85 or it's equivalent.
 - (b) The Contractor's insurance coverage shall be primary insurance as respects the County, its officers, officials, employees, agents, and consultants. Any insurance and/or self-insurance maintained by the County, its officers, officials, employees, agents and consultants shall not contribute with the Contractor's insurance or benefit the Contractor in any way.
 - (c) The Contractor's insurance shall apply separately to each insured against whom a claim is made and/or lawsuit is brought, except with respect to the limits of the insurer's liability.
 - (d) A Per Project Aggregate shall apply to the General Liability policy.

1.07 ACCEPTABILITY OF INSURERS.

- A. Unless otherwise approved by the County:
 - 1. Insurance is to be placed with insurers with a Best's rating of no less than A:VIII, or, if not rated with Best's, with minimum surpluses the equivalent of Best's surplus size VIII.
 - 2. Professional Liability, Errors and Omissions insurance may be placed with insurers with a Best's rating of B+; VII.
- B. If at any time the foregoing required policies shall fail to meet the above minimum requirements, the Contractor shall, upon notice to that effect from the County, promptly obtain a

new policy, and shall submit the same to the County, with the appropriate certificates and endorsements, for approval.

1.08 SUBCONTRACTORS.

The Contractor shall include all subcontractors as insured under its policies, or shall furnish separate certificates of insurance and policy endorsements from each subcontractor. Insurance coverages provided by subcontractors, as evidence of compliance with the insurance requirements of this Contract shall be subject to all of the requirements stated herein.

1.09 JOB SITE SAFETY.

The Contractor shall have the "**right to control**" and bear the sole responsibility for the job site conditions, and job site safety. The Contractor shall comply with all applicable federal, state, and local safety regulations governing the job site, employees and subcontractors. The Contractor shall be responsible for subcontractor's compliance with these provisions.

END OF SECTION

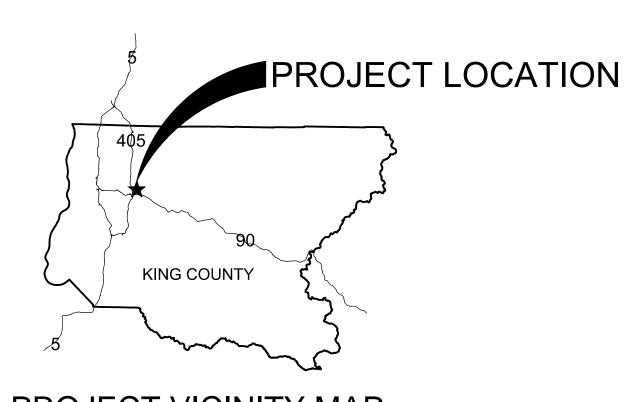
ATTACHMENT D
PROJECT PLAN SHEETS (NOT FOR CONSTRUCTION)

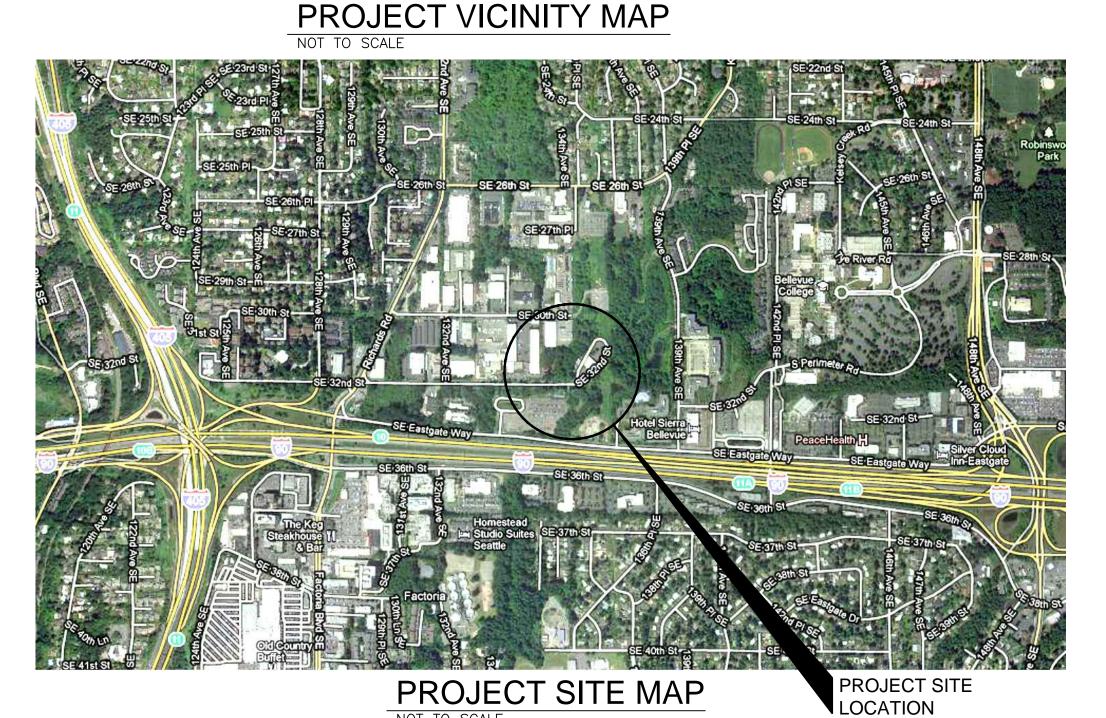
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King County

Department of Natural Resources & Parks Solid Waste Division





Contract Drawings For

FACTORIA RECYCLING AND TRANSFER STATION

SITE WORK

Project Plan Sheets

Issued for Request for Qualifications
Depict Issued for Permitting Level Design

Contract No. E00108E08

Project No. 000000000154267

13800 SE 32nd St Bellevue, Washington December 10, 2012

X 00C100 OVERALL SITE PLAN X 00C101 OVERALL CONSTRUCTION PHASING PLAN X 00C102 OVERALL PAVING PLAN X 00C103 OVERALL GRADING PLAN X 00C104 OVERALL UTILITY PLAN X 00C105 OVERALL STORM WATER PLAN X 01C101 OVERALL SITE PLAN - PHASE 1 X 01C109 OVERALL SITE PLAN - PHASE 2 X 01C124 TRAFFIC MANAGEMENT PLAN - PHASE 2 X 01C127 OVERALL SITE PLAN - PHASE 3 X 01C133 TRAFFIC MANAGEMENT PLAN - PHASE 3 X 01C134 OVERALL SITE PLAN - PHASE 4 X 01L101 PLANTING SOIL PLAN

ARCHITECTURAL

	ARCHITECTURAL		
Χ	02A101	TRANSFER STATION/RECYCLING - OVERALL LOWER LEVEL PLA	
Χ	02A102	TRANSFER STATION/RECYCLING - OVERALL GROUND LEVEL PI	
Χ	02A201	TRANSFER STATION/RECYCLING - OVERALL ELEVATIONS	
Χ	02A301	TRANSFER STATION/RECYCLING - BUILDING SECTIONS	
Χ	02A302	TRANSFER STATION/RECYCLING - BUILDING SECTIONS	
Χ	02A901	TRANSFER STATION/RECYCLING - PERSPECTIVES	
Χ	02A902	TRANSFER STATION/RECYCLING - PERSPECTIVES	
Χ	03A101	ADMINISTRATION BUILDING - GROUND LEVEL PLAN	
Χ	03A201	ADMINISTRATION BUILDING - EXTERIOR ELEVATIONS	
Χ	03A301	ADMINISTRATION BUILDING - BUILDING SECTIONS	
Χ	03A302	ADMINISTRATION BUILDING - BUILDING SECTIONS	
Χ	04A101	HOUSEHOLD HAZARDOUS WASTE - GROUND LEVEL PLAN	
Χ	04A201	HOUSEHOLD HAZARDOUS WASTE - EXTERIOR ELEVATIONS	
Χ	04A202	HOUSEHOLD HAZARDOUS WASTE - EXTERIOR ELEVATIONS	
Χ	04A203	HOUSEHOLD HAZARDOUS WASTE - INTERIOR ELEVATIONS	
Χ	05A101	SCALE PLAZA - FLOOR PLAN AND EXTERIOR ELEVATIONS	

PROCESS/DUST SUPPRESSION

X 02D101 TRANSFER STATION/RECYCLING - GROUND LEVEL DUST AND ODOR SUPRESSION PLAN

KING COUNTY OFFICIALS

KING COUNTY EXECUTIVE

Dow Constantine

COUNCIL MEMBERS

Bob Ferguson
Kathy Lambert
Joe McDermott
Reagan Dunn
Larry Phillips
Julia Patterson
Larry Gossett
Jane Hague
Pete von Reichbauer

DIRECTOR OF NATURAL RESOURCES & PARKS

Christine True

SOLID WASTE DIVISION

DIRECTOR
Pat McLaughlin

PROJECT MANAGER

Dwin Ugwoaba, P.E.

PRELIMINARY, NOT FOR CONSTRUCTION OR RECORDING

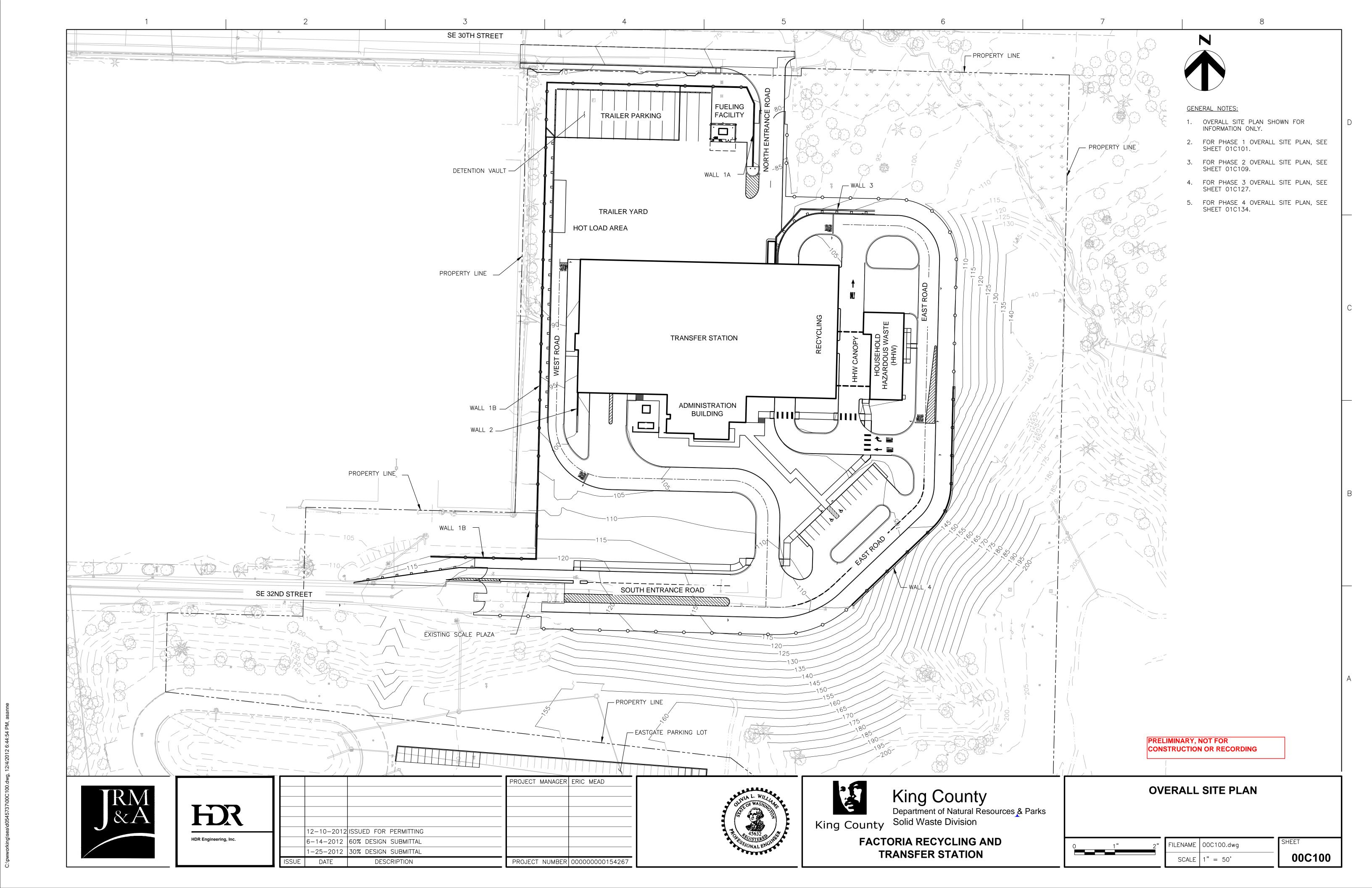


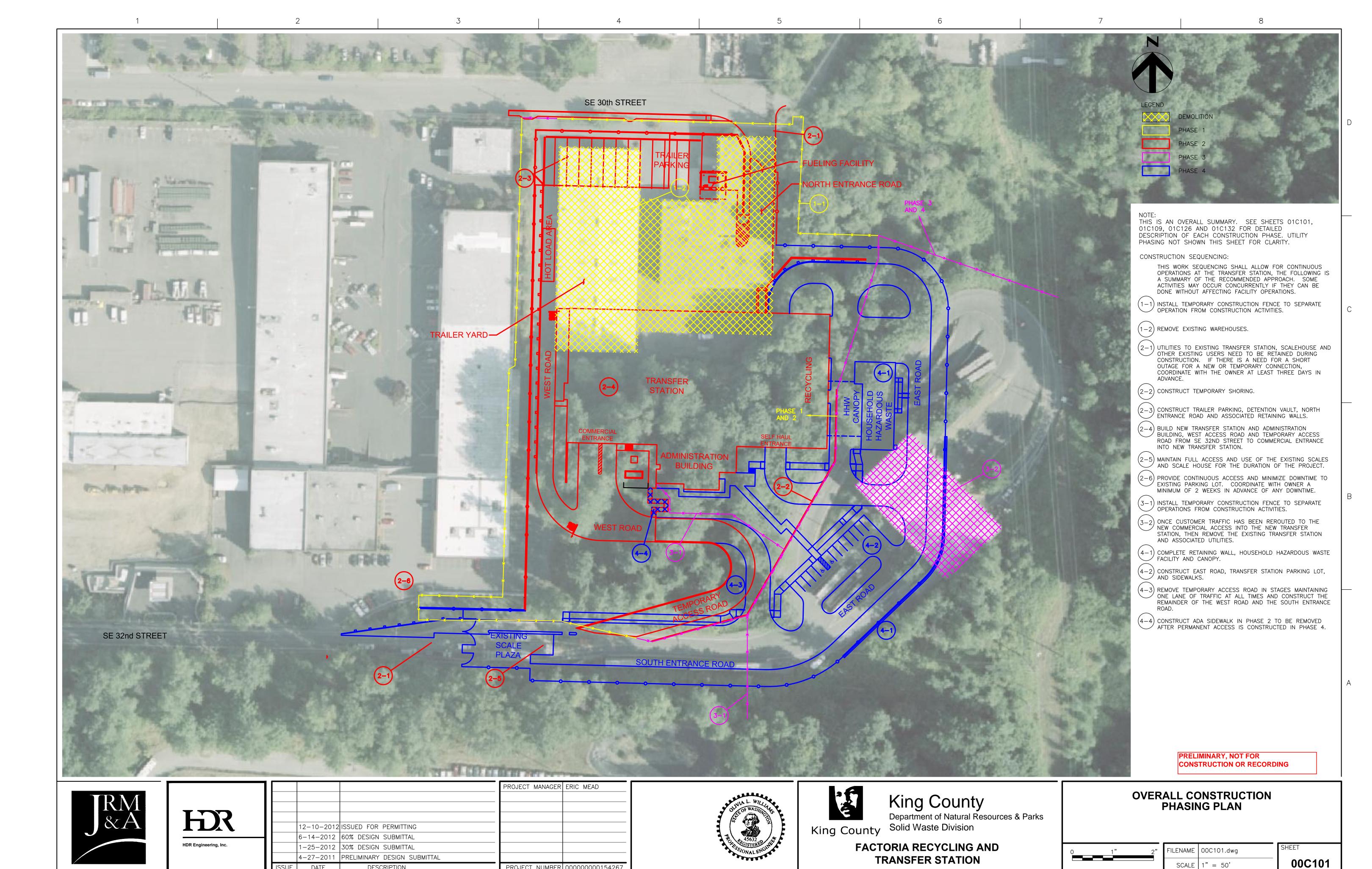






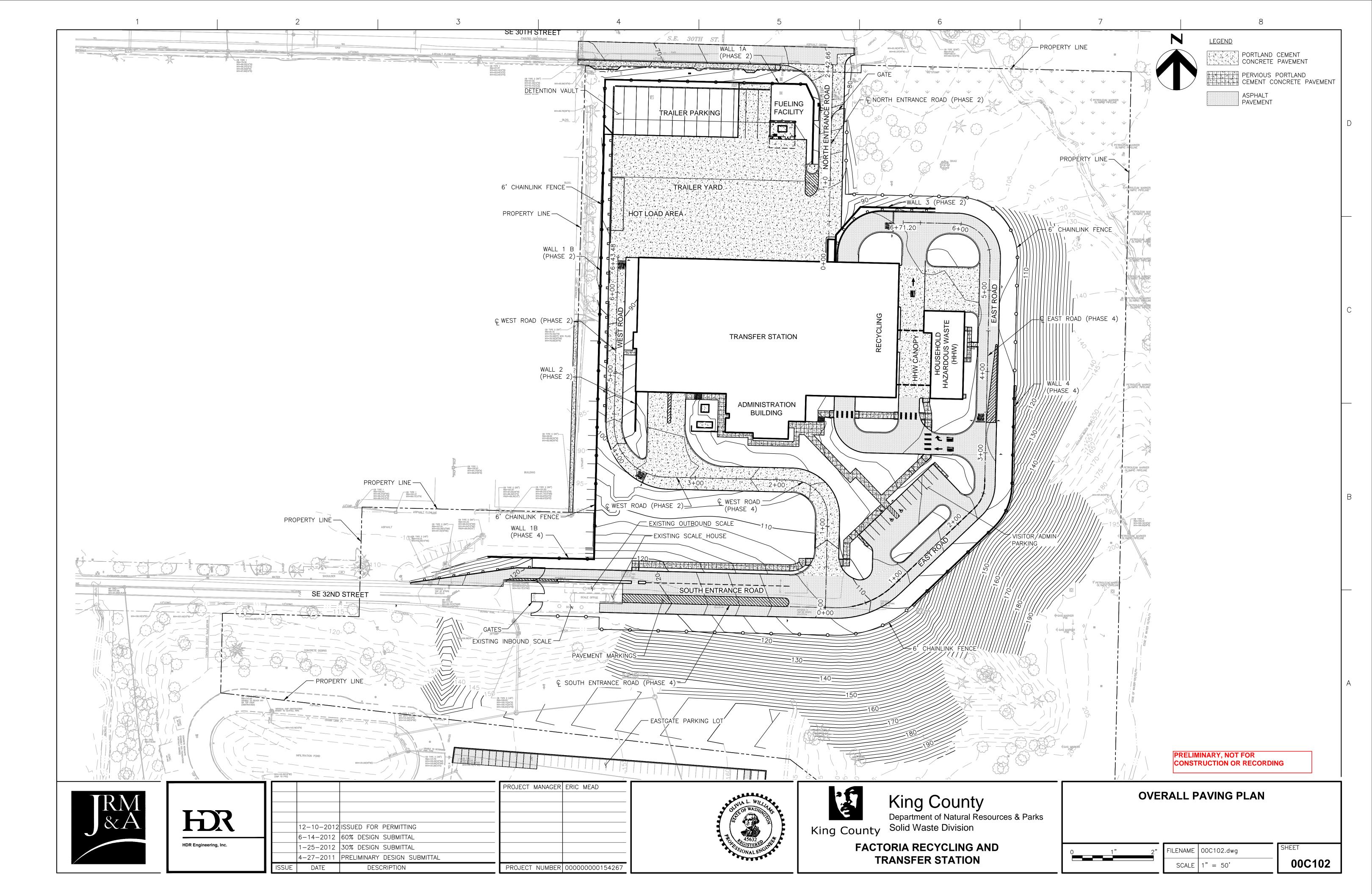




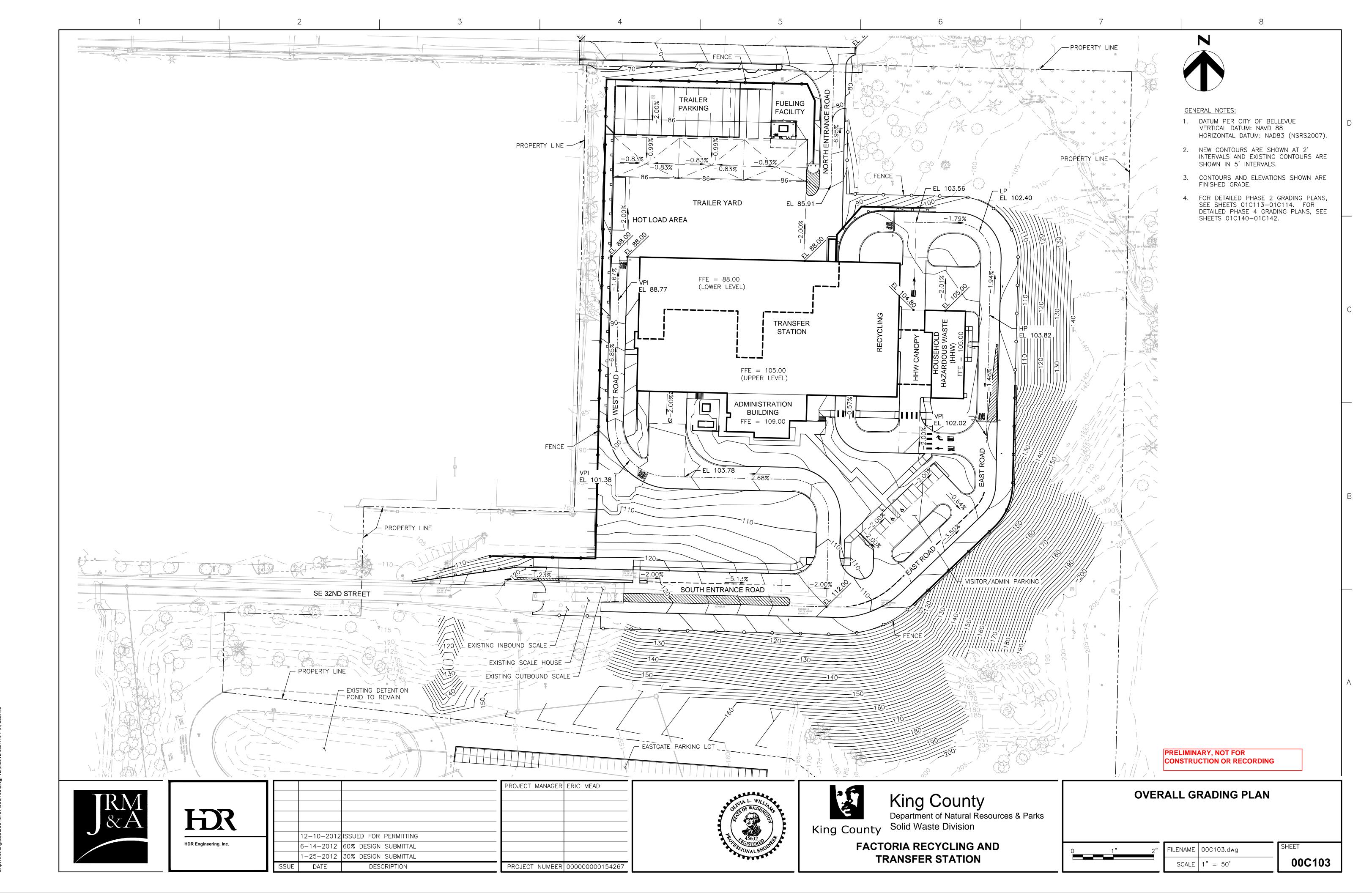


PROJECT NUMBER 00000000154267

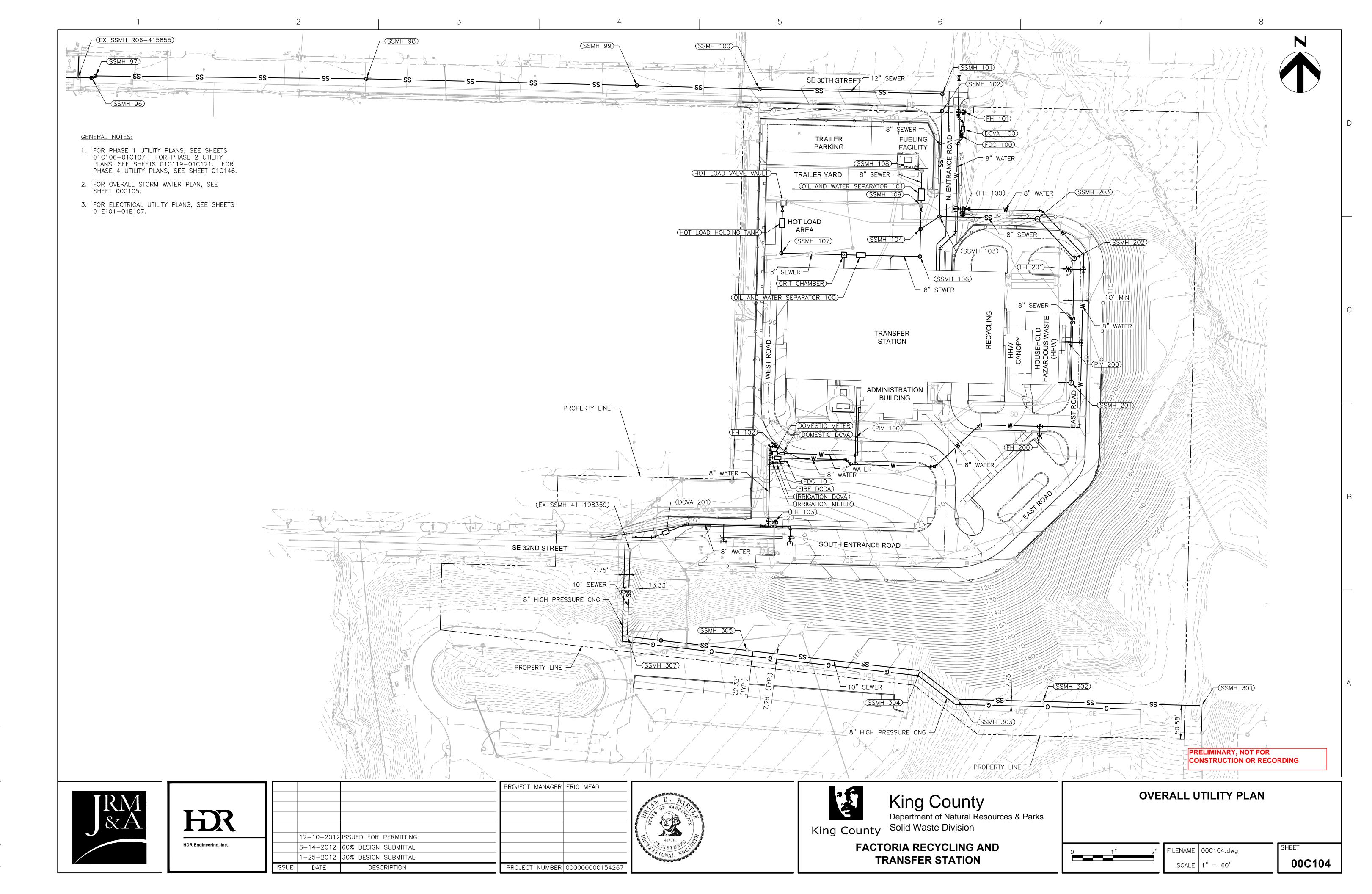
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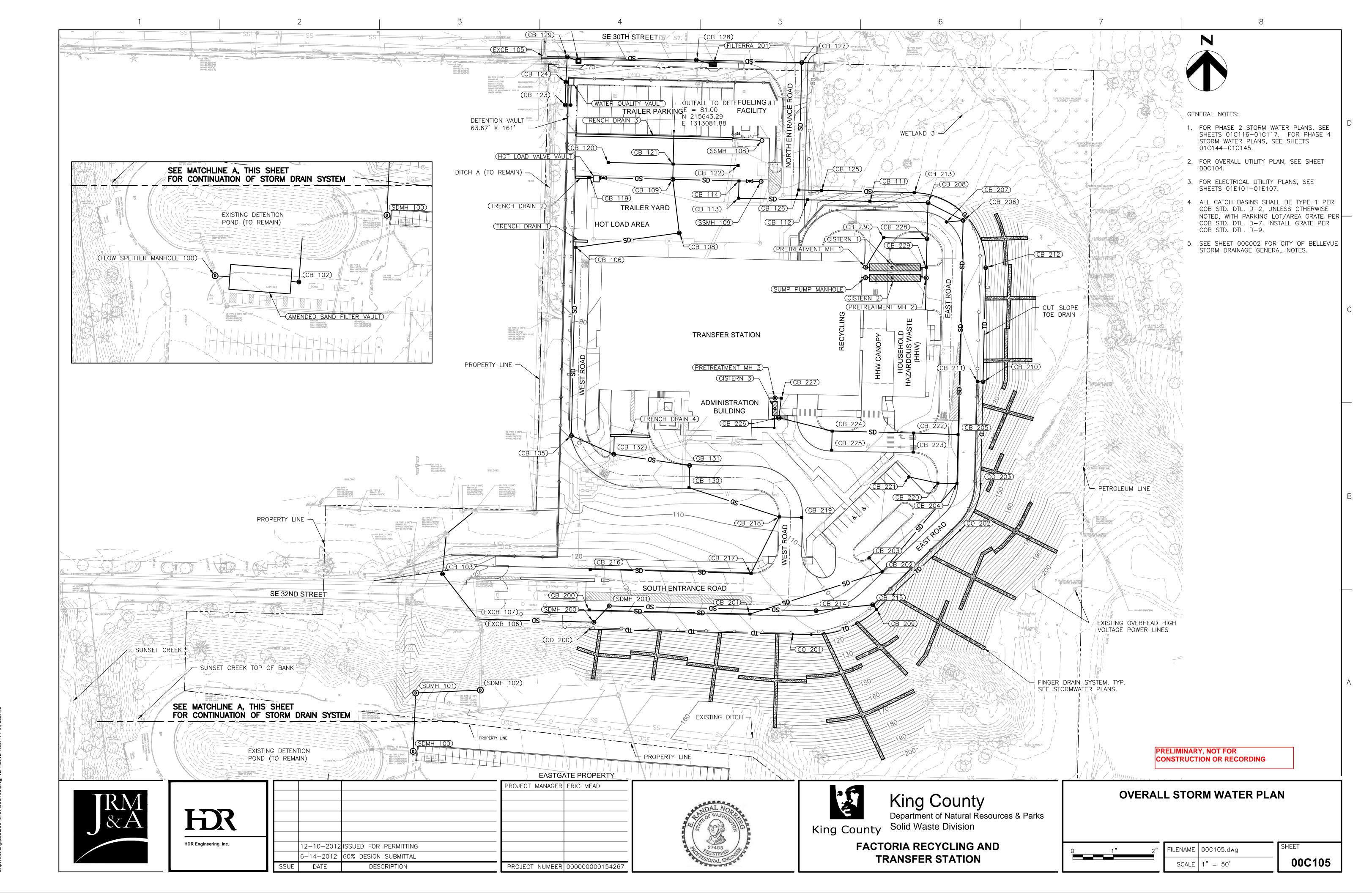
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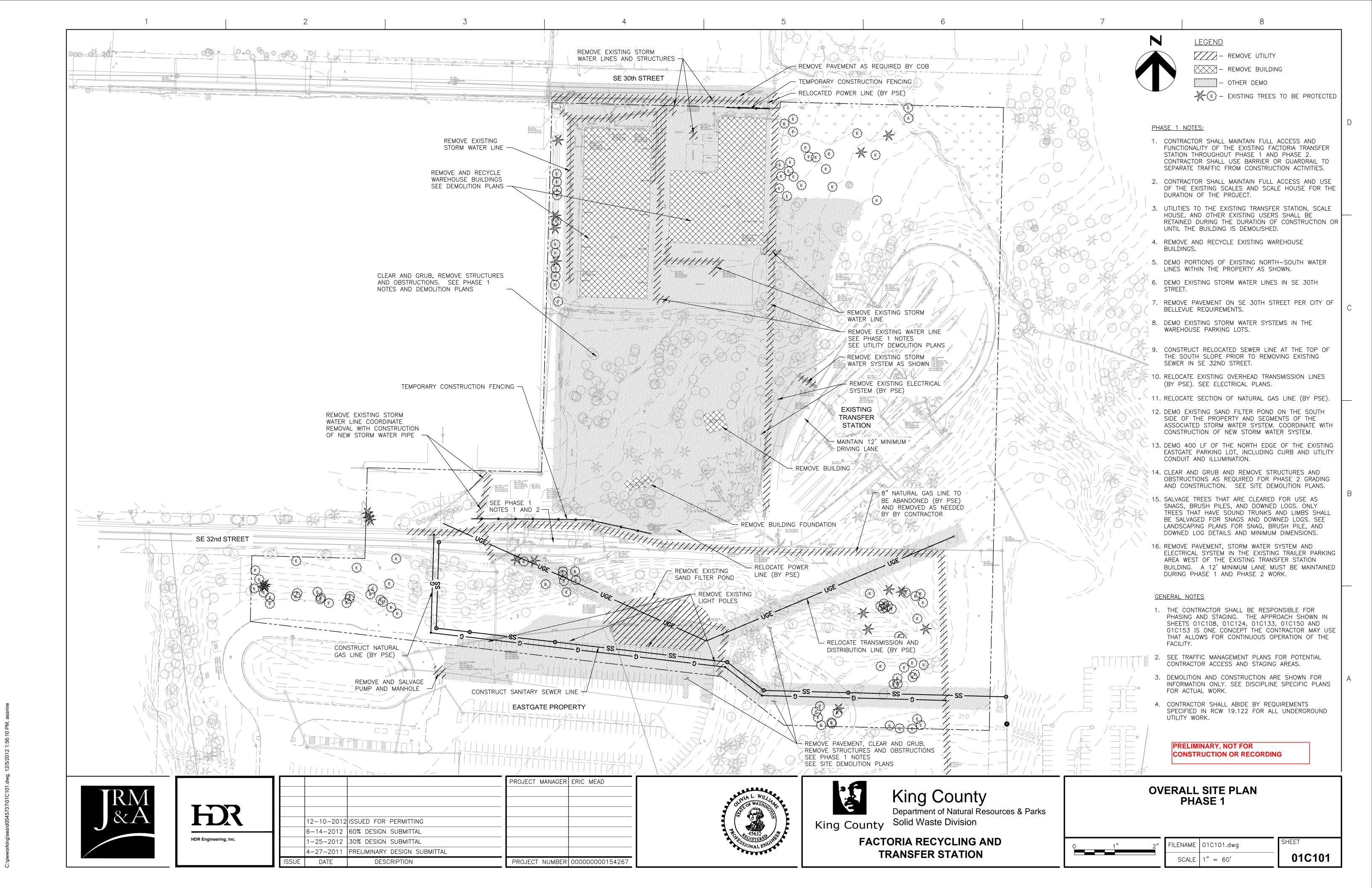
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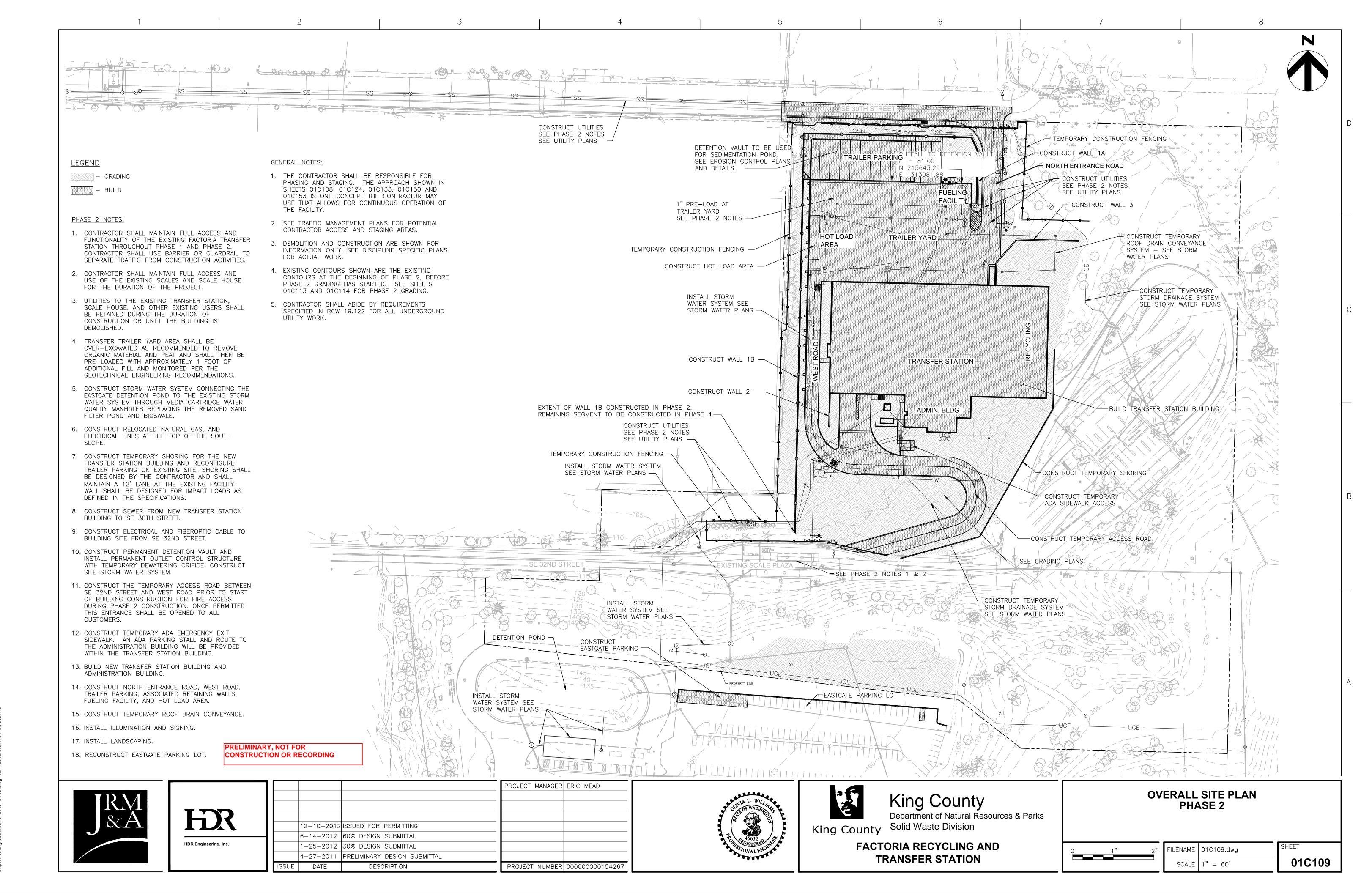


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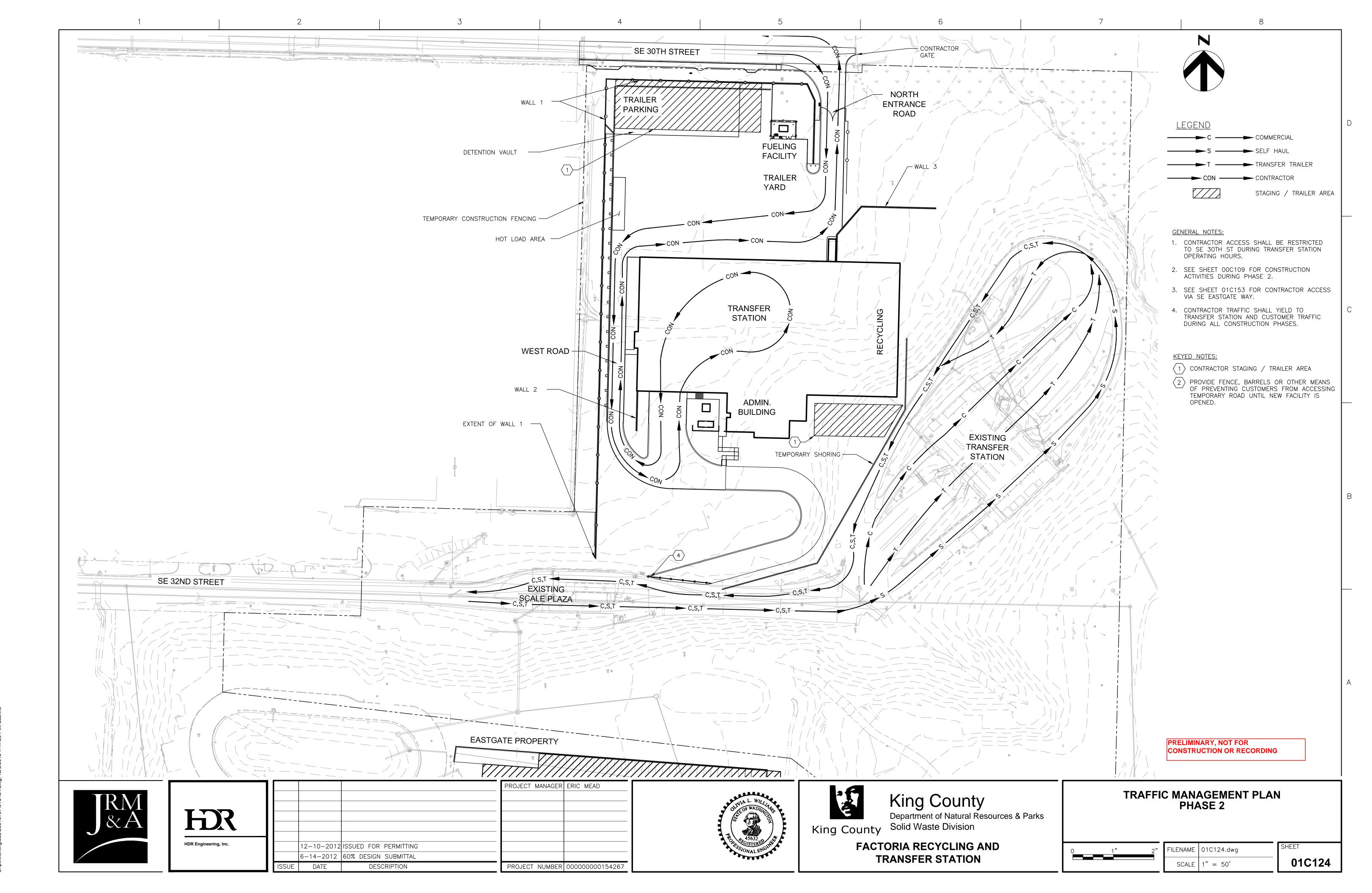


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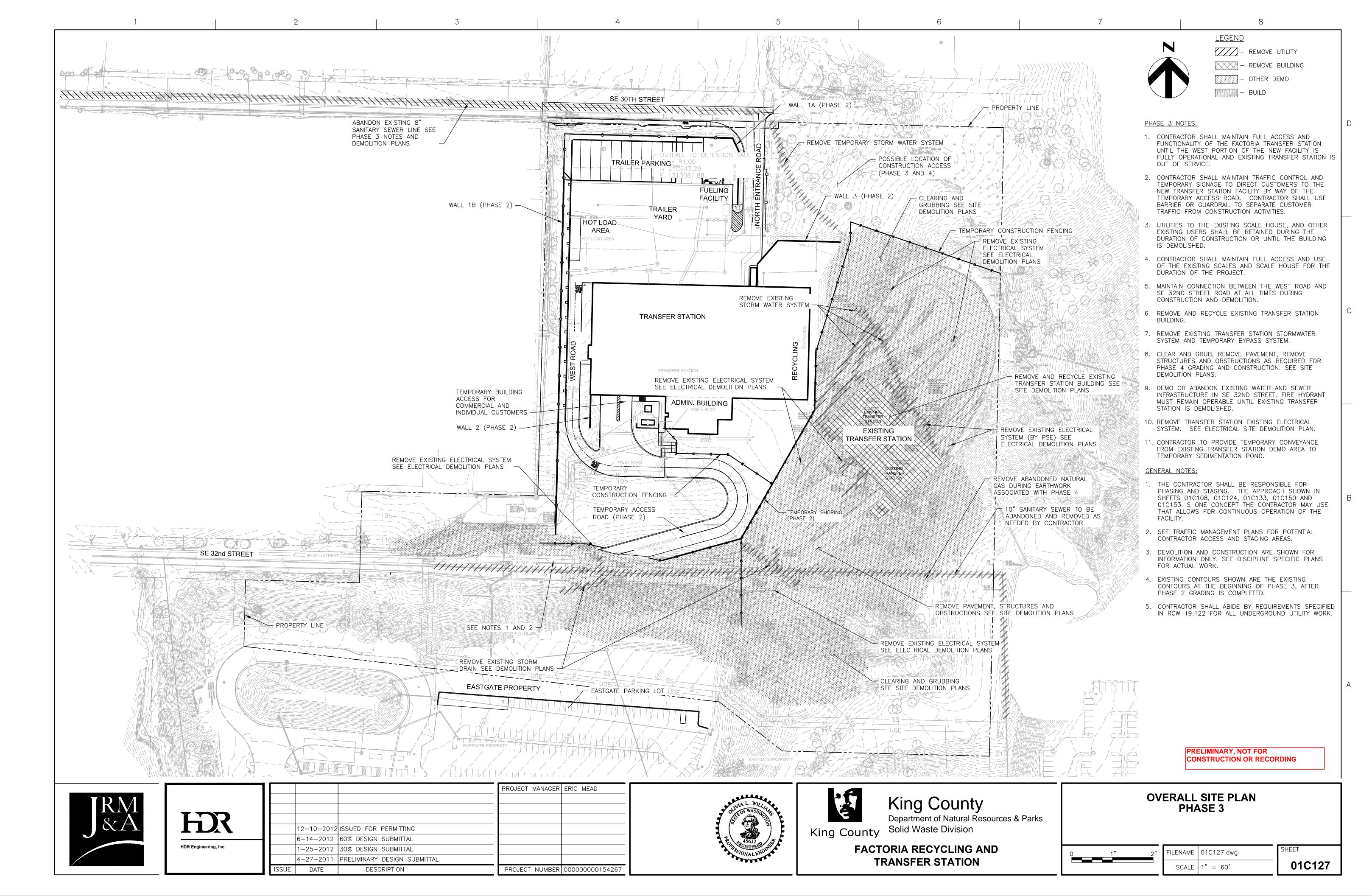




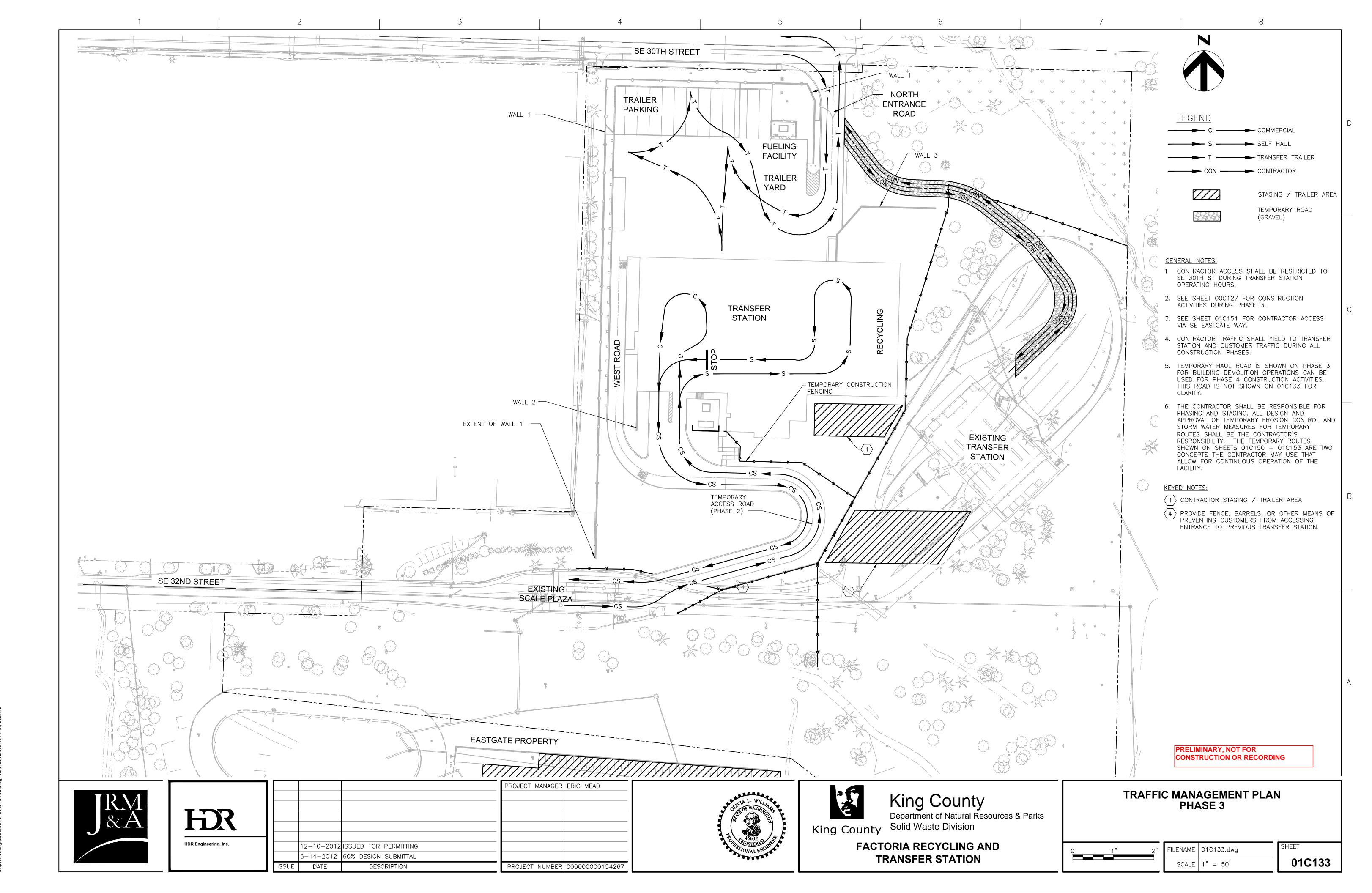
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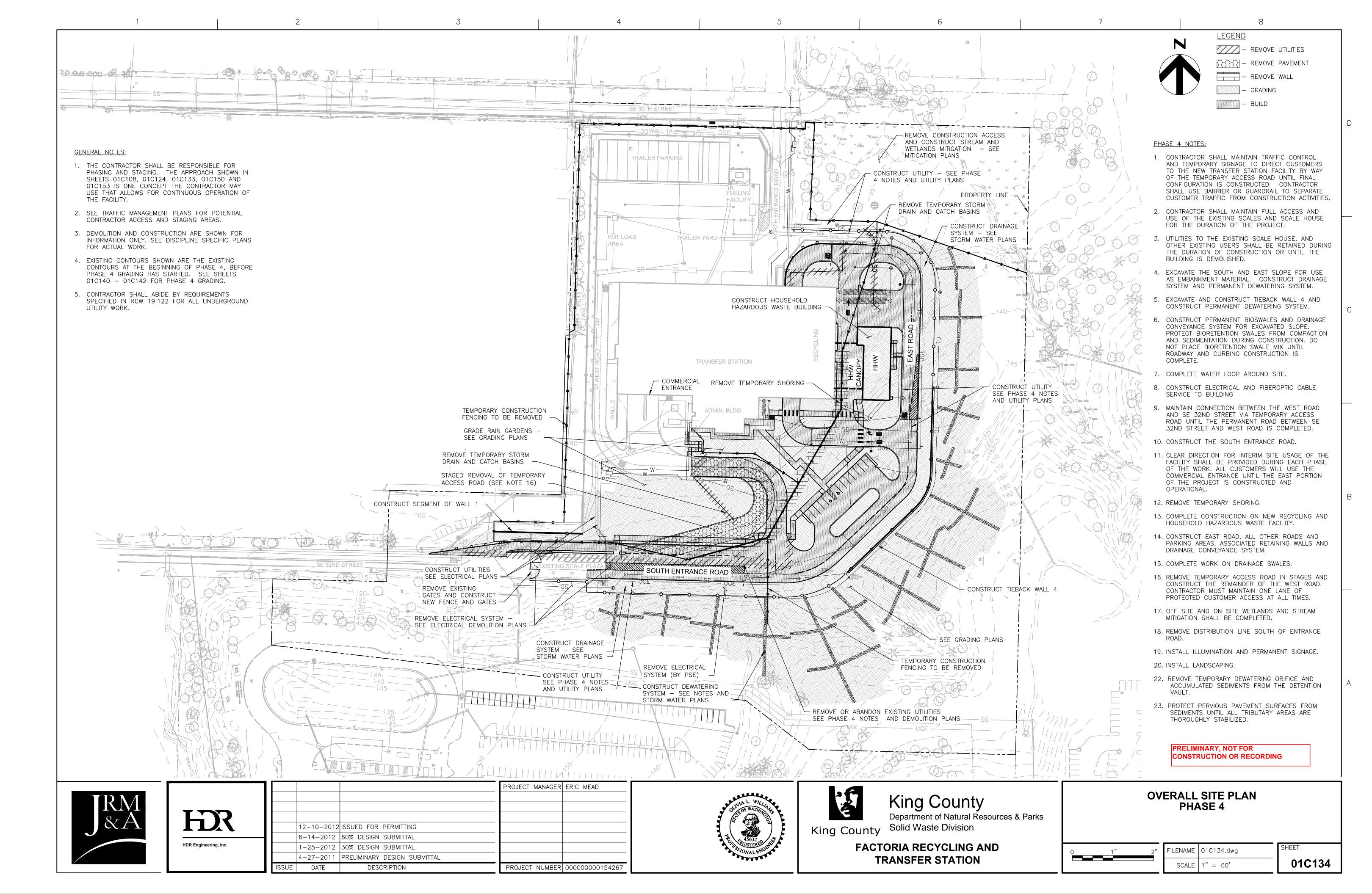
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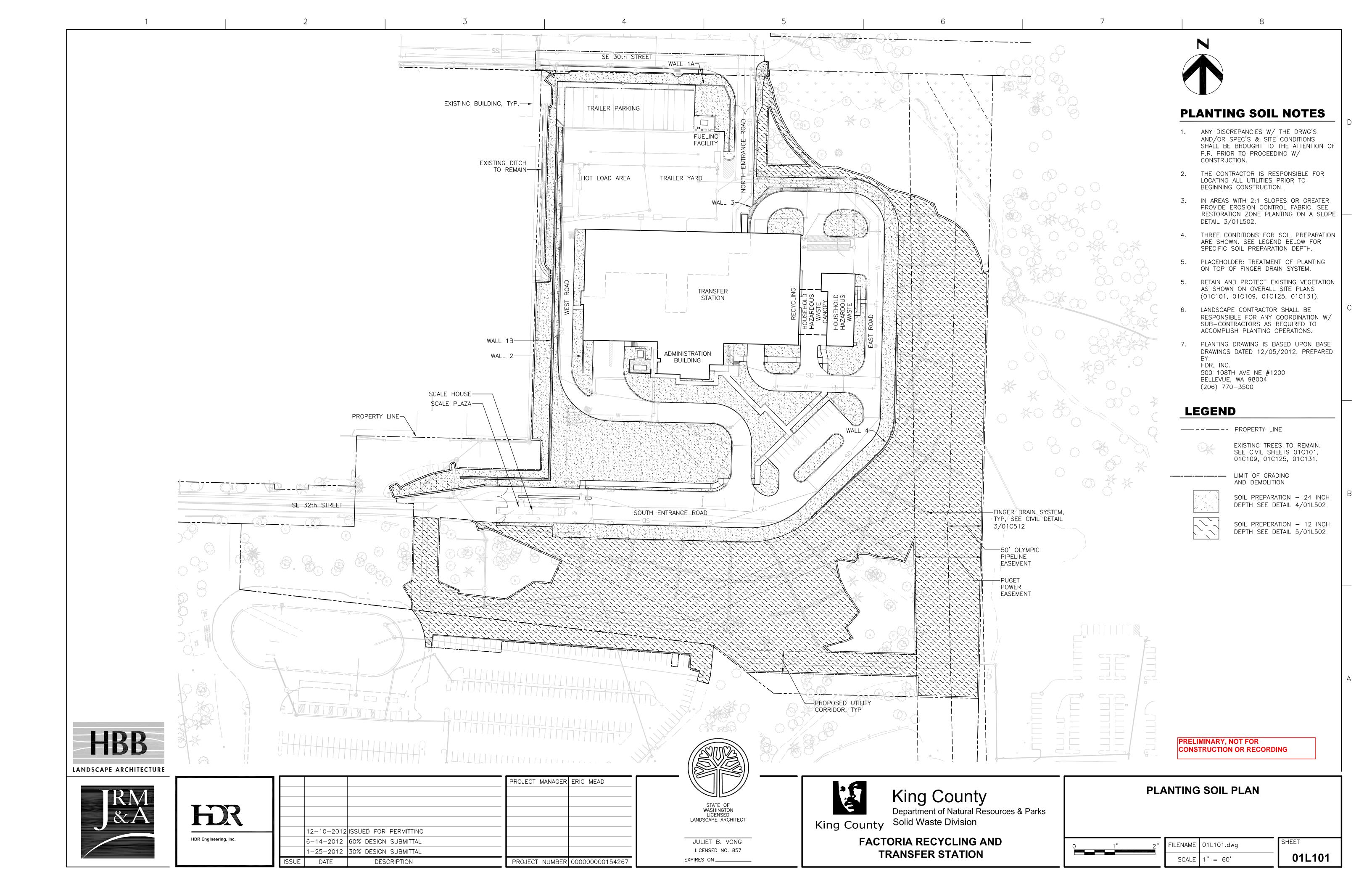
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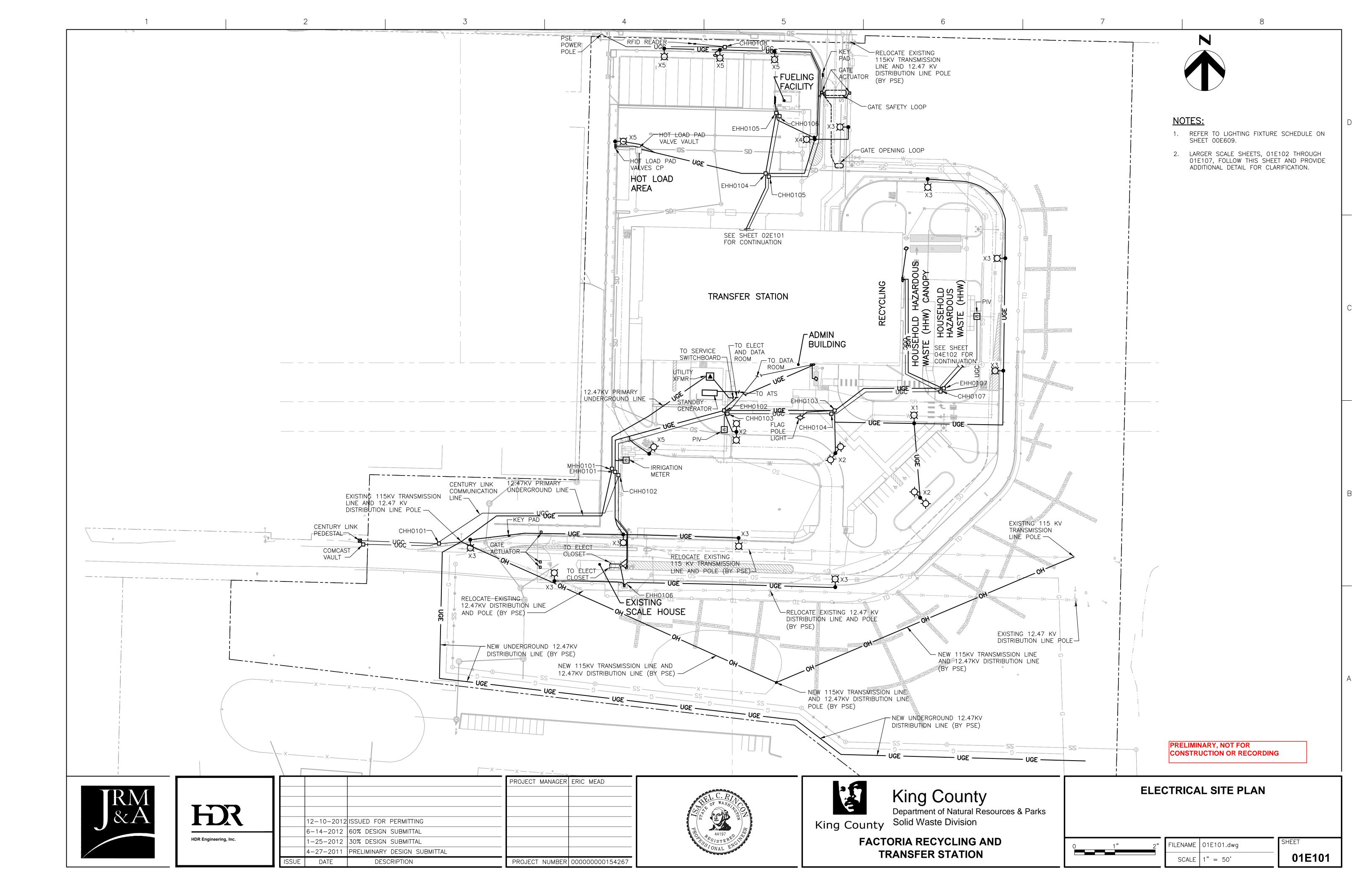


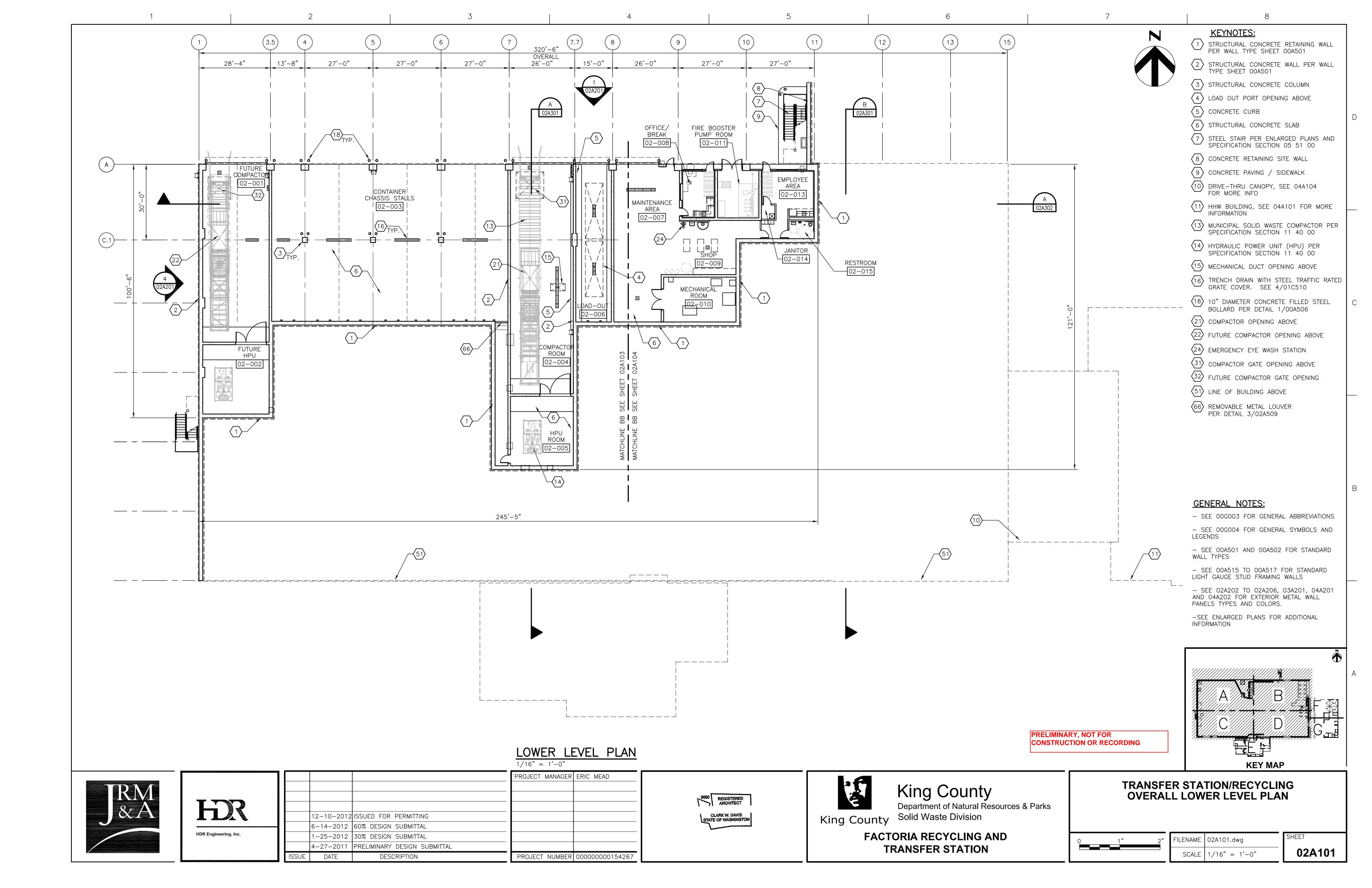
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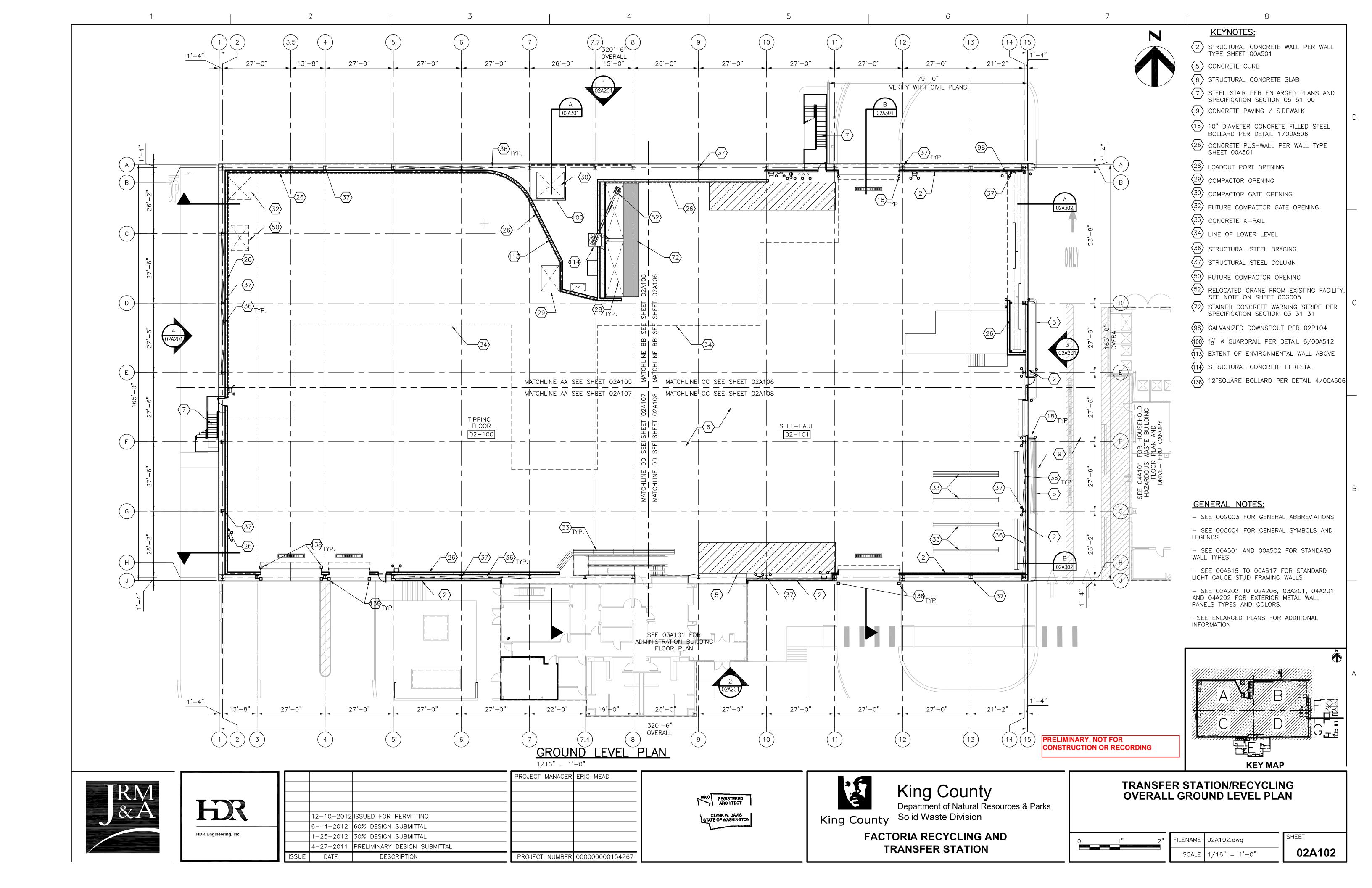


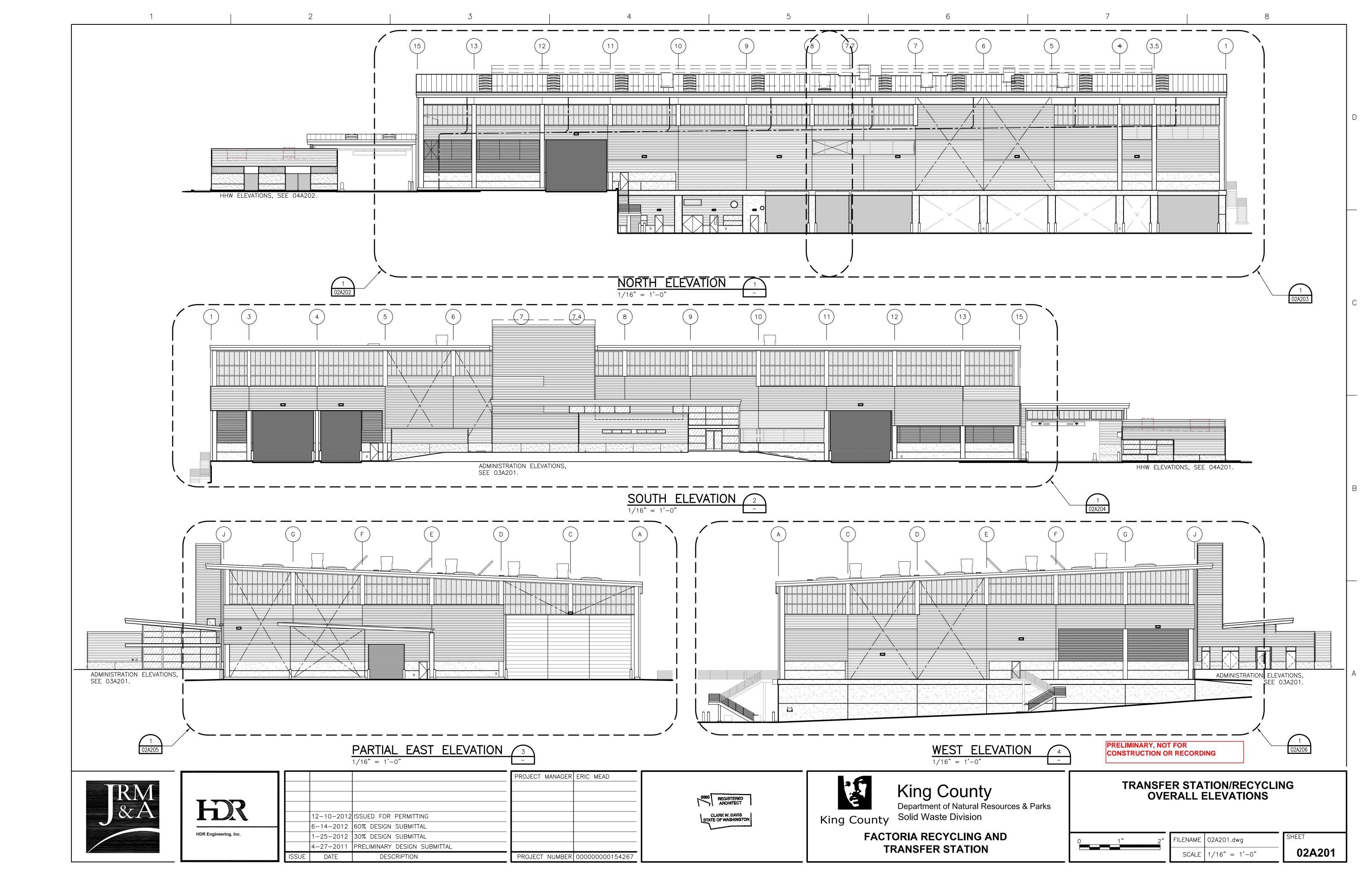
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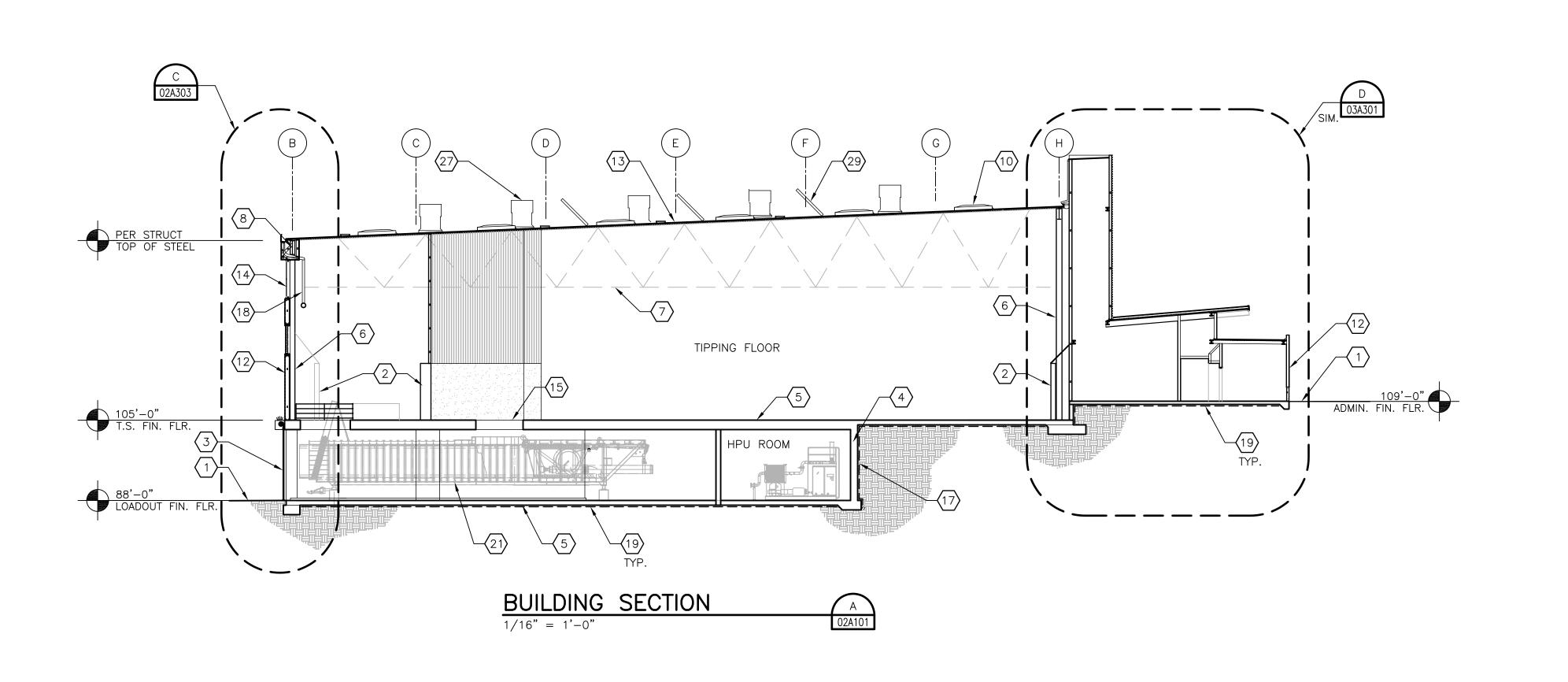


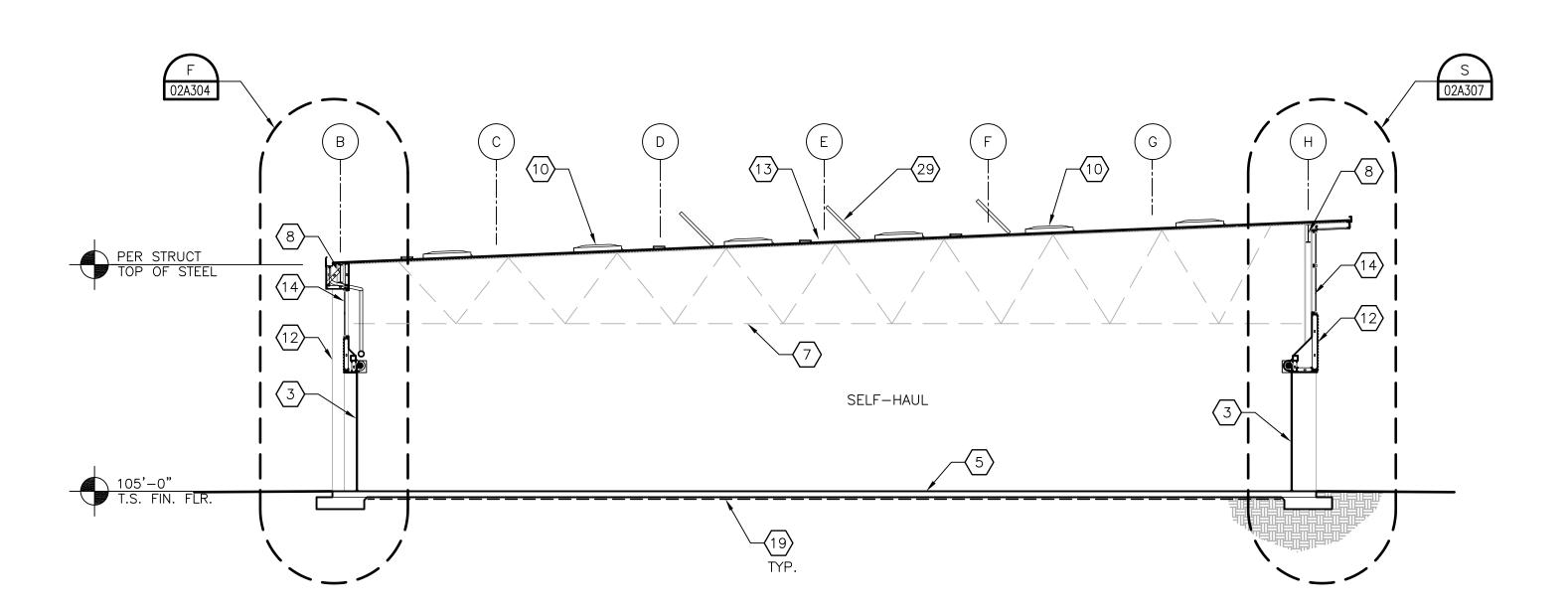














PRELIMINARY, NOT FOR **CONSTRUCTION OR RECORDING**

KEYNOTES:

 $\langle 2 \rangle$ CONCRETE PUSHWALL

(5) CONCRETE FLOOR SLAB

(10) SKYLIGHT PER 02A109

METAL WALL PANEL PER ELEVATIONS; VERIFY WITH WALL SECTIONS FOR METAL WALL PANELS OFFSETS

\$\langle 13 \rangle STANDING SEAM ROOF PER 02A109

(15) LOAD-OUT PORT TO COMPACTOR

(19) VAPOR RETARDER UNDER SLAB

(17) WATERPROOFING SYSTEM PER SPECS

18 INTERIOR ROOF DRAIN PIPE PER SHEET 02P103 & 02P104

COMPACTOR PROCURED BY OWNER, MFR INSTALLED

(29) PHOTOVOLTAIC PANEL PER 02A109

11) CONCRETE COLUMN

(14) TRANSLUCENT PANEL

(27) EXHAUST FAN

(1) FINISH SURFACE

3 OVERHEAD DOOR

4 CONCRETE WALL

6 STEEL COLUMN

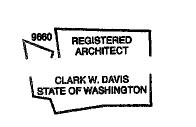
(8) STEEL BEAM

TRUSS WEB





			PROJECT MANAGER	ERIC MEAD
	12-10-2012	ISSUED FOR PERMITTING		
	6-14-2012	60% DESIGN SUBMITTAL		
	1-25-2012	30% DESIGN SUBMITTAL		
	4-27-2011	PRELIMINARY DESIGN SUBMITTAL		
ISSUE	DATE	DESCRIPTION	PROJECT NUMBER	000000000154267
ISSUE	4-27-2011	PRELIMINARY DESIGN SUBMITTAL	PROJECT NUMBER	0000000

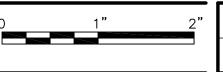




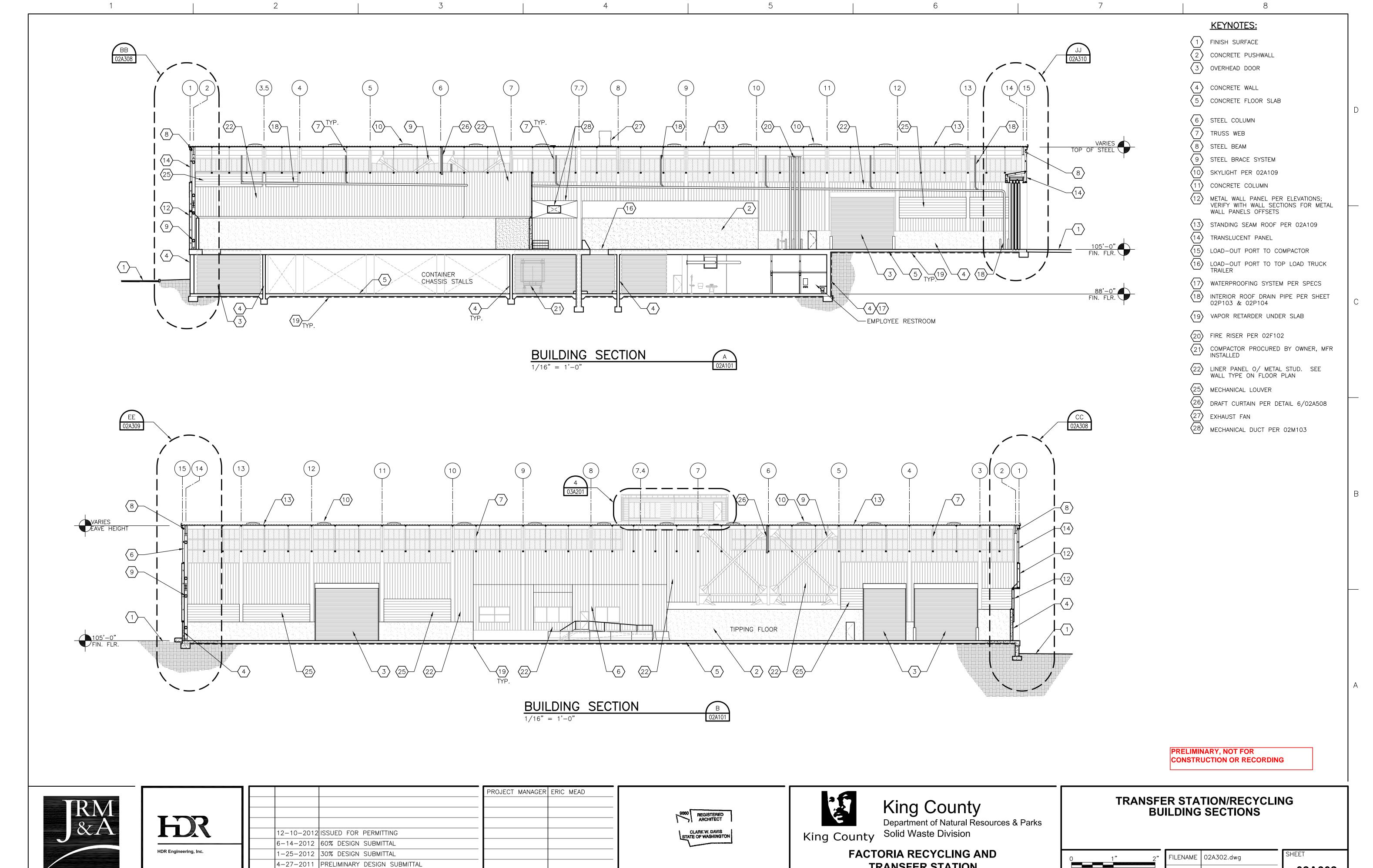
King County
Department of Natural Resources & Parks King County Solid Waste Division

> **FACTORIA RECYCLING AND** TRANSFER STATION

TRANSFER STATION/RECYCLIN	G
BUILDING SECTIONS	



FILENAME	02A301.dwg
SCALE	1/16"=1'-0"



PROJECT NUMBER 00000000154267

DESCRIPTION

DATE

TRANSFER STATION

02A302

SCALE 1/16"=1'-0"



VIEW FROM SOUTH WEST
NOT TO SCALE



VIEW FROM SOUTH EAST
NOT TO SCALE





			PROJECT MANAGER	ERIC MEAD
	12-10-2012	ISSUED FOR PERMITTING		
	6-14-2012	60% DESIGN SUBMITTAL		
	4-27-2011	PRELIMINARY DESIGN SUBMITTAL		
ISSUE	DATE	DESCRIPTION	PROJECT NUMBER	00000000154267



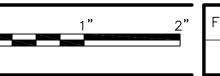


King County
Department of Natural Resources & Parks
Solid Waste Division

FACTORIA RECYCLING AND TRANSFER STATION

PRELIMINARY, NOT FOR CONSTRUCTION OR RECORDING

TRANSFER STATION/RECYCLING PERSPECTIVES



_		
,,	FILENAME	02A901.dwg
	SCALE	



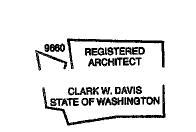
VIEW FROM NORTH WEST
NOT TO SCALE



VIEW FROM NORTH EAST
NOT TO SCALE



			PROJECT MANAGER	ERIC MEAD
	12-10-2012	ISSUED FOR PERMITTING		
	6-14-2012	60% DESIGN SUBMITTAL		
	4-27-2011	PRELIMINARY DESIGN SUBMITTAL		
ISSUE	DATE	DESCRIPTION	PROJECT NUMBER	00000000154267





King County
Department of Natural Resources & Parks
Solid Waste Division

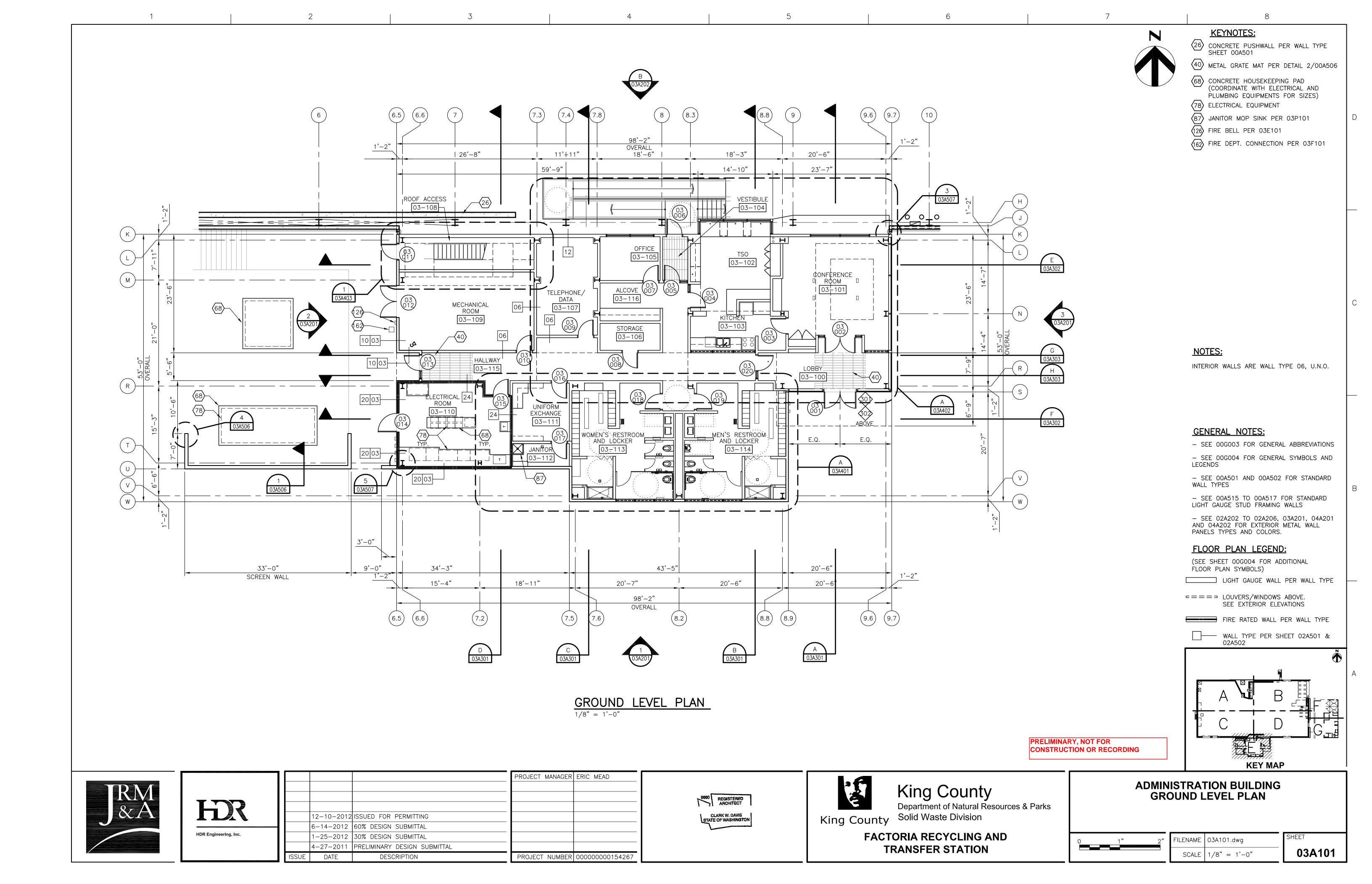
FACTORIA RECYCLING AND TRANSFER STATION

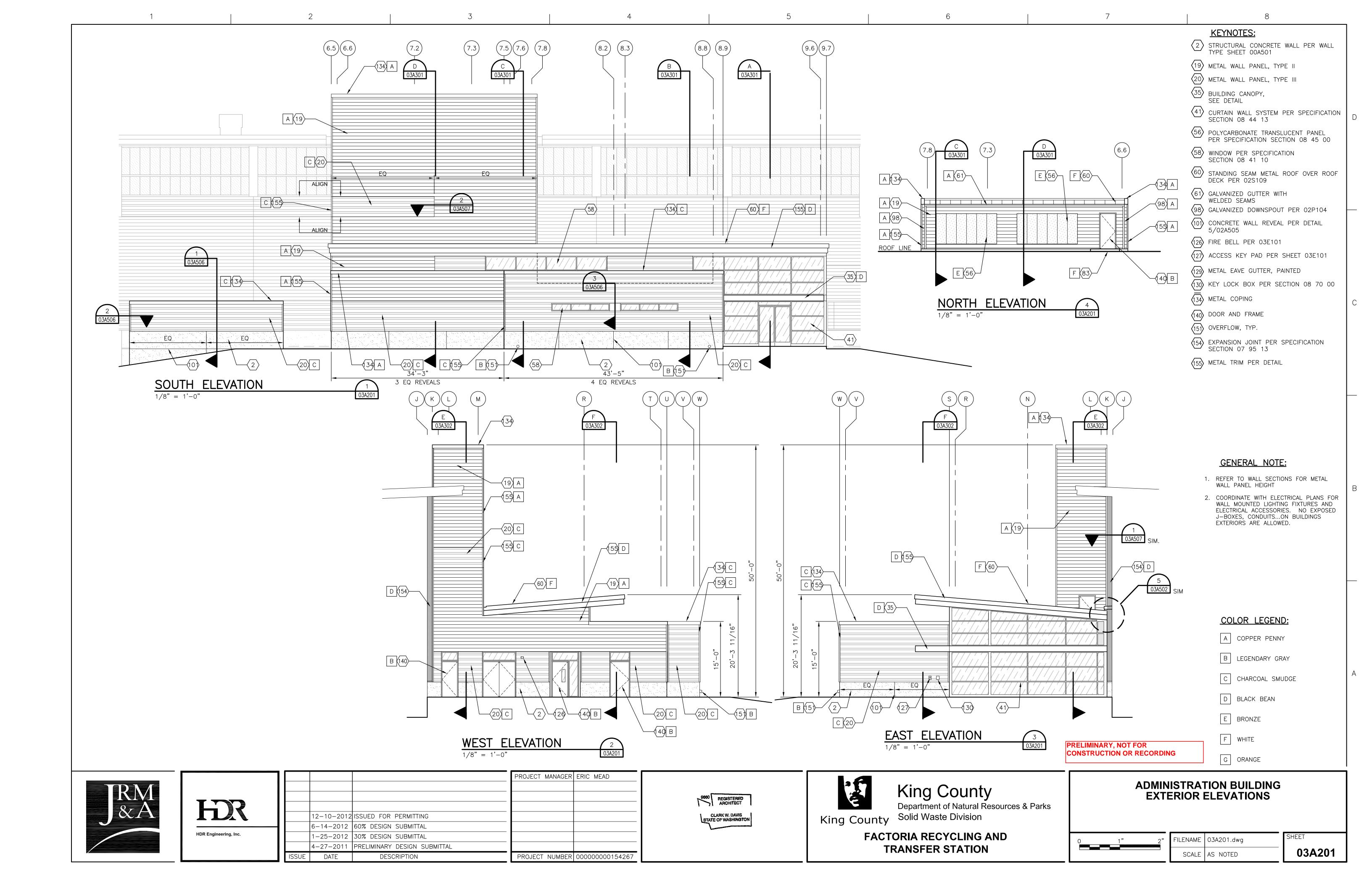
PRELIMINARY, NOT FOR CONSTRUCTION OR RECORDING

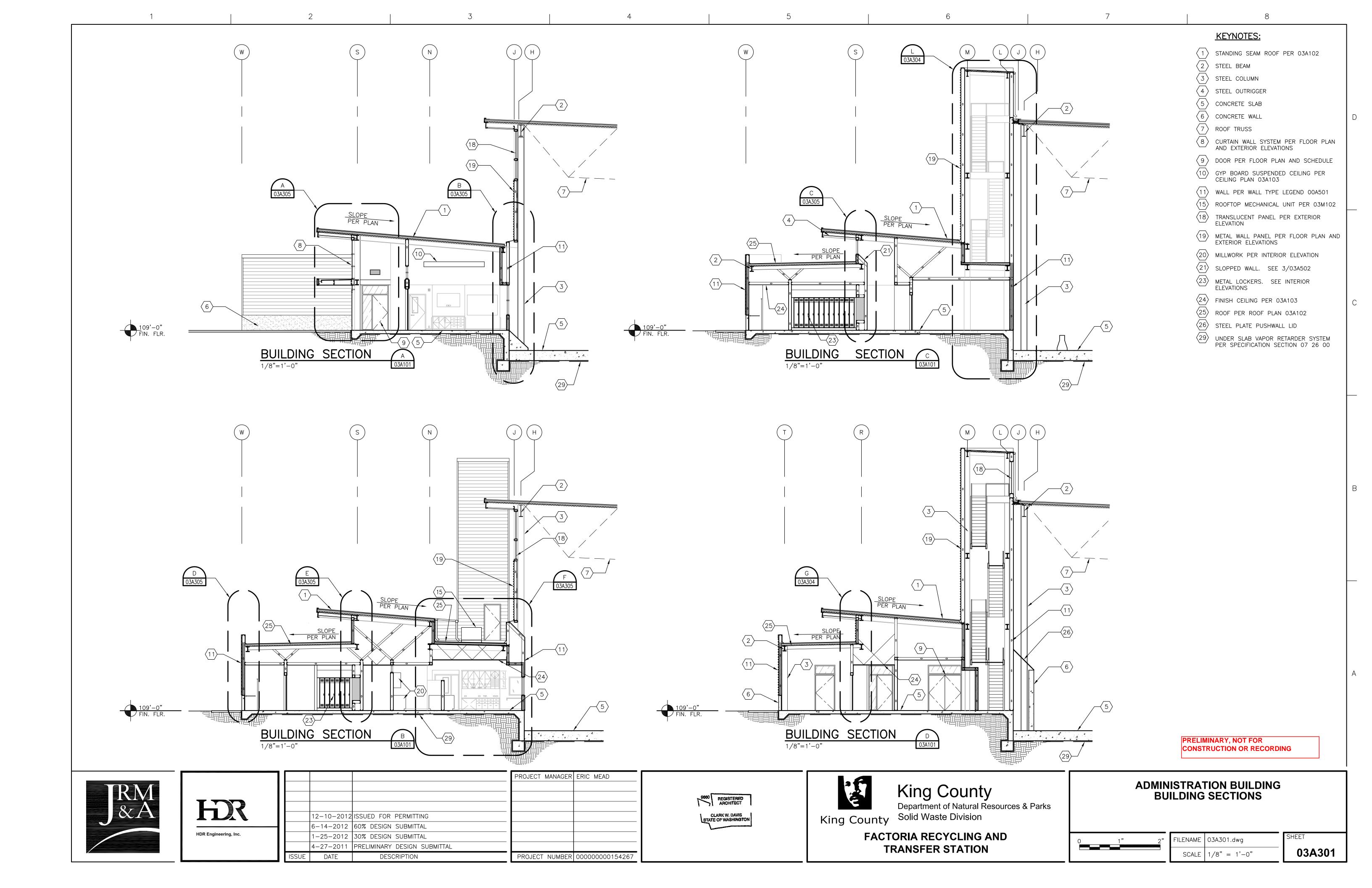
TRANSFER STATION/RECYCLING PERSPECTIVES

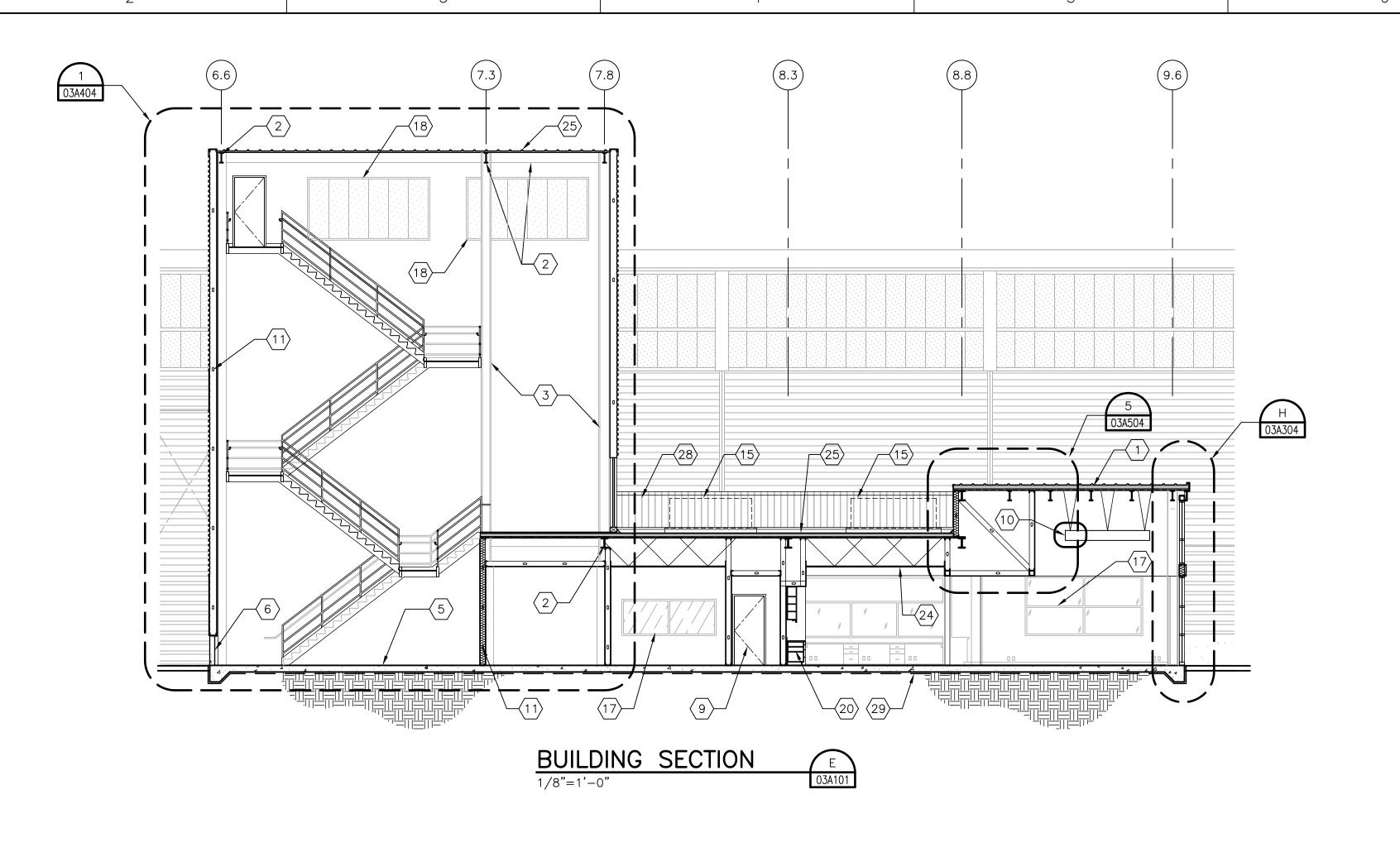


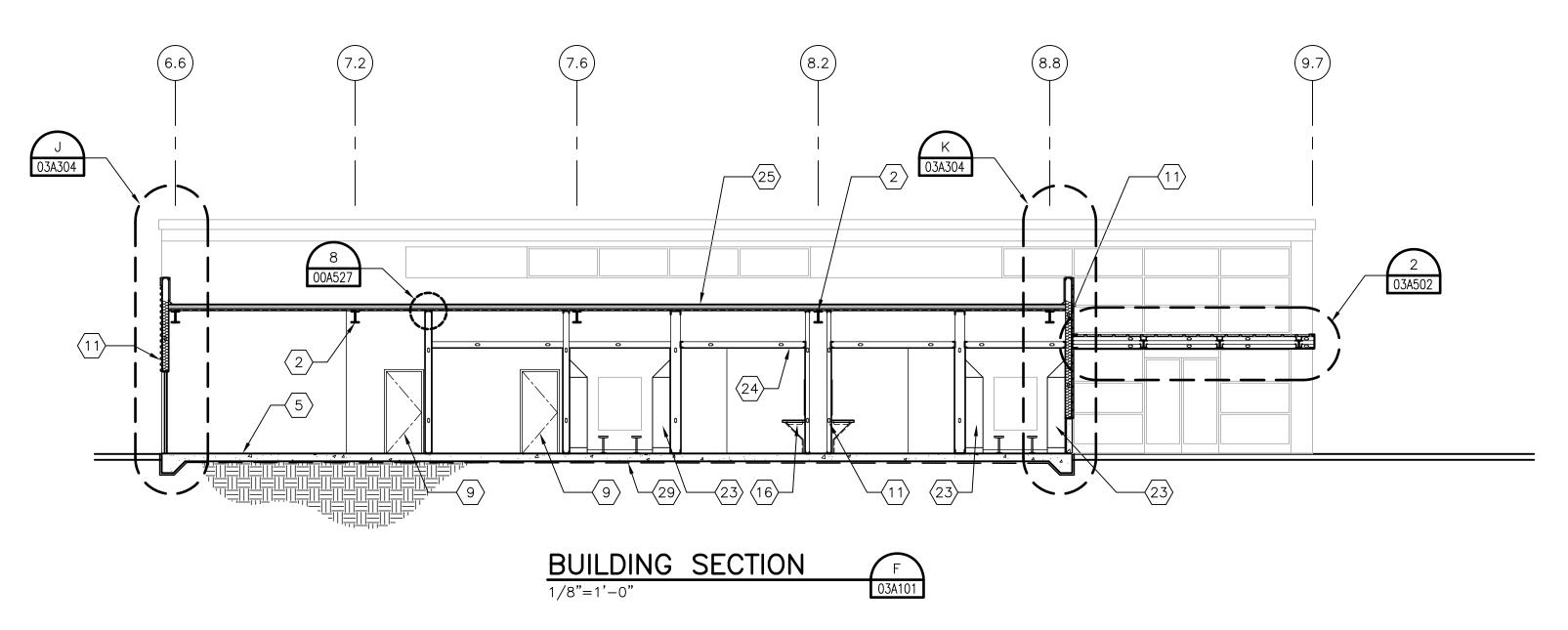
FILENAME	02A902.dwg
SCALF	











PRELIMINARY, NOT FOR CONSTRUCTION OR RECORDING

KEYNOTES:

2 STEEL BEAM

(3) STEEL COLUMN

(5) CONCRETE SLAB

6 CONCRETE WALL

1 STANDING SEAM ROOF PER 03A102

9 DOOR PER FLOOR PLAN AND SCHEDULE

GYP BOARD SUSPENDED CEILING PER CEILING PLAN 03A103

 $\langle 11 \rangle$ Wall per Wall Type Legend 00a501

(16) PLUMBING FIXTURE PER FLOOR PLAN

(18) TRANSLUCENT PANEL PER EXTERIOR

(20) MILLWORK PER INTERIOR ELEVATION

(23) METAL LOCKERS. SEE INTERIOR

 $\langle 24 \rangle$ FINISH CEILING PER 03A103

(25) ROOF PER ROOF PLAN 03A102

(28) LINER PANEL WALL PER WALL TYPE

UNDER SLAB VAPOR RETARDER SYSTEM PER SPECIFICATION SECTION 07 26 00

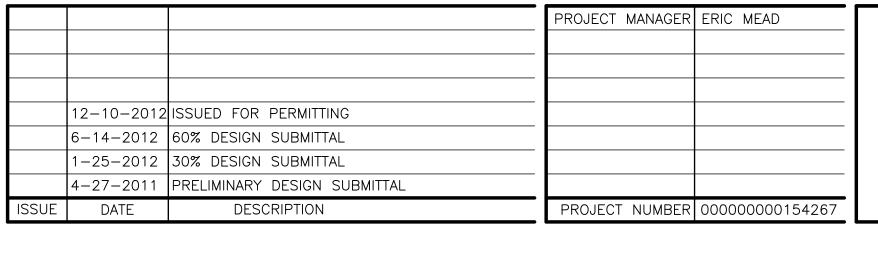
ELEVATIONS

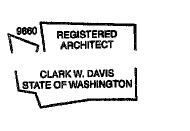
(15) ROOFTOP MECHANICAL UNIT PER 03M102

(17) WINDOW PER FLOOR PLAN AND SCHEDULE











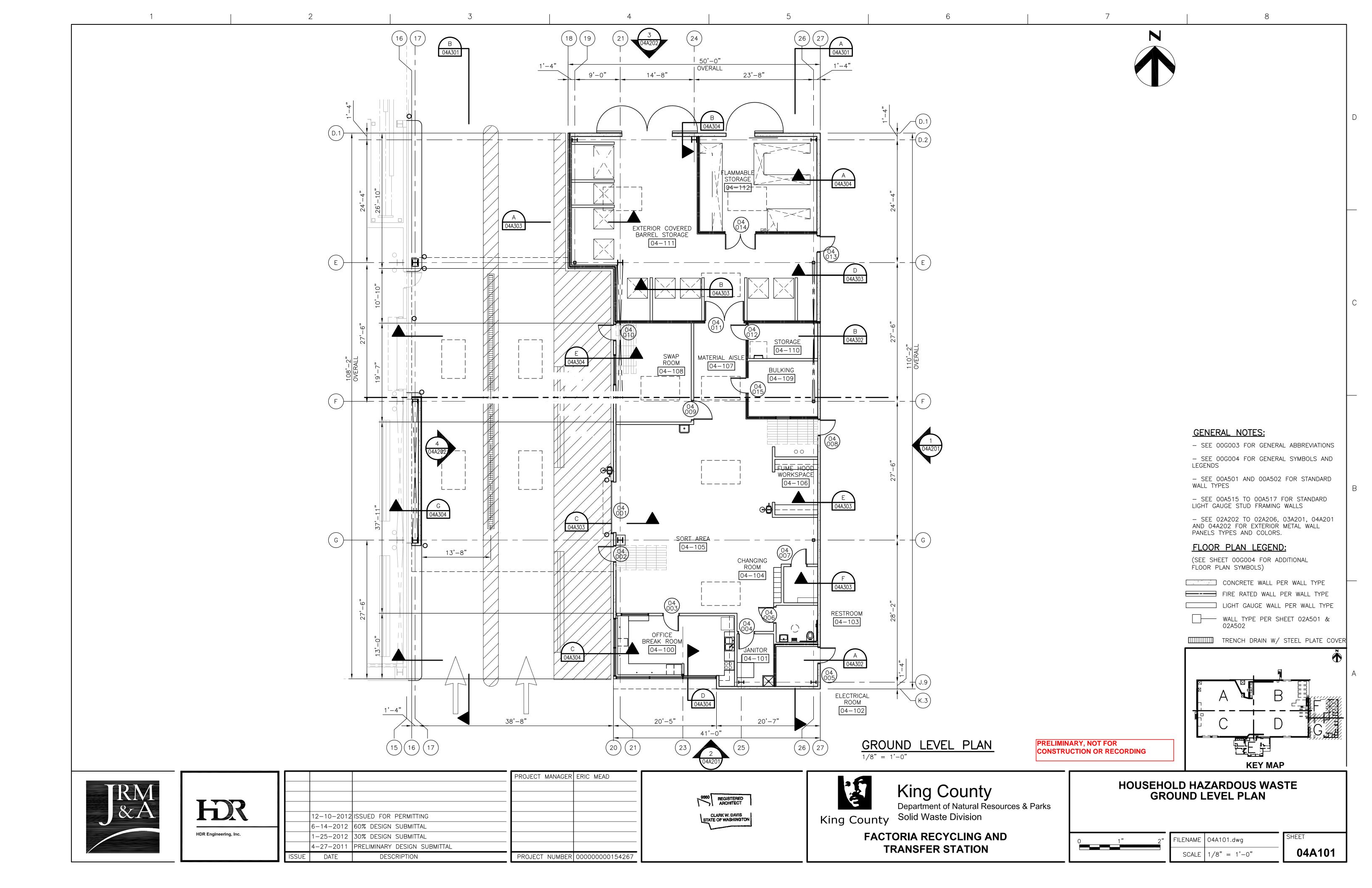
King County
Department of Natural Resources & Parks

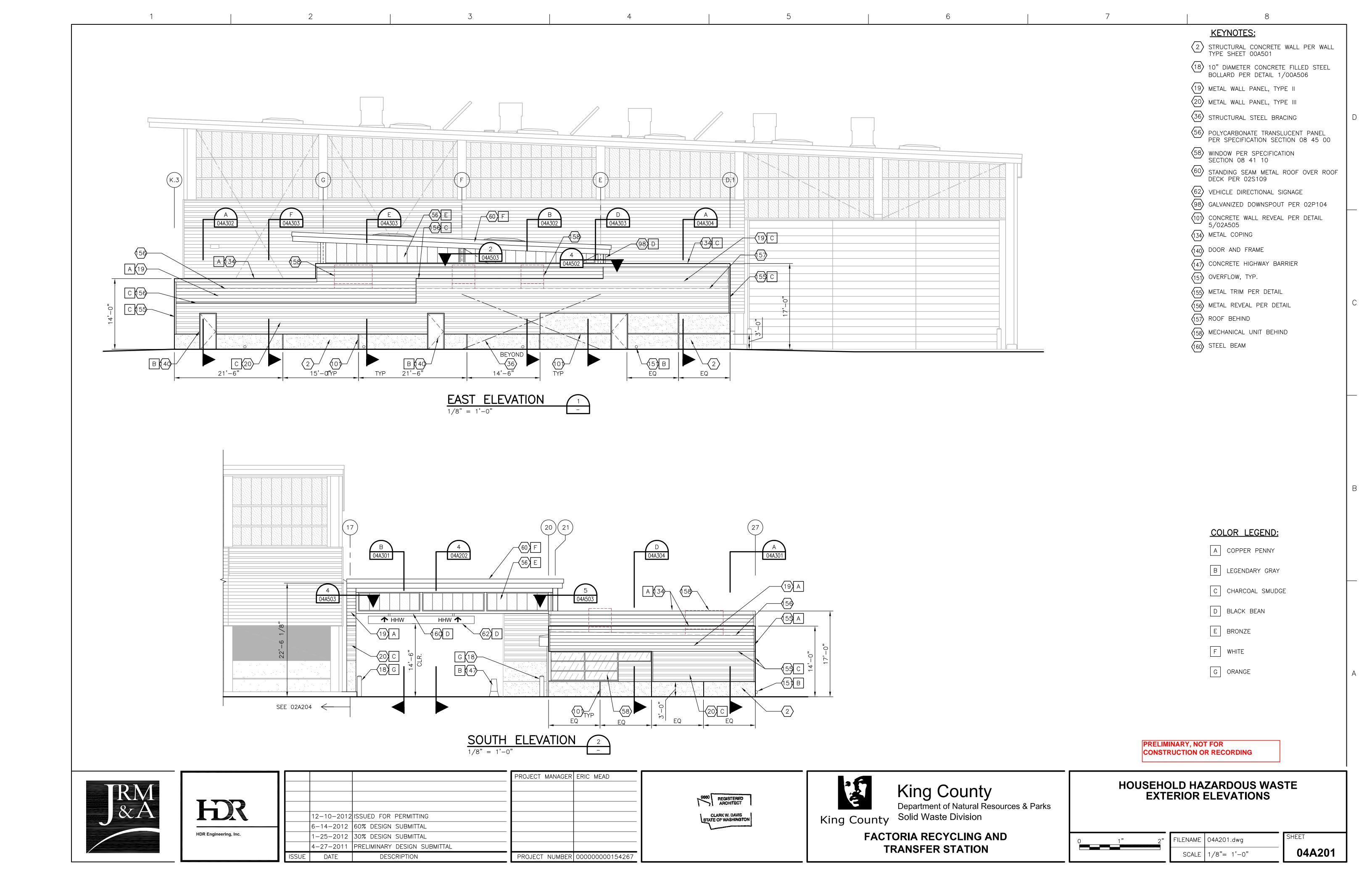
FACTORIA RECYCLING AND TRANSFER STATION

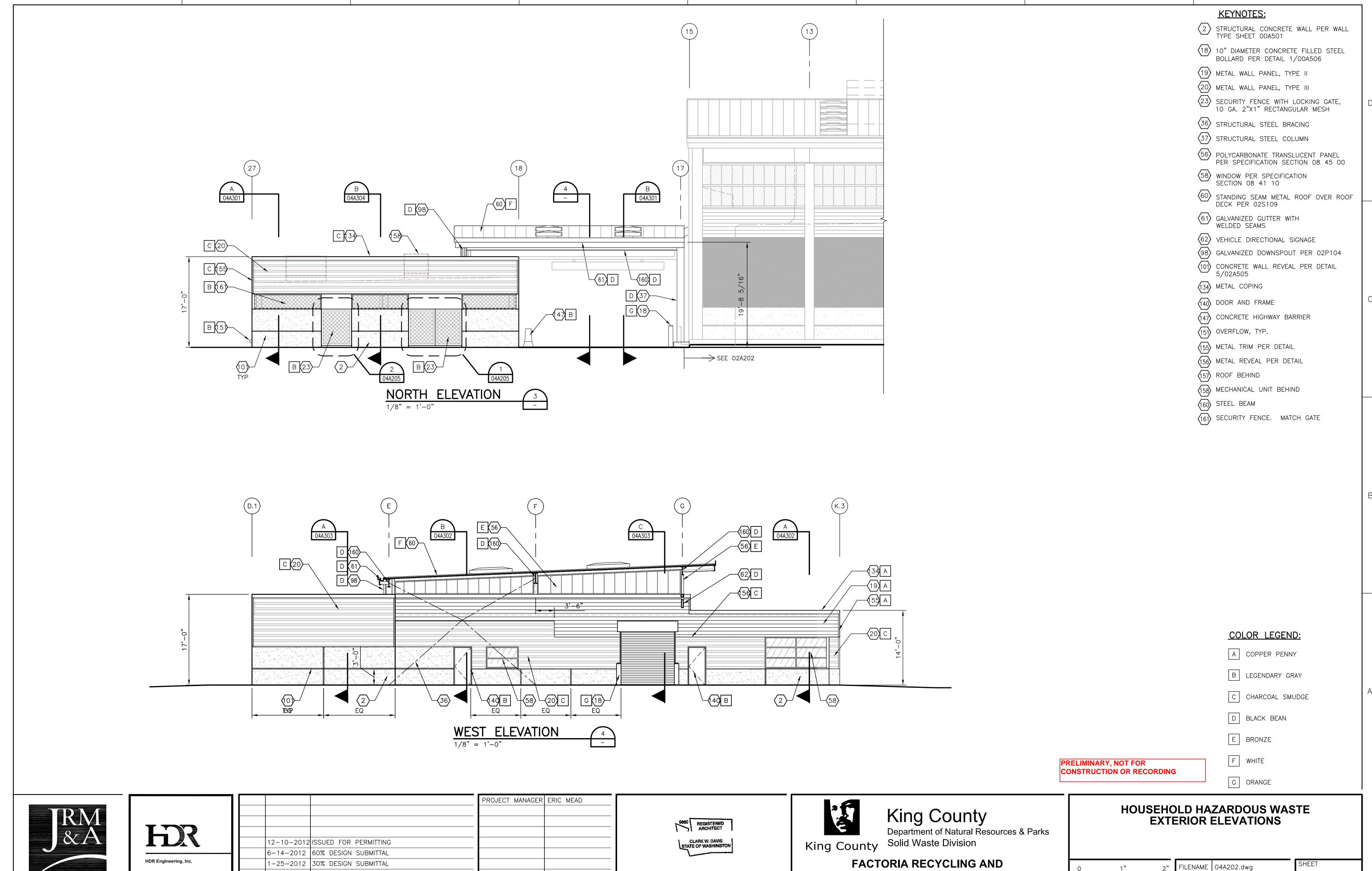
ADMINISTRATION BUILDING BUILDING SECTIONS



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SCALE	1/8" = 1'-0"







TRANSFER STATION

PRELIMINARY DESIGN SUBMITTAL

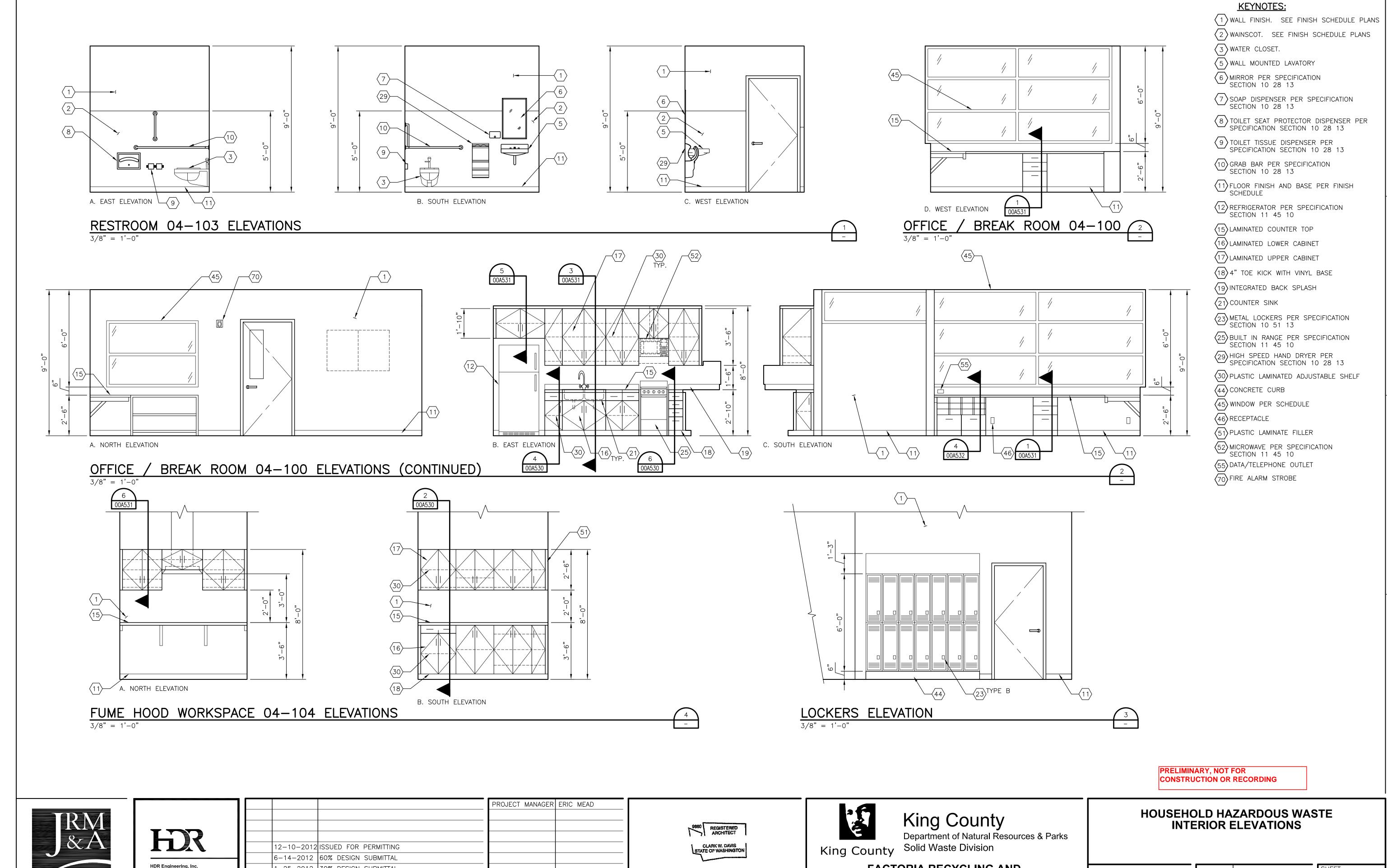
DESCRIPTION

PROJECT NUMBER 00000000154267

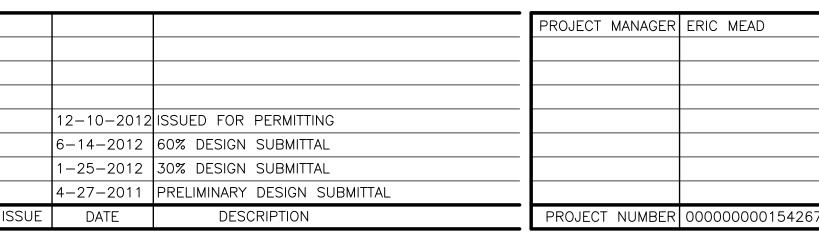
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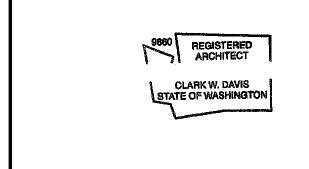
DATE

SCALE 1/8" = 1'-0" 04A202





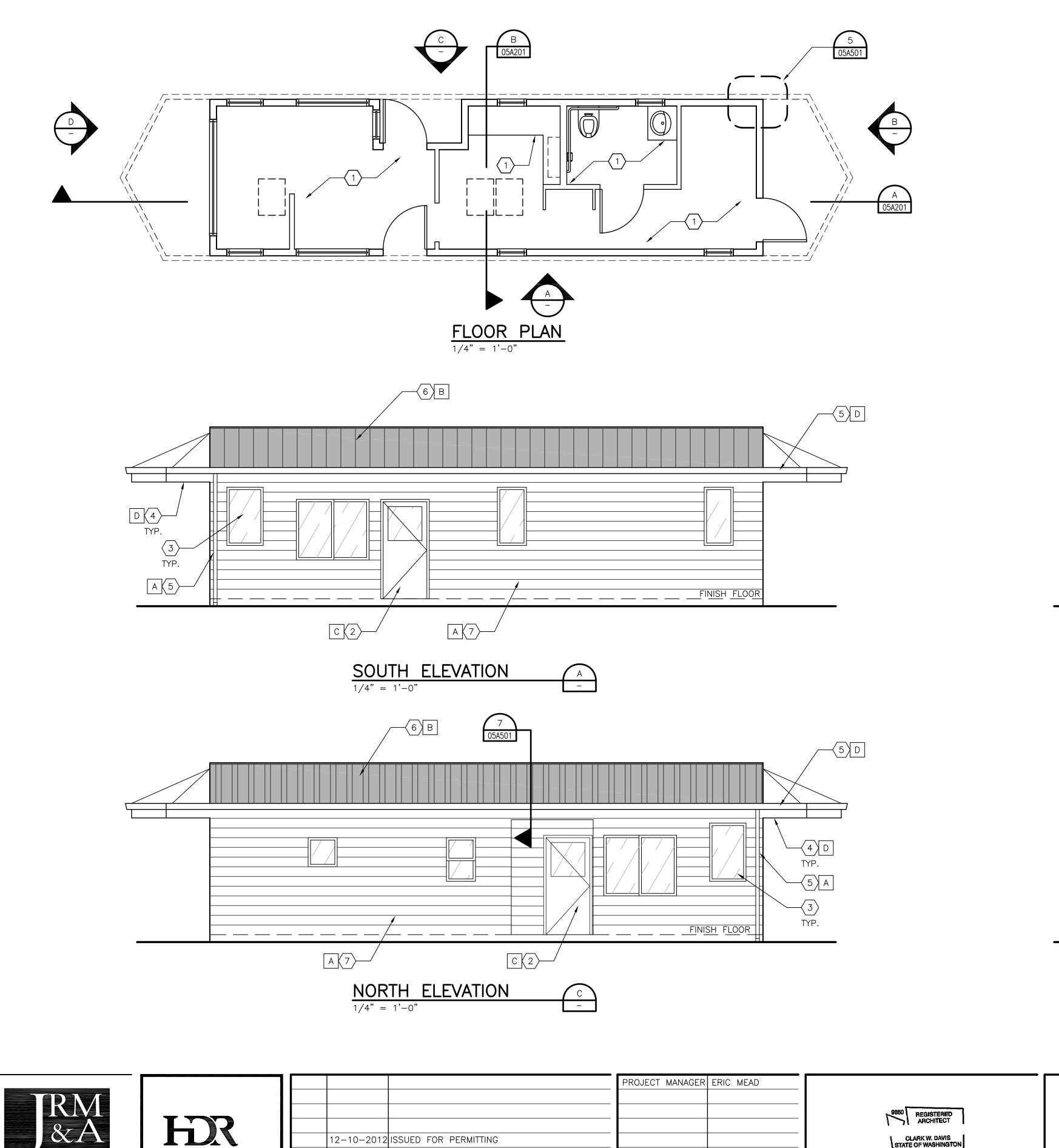




FACTORIA RECYCLING AND TRANSFER STATION



FILENAME	04A203.dwg
SCALE	3/8" = 1'-0"





KEYNOTES:

- EXISTING SCALEHOUSE INTERIOR FINISHES TO REMAIN
- (2) EXISTING MAN DOOR TO REMAIN. PREPARE TO RECEIVE NEW PAINT
- 3 EXISTING WINDOW TO REMAIN
- EXISTING METAL SOFFIT PANELS TO REMAIN. PREPARE TO RECEIVE NEW PAINT
- 5 EXISTING GUTTER AND DOWNSPOUT TO BE REMOVED AND REPLACED WITH NEW. NEW GUTTER SHALL BE GALVANIZED AND PAINTED. NEW DOWNSPOUT SHALL BE GALVANIZED AND PAINTED TO MATCH NEW METAL WALL PANELS.
- 6 REMOVE EXISTING METAL ROOF PANEL AND REPLACE WITH NEW METAL ROOF PANEL OVER #30 FELT PAPER OVER EXISTING ROOF FRAMING (PROFILE TO MATCH TRANSFER STATION ROOF PANEL)
- 7 NEW EXTERIOR METAL WALL PANEL (TO MATCH TRANSFER STATION PANELS) OVER EXISTING EXTERIOR METAL PANEL TO REMAIN. CONTRACTOR TO PROVIDE ALL NECESSARY FLASHING AND TRIM.

COLOR LEGEND:

- COPPER PENNY
- LEGENDARY GRAY
- CHARCOAL SMUDGE
- BLACK BEAN

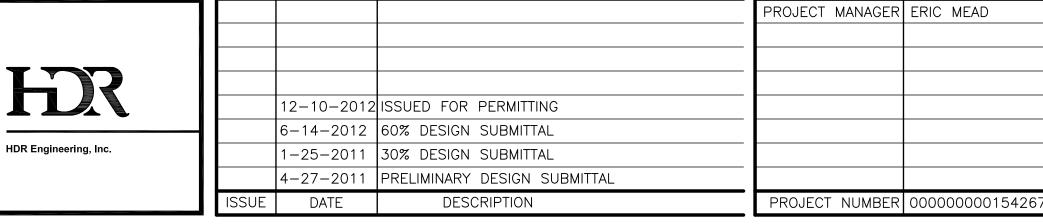
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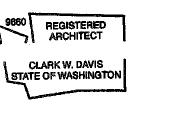
- 1. EXISTING ITEMS TO REMAIN. U.N.O. PROTECT EXISTING ITEMS IN PLACE. NOTIFY ARCHITECT OF ANY DAMAGED OF EXISTING ITEMS PRIOR TO COMMENCING
- 2. REMOVE ALL EXISTING METAL TRIMS AND REPLACE WITH NEW METAL TRIM. PAINT COLORS TO MATCH ADJACENT METAL PANEL COLORS
- 3. REMOVE AND PROTECT IN PLACE ALL WALL MOUNTED EQUIPMENT, INCLUDING BUT NOT LIMITED TO: CAMERAS, DISPLAY PANELS, LIGHT FIXTURES, ELECTRICAL PANELS, JUNCTION BOXES, RECEPTACLES, CONDUITS...PRIOR TO INSTALLATION OF NEW METAL WALL PANELS. REINSTALL TO EXACT SAME LOCATIONS, AND ENSURE TO PROPER OPERATION.
- 4. COORDINATE WITH FACILITY'S TIME OF OPERATION. SCHEDULE WORKS TO PROVIDE UNINTERRUPTED DAILY OPERATION OF FACILITY.

PRELIMINARY, NOT FOR CONSTRUCTION OR RECORDING

SCALE PLAZA









05A502

King County Department of Natural Resources & Parks

-(5) D

-(5) D

FINISH FLOOR

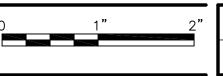
EAST ELEVATION

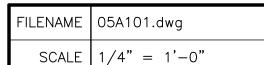
FACTORIA RECYCLING AND TRANSFER STATION

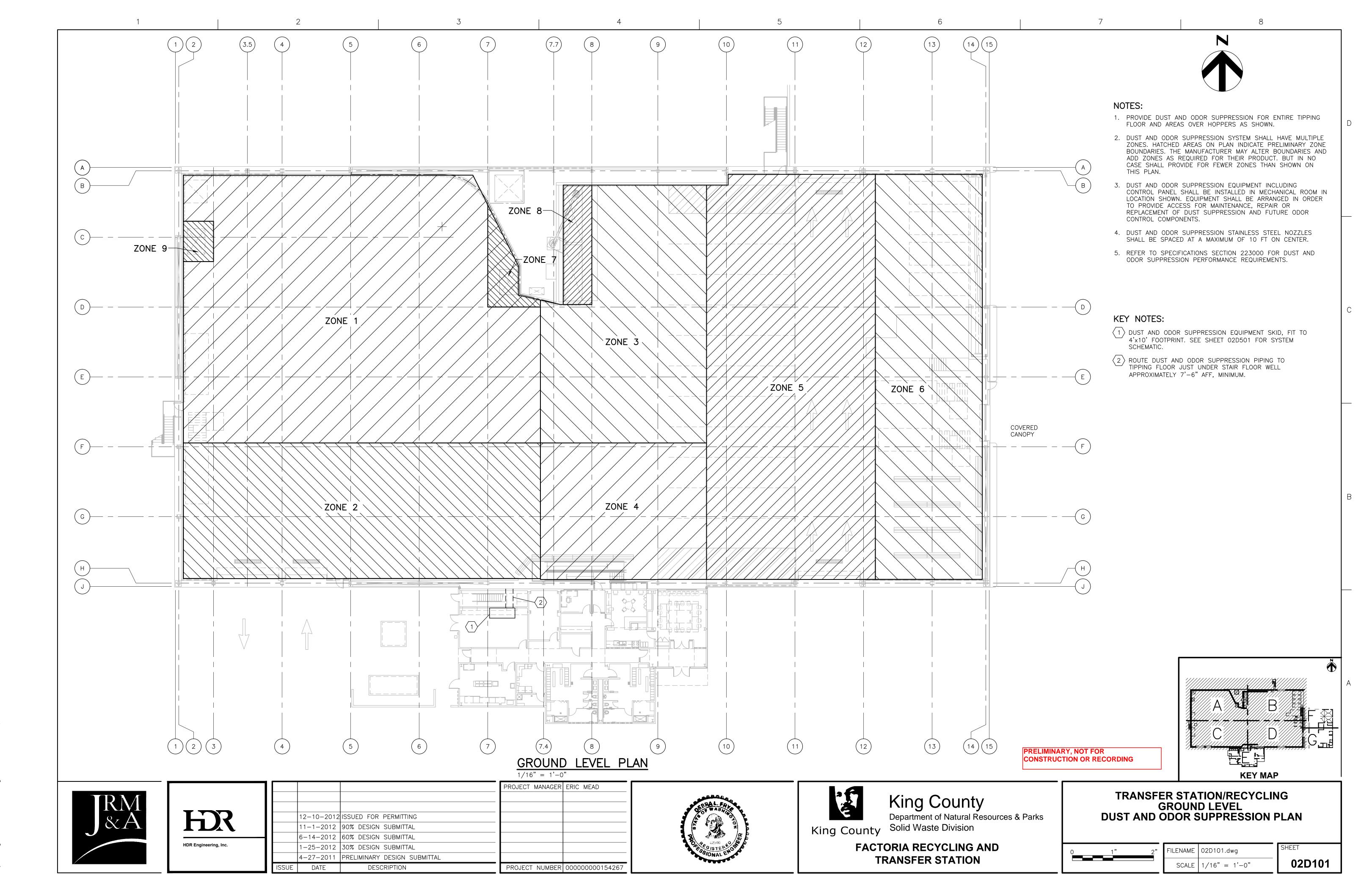
FINISH FLOOR

WEST ELEVATION

1/4" = 1'-0"







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FACTORIA RECYCLING AND TRANSFER STATION PROJECT C00678C12

SECTION 00600 ADDENDUM NO. 1

Prospective bidders are hereby notified that the bidding documents of said Contract have been amended as hereinafter set forth:

Page or Drawing	Location and Description of Change
	REQUEST FOR QUALIFICATIONS
00020 page 1 of 2	Paragraph, A non-mandatory Statement of Qualifications ("SOQ") Pre-Submittal Meeting, DELETE "Applicants attending the site visit must register with Darren R. Chernick at darren.chernick@kingcounty.gov and provide the following information to Darren R. Chernick, no later than 48 hours prior to the site visit:" and REPLACE with:
	"Applicants attending the site visit must register and provide the following information to Darren R. Chernick at darren.chernick@kingcounty.gov , no later than 48 hours prior to the site visit or register at the Pre-Submittal Meeting."
00105 page 5 of 9	DELETE heading only "2. QUALIFICATIONS OF KEY PERSONNEL:" and REPLACE with: "D. QUALIFICATIONS OF KEY PERSONNEL:"
00105 page 8 of 9	DELETE heading only "3. SUBMITTAL INFORMATION FOR KEY PERSONNEL" and REPLACE with: "1. SUBMITTAL INFORMATION FOR KEY PERSONNEL"
	00020 page 1 of 2 00105 page 5 of 9

This Addendum shall be attached to and form a part of the Contract Documents. All bidders are reminded to acknowledge this Addendum on the Form of Bid, Section 00300.

Date: January 11, 2013

Name: Darren R. Chernick Contract Specialist



FACTORIA RECYCLING AND TRANSFER STATION PROJECT C00678C12

SECTION 00600 ADDENDUM NO. 2

Prospective bidders are hereby notified that the bidding documents of said Contract have been amended as hereinafter set forth:

Ref.	Page or Drawing	Location and Description of Change
		REQUEST FOR QUALIFICATIONS
2.1	00100 page 4 of 10	1.12 A ADD: "1.) A Joint Venture is defined as: A written contractual agreement joining together two or more parties for the purpose of executing a particular business undertaking or project. All parties agree to share in the profits and losses of the enterprise."
2.2	00105 Page 4 of 9	 1.03B.2 ADD: "(f) Construction projects utilizing Building Information Modeling (BIM); (g) Construction projects which were registered with the U.S. Green Building Council and achieving LEED **certification.**
2.3	00105 Page 4 of 9	1.03B3 (b), DELETE "a) At a minimum, project information shall include:" and REPLACE with: "1.) At a minimum, project information shall include:"
2.4	00105 Page 4 of 9	1.03B3 (b), DELETE b) and c) in their entirety.
2.5	REMINDER	As stated at the Pre-SOQ Submittal Meeting all questions are to be submitted to the Contract Specialist, Darren R. Chernick, no later than February 8th, 2013 .

This Addendum shall be attached to and form a part of the Contract Documents. All bidders are reminded to acknowledge this Addendum on the Form of Bid, Section 00300.

Date: February 4, 2013

Name: Darren R. Chernick Contract Specialist